Bias: ECs

Biases in Moments of Satake Parameters and Models for L-function Zeros

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Bias: ECs

Bias Conjecture for Elliptic Curves

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Last Summer: Families and Moments

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A one-parameter family of elliptic curves is given by

$$\mathcal{E}: y^2 = x^3 + A(T)x + B(T)$$

where A(T), B(T) are polynomials in $\mathbb{Z}[T]$.

- Each specialization of T to an integer t gives an elliptic curve $\mathcal{E}(t)$ over \mathbb{O} .
- The rth moment of the Fourier coefficients is

$$A_{r,\mathcal{E}}(p) = \sum_{t \mod p} a_{\mathcal{E}(t)}(p)^r.$$

Negative Bias in the First Moment

Bias: ECs

$A_{1,\mathcal{E}}(p)$ and Family Rank (Rosen-Silverman)

If Tate's Conjecture holds for \mathcal{E} then

$$\lim_{X\to\infty}\frac{1}{X}\sum_{p< X}\frac{A_{1,\mathcal{E}}(p)\log p}{p}\ =\ -\mathrm{rank}(\mathcal{E}/\mathbb{Q}).$$

 By the Prime Number Theorem, $A_{1,\mathcal{E}}(p) = -rp + O(1)$ implies rank $(\mathcal{E}/\mathbb{Q}) = r$.

Bias Conjecture

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Second Moment Asymptotic (Michel)

For families \mathcal{E} with j(T) non-constant, the second moment is

$$A_{2,\mathcal{E}}(p) = p^2 + O(p^{3/2}).$$

• The lower order terms are of sizes $p^{3/2}$, p, $p^{1/2}$, and 1.

Bias Conjecture

Bias: ECs

Second Moment Asymptotic (Michel)

For families \mathcal{E} with j(T) non-constant, the second moment is

$$A_{2,\mathcal{E}}(p) = p^2 + O(p^{3/2}).$$

• The lower order terms are of sizes $p^{3/2}$, p, $p^{1/2}$, and 1.

In every family we have studied, we have observed:

Bias Conjecture

The largest lower term in the second moment expansion which does not average to 0 is on average **negative**.

Preliminary Evidence and Patterns

Bias: ECs

Let $n_{3,2,p}$ equal the number of cube roots of 2 modulo p, and set $c_0(p) = \left[\left(\frac{-3}{p} \right) + \left(\frac{3}{p} \right) \right] p$, $c_1(p) = \left[\sum_{x \bmod p} \left(\frac{x^3 - x}{p} \right) \right]^2$, $c_{3/2}(p) = p \sum_{x(p)} \left(\frac{4x^3+1}{p} \right).$

 $y^2 = x^3 + Tx^2 - (T+3)x + 1$ $-2c_{p,1;4}p$ $p^2 - 4c_{p,1;6}p - 1$ where $c_{p,a;m} = 1$ if $p \equiv a \mod m$ and otherwise is 0.

Lower order terms and average rank

$$\frac{1}{N} \sum_{t=N}^{2N} \sum_{\gamma_t} \phi\left(\gamma_t \frac{\log R}{2\pi}\right) = \widehat{\phi}(0) + \phi(0) - \frac{2}{N} \sum_{t=N}^{2N} \sum_{p} \frac{\log p}{\log R} \frac{1}{p} \widehat{\phi}\left(\frac{\log p}{\log R}\right) a_t(p) - \frac{2}{N} \sum_{t=N}^{2N} \sum_{p} \frac{\log p}{\log R} \frac{1}{p^2} \widehat{\phi}\left(\frac{2\log p}{\log R}\right) a_t(p)^2 + O\left(\frac{\log \log R}{\log R}\right).$$

- $\phi(x) > 0$ gives upper bound average rank.
- Expect big-Oh term Ω(1/log R).

Implications for Excess Rank

- Katz-Sarnak's one-level density statistic is used to measure the average rank of curves over a family.
- More curves with rank than expected have been observed, though this excess average rank vanishes in the limit.
- Lower-order biases in the moments of families explain a small fraction of this excess rank phenomenon.

