

MAT 302: LECTURE SUMMARY

We began lecture by reviewing the RSA procedure (the original 1977 paper introducing the algorithm has been posted to the course website, incidentally):

- (1) Bob (secretly) picks enormous (e.g. 100-digit) primes P and Q .
- (2) Bob publicly announces the quantity $N(= PQ)$ and e . Note that e is any positive integer which is relatively prime to $\varphi(N)$.
- (3) Alice encrypts a plaintext X by computing $X^e \pmod{N}$; she then sends this number (call it Y) to Bob.
- (4) To decrypt this, Bob must solve the equation $X^e \equiv Y \pmod{N}$. He does so by computing $d = e^{-1} \pmod{\varphi(N)}$ and evaluating $Y^d \pmod{N}$; this recovers the plaintext X .

Oscar can't crack this because (in decreasing order of generality)

- (1) it is unknown how to efficiently solve a congruence $X^e \equiv Y \pmod{N}$ without knowing d ;
- (2) it is unknown how to efficiently determine d without knowing $\varphi(N)$; and
- (3) it is unknown how to efficiently determine $\varphi(N)$ without knowing the factorization of N , i.e. without knowing P and Q .

There are various points in the above summary which demand elaboration. Here are a few which we discussed today.

- (1) Jonathan brought up the following question: how does Bob find such gigantic primes? This is a serious concern which we will discuss next time (but also, check out Problem 4.4(d) on the latest assignment!).
- (2) One potential attack Oscar could mount is to guess d by brute force: given the ciphertext Y he could compute $Y^d \pmod{N}$ for a bunch of d and hope that the plaintext falls out. For this reason, it is important that d is very large (and hence unlikely to be guessed). Therefore, in practice, Bob must first (secretly) select a huge value of d , then compute its inverse e , which he then makes public. Note that e needn't be large. In fact, if e is small, it makes it easier for Alice to encrypt her plaintext.
- (3) Last time, in our approach to solving the congruence $X^e \equiv Y \pmod{N}$, we assumed that the plaintext X is relatively prime to N . This will almost certainly be the case, since to *not* be relatively prime to N , X would have to be divisible by P or Q . It is highly unlikely that Alice's plaintext would happen to be divisible by one of these two huge primes, or even that it's *larger* than these primes. However, even in the worst case scenario that $(X, N) \neq 1$, RSA still works! For, suppose $P \mid X$. Then we may assume $Q \nmid X$ (otherwise RSA breaks completely, since the plaintext would be corrupted upon reduction \pmod{N}). It follows

that $X \in \mathbb{Z}_Q^\times$, whence by Euler's theorem, $X^{k\varphi(Q)} \equiv 1 \pmod{Q}$ for any integer k . But then

$$Y^d = (X^e)^d = X^{de} = X^{k\varphi(N)+1} = (1 + jQ)X = X + jQX \equiv X \pmod{N}$$

since $P \mid X$. It follows that the RSA decryption produces the plaintext, for *any* X which is not a multiple of N . And if X is a multiple of N , it will be totally obvious to Alice that this is the case (the ciphertext would be just 0) so she would be able to adjust her plaintext accordingly.

- (4) The problem of factoring a large integer is presumed to be difficult (on empirical grounds: no one has resolved the problem after several decades of intense research). The security of RSA doesn't depend on factoring *per se*, but rather on computing $\varphi(N)$, and one might imagine that there is a clever way to compute $\varphi(N)$ without knowing the factorization of N . In general, this is an interesting open problem. However, in the specific case of RSA, where N is known to have exactly two prime factors P and Q , factoring N and computing $\varphi(N)$ are of comparable difficulty. To see why, we considered the following problem. Suppose that $N = 2021$, that you know that $N = PQ$ for some primes P and Q , and that $\varphi(N) = 1934$. Can you determine P and Q ?

The first insight was that since $\varphi(N) = \varphi(P) \times \varphi(Q) = (P-1)(Q-1)$, we have two equations in two variables:

$$\begin{aligned} PQ &= 2021 \\ (P-1)(Q-1) &= 1934 \end{aligned}$$

Thus, we expect to be able to solve this system for P and Q . There are many ways to do this; the method suggested in the original RSA paper is in three steps: (a) expand $(P-1)(Q-1) = N - (P+Q) + 1$ and use the second equation to deduce $P+Q$; (b) use the identity $(P+Q)^2 - 4N = (P-Q)^2$ to determine $P-Q$; and (c) deduce the values of P and Q individually by adding or subtracting the two quantities $(P+Q)$ and $(P-Q)$.

Would this approach work if N is the product of three primes, rather than just two? This is a good exercise!