

The Circle Method and Class Groups of Quadratic Fields

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August 28, 2010

Definitions

Definition

A **number field** is a finite extension of the field of rationals. For example: $\mathbb{Q}(i)$, the Gaussian rationals, or $\mathbb{Q}(\sqrt{d})$, the quadratic fields for squarefree d .

Definition

An **algebraic integer** is any root of a monic polynomial with integer coefficients. The set of all algebraic integers in a number field forms a ring, called the **ring of integers** in a number field.

Definitions (cont'd.)

Definition

Let K be a number field. Define an equivalence relation \sim on the fractional ideals of K by $I \sim J$ if there exist non-zero $\alpha, \beta \in K$ such that $\alpha I = \beta J$. The group formed by these equivalence classes of fractional ideals (under the obvious multiplication: $[IJ] = [I][J]$) is called the **class group** of K .

Definition

If the class group is finite, then the order of the class group is called the **class number**.

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- Describing how badly a ring of integers in a number field fails to have unique factorization
- Class field theory and Galois theory
- Dirichlet's class number formula and primes in arithmetic progressions
- Professor says I should care/pays my salary.

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Theorem (Prof. Siman Wong)

For any integer $k > 1$, there exist infinitely many complex quadratic fields for which the Sylow 2-subgroups of their class groups are cyclic of order $\geq 2^k$.

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- ① $p_1 + p_2 = 2w^{2^k}$ with w even,
- ② $p_1 \equiv 1 \pmod{4}$, and
- ③ $\left(\frac{p_1}{w}\right) = -1$

then $\mathbb{Q}(\sqrt{-p_1 p_2})$ has the desired properties.

An initial stab...

Take $w = 2m^2$. Then $\left(\frac{p_1}{w}\right) = -1 \implies \left(\frac{p_1}{2}\right) = -1 \implies p_1 \equiv \pm 3 \pmod{8}$.

- Cue to study sums of pairs of primes in particular congruence classes.
- Specifically, what can we prove about representing values of a polynomial as the sum of two primes congruent to 3 and 5 mod 8?

A useful theorem (simplified)

Theorem (Perelli, 1996)

If $F \in \mathbb{Z}[x]$ takes on infinitely many even values, then every “short” interval contains at least one x such that $F(x)$ is a Goldbach number.

(“short” is approximately an interval of width about $N^{1/3}$ around N)

Corollary (What we basically care about is...)

Infinitely many values of F can be written as the sum of two primes.

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- The number of ways n can be represented as the sum of d elements of A is the coefficient of $e^{2\pi i nx}$ in $f(x)^d$, which can be represented by the integral

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- Problem: this integral is hard to calculate.

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- The Circle Method: Estimate the integral on \mathfrak{M} with easier functions that well approximate f on \mathfrak{M} , and show that the integral on \mathfrak{m} is small.
- Typically we only care about showing existence of at least one representation; that is,

$$\int_{\mathfrak{M}} f(x)^d e^{-2\pi i n x} dx + \int_{\mathfrak{m}} f(x)^d e^{-2\pi i n x} dx \geq 1$$

. Hence sloppy estimation acceptable encouraged!

The Prime Case: Major Arcs

Define the weighted prime generating function

$$f(\alpha) = \sum_{p \leq n} (\log p) e^{2\pi i p \alpha}$$

Lemma

Let

$$v(\beta) = \sum_{m=1}^n e^{2\pi i \beta m}.$$

Then there is a positive constant C such that, for all α in a major arc around a/q ($(a, q) = 1$),

$$f(\alpha) = \frac{\mu(q)}{\phi(q)} v(\alpha - a/q) + O(n \exp(-C(\log n)^{1/2})).$$

Things get nicer ...

Now to study sums of two primes, we want to look at coefficients of $f(\alpha)^2$. But we now have that

$$f(\alpha)^2 - \frac{\mu(q)^2}{\phi(q)^2} v(\alpha - a/q)^2 \ll n^2 \exp(-C(\log n)^{1/2})$$

Estimating integrals with $f(\alpha)^2$ is now much easier:

- v is a much easier function to study.
 - does not depend on prime sums. Primes are hard.
 - Exponentials: easy to integrate.
- μ and ϕ are easily bounded

The Singular Series

- (The minor arc calculation is a rather tedious application of Weyl's inequality; we'll skip it for brevity.)
- Summing and integrating our estimates naturally gives rise to the so-called “singular series”:

$$\mathfrak{S}(m) = \left(\prod_{p \nmid m} (1 - (p-1)^{-2}) \right) \left(\prod_{p|m} (1 + (p-1)^{-1}) \right)$$

Theorem

$$\sum_{m=1}^n |R(m) - m\mathfrak{S}(m)|^2 \ll n^3 (\log n)^{-A}$$

where $R(m)$ is the coefficient of $e^{2\pi im\alpha}$ in $f(\alpha)^2$ – that is, the number of ways of writing m as the sum of two primes – and A is a large integer.

Some functions

Definition

We restrict f by the function

$$f_2(\alpha) = \sum_{p \leq n} (\log p) e^{2\pi i p \alpha},$$

where the primes are restricted to those congruent to 3 or 5 mod 8.

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Definition

To estimate f_2 , we define a function μ_2 by

- $\mu_2(q) = \mu(q)/2$ whenever $8 \nmid q$
- $\mu_2(8) = -\sqrt{2}$, and
- $\mu_2(8q) = \left(\frac{q}{2}\right) |\mu(q)| \sqrt{2}$ for $q > 1$.

Generalization Results

Lemma (D– 2010)

$$f_2(\alpha)^2 - \frac{\mu_2(q)^2}{\phi(q)^2} v(\alpha - a/q)^2 \ll n^2 \exp(-C(\log n)^{1/2}),$$

where α is in the major arc around a/q .

Generalization Results

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$$f_2(\alpha)^2 - \frac{\mu_2(q)^2}{\phi(q)^2} v(\alpha - a/q)^2 \ll n^2 \exp(-C(\log n)^{1/2}),$$

where α is in the major arc around a/q .

Theorem (D– 2010)

$$\sum_{m=1}^n |R(m) - m\mathfrak{S}_2(m)|^2 \ll n^3 (\log n)^{-A}$$

where $R_2(m)$ is the coefficient of $e^{2\pi i m \alpha}$ in $f_2(\alpha)^2$, and \mathfrak{S}_2 is a similar series to \mathfrak{S}_2 , usually equal to $\mathfrak{S}/4$ or $\mathfrak{S}/2$.

Theorem (D– 2010)

If $F \in \mathbb{Z}[x]$ takes on infinitely many even values not congruent to 4 mod 8, then there are infinitely many x such that $F(x)$ can be written as the sum of two primes congruent to 3 and 5 mod 8.

Back on the algebraic side of things, we can take $F(x) = 2(2x^2)^{2^k}$ in the above theorem to finally prove:

Theorem (D– 2010)

Given any integer $k > 1$, there exist infinitely many complex quadratic fields with cyclic 2-class group of order exactly 2^k .