Methods for Obtaining Explicit Formulas

For a family $\mathcal{E}: y^2 = x^3 + A(T)x + B(T)$, we can write

$$a_{\mathcal{E}(t)}(p) = -\sum_{\substack{x \mod p}} \left(\frac{x^3 + A(t)x + B(t)}{p} \right)$$

where $\left(\frac{\cdot}{p}\right)$ is the Legendre symbol mod p given by

$$\left(\frac{x}{p}\right) = \begin{cases} 1 & \text{if } x \text{ is a non-zero square modulo } p \\ 0 & \text{if } x \equiv 0 \bmod p \\ -1 & \text{otherwise.} \end{cases}$$

Lemmas on Legendre Symbols

Linear and Quadratic Legendre Sums

$$\sum_{x \mod p} \left(\frac{ax + b}{p} \right) = 0 \quad \text{if } p \nmid a$$

$$\sum_{x \mod p} \left(\frac{ax^2 + bx + c}{p} \right) = \begin{cases} -\left(\frac{a}{p}\right) & \text{if } p \nmid b^2 - 4ac \\ (p - 1)\left(\frac{a}{p}\right) & \text{if } p \mid b^2 - 4ac \end{cases}$$

Average Values of Legendre Symbols

The value of $\left(\frac{x}{p}\right)$ for $x \in \mathbb{Z}$, when averaged over all primes p, is 1 if x is a non-zero square, and 0 otherwise.

Rank 0 Families

Bias: ECs

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Theorem (MMRW'14): Rank 0 Families Obeying the Bias Conjecture

For families of the form $\mathcal{E}: y^2 = x^3 + ax^2 + bx + cT + d$.

$$A_{2,\mathcal{E}}(p) = p^2 - p\left(1 + \left(\frac{-3}{p}\right) + \left(\frac{a^2 - 3b}{p}\right)\right).$$

• The average bias in the size p term is -2 or -1, according to whether $a^2 - 3b \in \mathbb{Z}$ is a non-zero square.

Families with Rank

Bias: ECs

Theorem (MMRW'14): Families with Rank

For families of the form $\mathcal{E}: y^2 = x^3 + aT^2x + bT^2$,

$$A_{2,\mathcal{E}}(p) = p^2 - p\left(1 + \left(\frac{-3}{p}\right) + \left(\frac{-3a}{p}\right)\right) - \left(\sum_{x(p)} \left(\frac{x^3 + ax}{p}\right)\right)^2.$$

- These include families of rank 0. 1. and 2.
- The average bias in the size p terms is -3 or -2, according to whether $-3a \in \mathbb{Z}$ is a non-zero square.

Families with Rank

Bias: ECs

Theorem (MMRW'14): Families with Complex Multiplication

For families of the form $\mathcal{E}: y^2 = x^3 + (aT + b)x$,

$$A_{2,\mathcal{E}}(p) = (p^2 - p) \left(1 + \left(\frac{-1}{p}\right)\right).$$

- The average bias in the size p term is -1.
- The size p^2 term is not constant, but is on average p^2 , and an analogous Bias Conjecture holds.

Families with Unusual Distributions of Signs

Theorem (MMRW'14): Families with Unusual Signs

For the family $\mathcal{E}: y^2 = x^3 + Tx^2 - (T+3)x + 1$,

$$A_{2,\mathcal{E}}(p) = p^2 - p\left(2 + 2\left(\frac{-3}{p}\right)\right) - 1.$$

- The average bias in the size p term is -2.
- The family has an usual distribution of signs in the functional equations of the corresponding *L*-functions.

The Size $p^{3/2}$ Term

Bias: ECs

Theorem (MMRW'14): Families with a Large Error

For families of the form

$$\mathcal{E}: y^2 = x^3 + (T+a)x^2 + (bT+b^2-ab+c)x - bc,$$

$$A_{2,\mathcal{E}}(p) = p^2 - 3p - 1 + p \sum_{x \mod p} \left(\frac{-cx(x+b)(bx-c)}{p} \right)$$

- The size $p^{3/2}$ term is given by an elliptic curve coefficient and is thus on average 0.
- The average bias in the size p term is -3.

General Structure of the Lower Order Terms

The lower order terms appear to always

- have no size p^{3/2} term or a size p^{3/2} term that is on average 0;
- exhibit their negative bias in the size p term;
- be determined by polynomials in p, elliptic curve coefficients, and congruence classes of p (i.e., values of Legendre symbols).

Bias Conjecture for Elliptic Curves

With Megumi Asada and Eva Fourakis (Williams)

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Summary of Results

- Dirichlet characters of prime level: bias +1.
- Holomorphic cusp forms: bias -1/2.
- r^{th} Symmetric Power $\mathcal{F}_{r,X,\delta,q}$: bias +1/48.

Dirichlet Family \mathcal{F}_{a}

Bias: ECs

Definition

Prime $q \in \mathbb{Z}$ and $\mathcal{F}_q = \{\chi \neq \chi_0(q)\}$ is the family of nontrivial Dirichlet characters of conductor q. The second moment at p is

$$M_2(\mathcal{F}_q; p) := \sum_{\chi \in \mathcal{F}_q} \chi^2(p).$$

Goal: Compute asymptotics for the sum

$$\mathit{M}_{2,\mathit{X}}(\mathcal{F}_q) = \sum_{\mathit{p}<\mathit{X}} \mathit{M}_2(\mathcal{F}_q;\mathit{p}) = \sum_{\mathit{p}<\mathit{X}} \sum_{\chi \in \mathcal{F}_q} \chi^2(\mathit{p}).$$

Results for \mathcal{F}_q

Bias: ECs

Theorem

Family \mathcal{F}_q has positive bias in the second moment of +1.

Results for \mathcal{F}_a

Bias: ECs

Theorem

Family \mathcal{F}_a has positive bias in the second moment of +1.

Have $M_2(\mathcal{F}_q; p) := \sum_{\gamma \in \mathcal{F}_q} \chi^2(p)$.

From orthogonality relations:

$$M_2(\mathcal{F}_q; p) = \begin{cases} q-2 & \text{if } p \equiv \pm 1(q); \\ -1 & \text{if } p \not\equiv \pm 1(q), \end{cases}$$

Thus

$$\sum_{p < X} \; \textit{M}_{2}(\mathcal{F}_{q}; p) \; = \; \sum_{\substack{p < X \\ p \equiv \pm 1(q)}} (q - 2) \; - \; \sum_{\substack{p < X \\ p \not\equiv \pm 1(q)}} 1 \, .$$

Main term size $\pi(X)$.

Cuspidal Newforms

Bias: ECs

Fix level q = 1. For weight k, consider an orthonormal basis $\mathcal{B}_{k,q}(\chi_0)$ of $\mathcal{H}_{k,q}(\chi_0)$, the space of holomorphic cusp forms on the surface $\Gamma_0 \setminus \mathfrak{h}$ of level k and trivial nebentypus.

Family

$$\mathcal{F}_X := \bigcup_{\substack{k < X \\ k \equiv 0(2)}} \mathcal{B}_{k,q=1}(\chi_0).$$

An Important Tool: Petersson Trace Formula

For any $n, m \ge 1$, we have

$$\frac{\Gamma(k-1)}{(4\pi\rho)^{k-1}} \sum_{f \in B_{k,q}(\chi_0)} |\lambda_f(p)|^2 = \delta(p,p) + 2\pi i^{-k} \sum_{c \equiv 0(q)} \frac{S_c(p,p)}{c} J_{k-1}\left(\frac{4\pi p}{c}\right)$$

where $\lambda_f(n)$ is the *n*-th Hecke eigenvalue of f, $\delta(m,n)$ is Kronecker's delta, $S_c(m,n)$ is the classical Kloosterman sum, and $J_{k-1}(t)$ is the k-Bessel function.

Cusp Newform: $\mathcal{F}_{< X}$

Bias: ECs

We gain asymptotic control over $J_{k-1}(t)$ by averaging over even weights k.

Cusp Newform: $\mathcal{F}_{< X}$

Bias: ECs

We gain asymptotic control over $J_{k-1}(t)$ by averaging over even weights k.

$$M_2(\mathcal{F}_X; p) = \sum_{k^* < X} M_2(H_{k,1}(\chi_0); p) = \sum_{k^* < X} \sum_{f \in \mathcal{B}_{k,1}(\chi_0)} |\lambda_f(p)|^2$$

where $\sum_{k^* < X}$ denotes summing over even k.

Cusp Newform: $\mathcal{F}_{< X}$

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We gain asymptotic control over $J_{k-1}(t)$ by averaging over even weights k.

$$M_2(\mathcal{F}_X; p) = \sum_{k^* < X} M_2(H_{k,1}(\chi_0); p) = \sum_{k^* < X} \sum_{f \in \mathcal{B}_{k,1}(\chi_0)} |\lambda_f(p)|^2$$

where $\sum_{k^* < X}$ denotes summing over even k.

Theorem

Let $\varphi \in C_0^\infty(\mathbb{R}_{>0})$ be real-valued, and let X>1. Then

$$4\sum_{k=0(2)} \varphi\left(\frac{k-1}{X}\right) J_{k-1}(t) = \varphi\left(\frac{t}{X}\right) + \frac{t}{6X^3} \varphi^{(2)}\left(\frac{t}{X}\right)$$

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Cusp Newform: $\mathcal{F}_{<X}$

Bias: ECs

To handle $S_c(m, n)$, we instead compute

$$M_2(\mathcal{F}_X; \delta) = \sum_{p < X^{\delta}} M_2(\mathcal{F}_X; p) \cdot \log p.$$

After several substitutions and iterations of integration by parts,

$$M_2(\mathcal{F}_X;\delta) = \frac{1}{2}X^{1+\delta} - \frac{X^{1+\delta}}{2\log^2 X^{\delta}} + O\left(\frac{X^{1+\delta}}{\log^3 X^{\delta}}\right)$$

yields a bias of -1/2.

Varying the Level: \mathcal{F}_X ; δ ; ϵ

Bias: ECs

Can also vary the level:

$$\begin{split} M_2(\mathcal{F}_X; \delta; \varepsilon) &= \sum_{q < X^{\varepsilon}} M_2(\mathcal{F}_{q,X}; \delta) \\ &= \sum_{q < X^{\varepsilon}} \sum_{p < X^{\delta}} \sum_{k^* < X} \sum_{f \in B_{k,q}(\chi_0)} |\lambda_f(p)|^2 \cdot \log p \\ &= \frac{1}{2} X^{1+\delta+\varepsilon} - \frac{X^{1+\delta+\varepsilon}}{2 \log^2 X^{\delta}} + O\left(\frac{X^{1+\delta+\varepsilon}}{\log^3 X^{\delta}}\right). \end{split}$$

Symmetric Lift Family

Bias: ECs

Fix a square-free level q and study for $\delta > 0$

$$\mathcal{F}_{r,X,\delta,q} = \bigcup_{k < X^{\delta}} \operatorname{Sym}^{r} \left[\operatorname{H}_{k,q}^{*}(\chi_{0}) \right].$$

Second moment: for $\varepsilon > 0$:

$$M_{2,\varepsilon}(\mathcal{F}_{r,X,\delta,q}) = \frac{1}{\varphi(q)} \sum_{\rho < X^{\varepsilon}} \sum_{k < X^{\delta}} \left(\sum_{f \in H_{k,q}^{*}(\chi_{0})} \lambda_{\operatorname{Sym}^{r}f}^{2}(\rho) \right),$$

find bias of +1/48 in

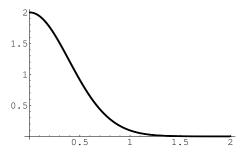
$$M_{2,\varepsilon}(\mathcal{F}_{r,X,\delta}) = \lim_{\substack{q \to \infty \ q \text{ sign-free}}} M_{2,\varepsilon}(\mathcal{F}_{r,X,\delta,q}).$$

Finite Conductor Models at Central Point

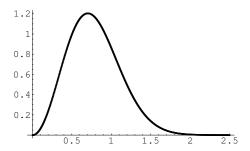
With Owen Barrett and Blaine Talbut (Chicago) and Gwyn Moreland (Michigan)

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Excised Orthogonal Ensemble joint with Eduardo Dueñez, Duc Khiem Huynh, Jon Keating and Nina Snaith. Numerical experiments ongoing with Nathan Ryan.



1st normalized evalue above 1: SO(even)



1st normalized evalue above 1: SO(odd)

Rank 0 Curves: 1st Norm Zero: 14 One-Param of Rank 0

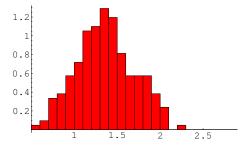


Figure 4a: 209 rank 0 curves from 14 rank 0 families, $log(cond) \in [3.26, 9.98], median = 1.35, mean = 1.36$

Rank 0 Curves: 1st Norm Zero: 14 One-Param of Rank 0

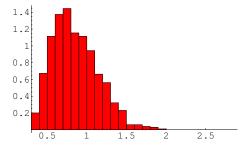


Figure 4b: 996 rank 0 curves from 14 rank 0 families, $log(cond) \in [15.00, 16.00], median = .81, mean = .86.$

Spacings b/w Norm Zeros: Rank 0 One-Param Families over $\mathbb{Q}(T)$

- All curves have log(cond) ∈ [15, 16];
- $z_i = \text{imaginary part of } i^{\text{th}}$ normalized zero above the central point;
- 863 rank 0 curves from the 14 one-param families of rank 0 over ℚ(T);
- 701 rank 2 curves from the 21 one-param families of rank 0 over $\mathbb{O}(T)$.

	863 Rank 0 Curves	701 Rank 2 Curves	t-Statistic
Median $z_2 - z_1$	1.28	1.30	
Mean $z_2 - z_1$	1.30	1.34	-1.60
StDev $z_2 - z_1$	0.49	0.51	
Median $z_3 - z_2$	1.22	1.19	
Mean $z_3 - z_2$	1.24	1.22	0.80
StDev $z_3 - z_2$	0.52	0.47	
Median $z_3 - z_1$	2.54	2.56	
Mean $z_3 - z_1$	2.55	2.56	-0.38
StDev $z_3 - z_1$	0.52	0.52	

Spacings b/w Norm Zeros: Rank 2 one-param families over $\mathbb{Q}(T)$

- All curves have log(cond) ∈ [15, 16];
- $z_i = \text{imaginary part of the } i^{\text{th}} \text{ norm zero above the central point;}$
- 64 rank 2 curves from the 21 one-param families of rank 2 over ℚ(T);
- 23 rank 4 curves from the 21 one-param families of rank 2 over ℚ(T).

	64 Rank 2 Curves	23 Rank 4 Curves	t-Statistic
Median $z_2 - z_1$	1.26	1.27	
Mean $z_2 - z_1$	1.36	1.29	0.59
StDev $z_2 - z_1$	0.50	0.42	
Median $z_3 - z_2$	1.22	1.08	
Mean $z_3 - z_2$	1.29	1.14	1.35
StDev $z_3 - z_2$	0.49	0.35	
Median $z_3 - z_1$	2.66	2.46	
Mean $z_3 - z_1$	2.65	2.43	2.05
StDev $z_3 - z_1$	0.44	0.42	



Rank 2 Curves from Rank 0 & Rank 2 Families over $\mathbb{Q}(T)$

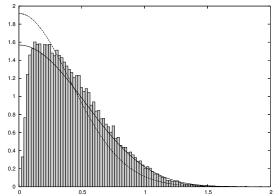
- All curves have log(cond) ∈ [15, 16];
- $z_i = \text{imaginary part of the } i^{\text{th}} \text{ norm zero above the central point;}$
- 701 rank 2 curves from the 21 one-param families of rank 0 over ℚ(T);
- 64 rank 2 curves from the 21 one-param families of rank 2 over $\mathbb{Q}(T)$.

	701 Rank 2 Curves	64 Rank 2 Curves	t-Statistic
Median $z_2 - z_1$	1.30	1.26	
Mean $z_2 - z_1$	1.34	1.36	0.69
StDev $z_2 - z_1$	0.51	0.50	
Median $z_3 - z_2$	1.19	1.22	
Mean $z_3 - z_2$	1.22	1.29	1.39
StDev $z_3 - z_2$	0.47	0.49	
Median $z_3 - z_1$	2.56	2.66	
Mean $z_3 - z_1$	2.56	2.65	1.93
StDev $z_3 - z_1$	0.52	0.44	

New Model for Finite Conductors

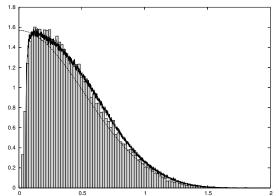
- Replace conductor N with N_{effective}.
 - ♦ Arithmetic info, predict with *L*-function Ratios Conj.
 - Do the number theory computation.
- Excised Orthogonal Ensembles.
 - $\diamond L(1/2, E)$ discretized.
 - \diamond Study matrices in SO(2 N_{eff}) with $|\Lambda_A(1)| > ce^N$.
- Painlevé VI differential equation solver.
 - Use explicit formulas for densities of Jacobi ensembles.
 - Key input: Selberg-Aomoto integral for initial conditions.

Modeling lowest zero of $L_{E_{11}}(s, \chi_d)$ with 0 < d < 400,000



Lowest zero for $L_{E_{11}}(s, \chi_d)$ (bar chart), lowest eigenvalue of SO(2N) with $N_{\rm eff}$ (solid), standard N_0 (dashed).

Modeling lowest zero of $L_{E_{11}}(s, \chi_d)$ with 0 < d < 400,000



Lowest zero for $L_{E_{11}}(s, \chi_d)$ (bar chart); lowest eigenvalue of SO(2N): $N_{\rm eff} = 2$ (solid) with discretisation, and $N_{\rm eff} = 2.32$ (dashed) without discretisation.

Effective Matrix Size: Families with Unitary Symplectic Monodromy

L-function attached to quadratic Dirichlet character.

$$\diamond L(\chi, s) = \prod_{p < \infty} (1 - \chi(p)p^{-s})^{-1}.$$

L-function attached to symmetric power.

$$\diamond L(\operatorname{Sym}^r f, s) = \prod_{p < \infty} L_p(\operatorname{Sym}^r f, s).$$

Compute 1-level Density: Study distribution of zeros

$$\diamond D_{1,\varphi}(\mathcal{F}) = \#\mathcal{F}^{-1} \cdot \sum_{f \in \mathcal{F}} \sum_{\rho_f = 1/2 + i\gamma_f} \varphi(\gamma_f \cdot \frac{\log Q}{2\pi})$$

Integral Representation of One-Level Density

We bound conductors of families by a parameter X For quadratic Dirichlet characters, we have:

Theorem

Bias: ECs

The One-Level Density is represented by the integral kernel

$$K(\tau) = 1 - \frac{\sin(2\pi\tau)}{2\pi\tau} + \frac{1 - \cos(2\pi\tau)}{\Lambda^{-1}\log X} + O\left(\frac{1}{\log^2 X}\right)$$

for $\Lambda < 0$.

Similarly for the family of quadratic twists of Sym^r f.

Deducing Effective Matrix Size

Matching with integral kernel of matrix groups.

$$\diamond \frac{\pi}{N} \cdot K_{1,USp(2N)}(t) = 1 - \frac{\sin(2\pi t)}{2\pi t} + \frac{1 - \cos(2\pi t)}{2N} + \dots$$

$$\diamond \frac{\pi}{N} \cdot K_{1,SO(2N+1)}(t), \text{ same leading term.}$$

Note

$$\frac{\pi}{N} \cdot (K_{1,SO(2N+1)} - K_{1,USp(2(-N))}) \sim \frac{1 - \cos(2\pi t)}{2N}$$

- Unitary Symplectic Families behave like SO(2N + 1)for bounded X.
- Similarly for quadratic twists of Svm²f.

Excised Orthogonal Ensemble

- As before, let \mathcal{F} be those quadratic twists of L(E,s).
- Idea: interpret $L(E, \frac{1}{2} + it)$ as an integral kernel.
- Taylor Series expansion:

$$L(E,s) = L(E,\frac{1}{2}) + L'(E,\frac{1}{2})(s-\frac{1}{2}) + \dots$$

- Goal: match power series coefficients with that of $ch_{\mathcal{H}}(e^{i\theta}).$
- Amalgamate integral kernels together: attach to \mathcal{F} a product distribution $\prod_{E \in \mathcal{F}} \int_0^\infty L(E, \frac{1}{2} + it) dt$.

Excised Orthogonal Ensemble (continued)

We deduce

Theorem

Bias: ECs

Let \mathcal{F}_X be those quadratic twists of an elliptic curve E/\mathbb{Q} of conductor N < X. If $\sup_n \left(\left| L^{(n)}(E, \frac{1}{2}) - ch^{(n)}(1) \right| \right) < \delta$, then $\left|D_{1,\mathcal{F}_X}-D_{1,\mathcal{M}_{N(X)}}\right|_{L^2}<\varepsilon.$

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