

Number Theory and Probability Group SMALL 2012

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<http://www.williams.edu/Mathematics/sjmilller/>
SMALL, August 7, 2012

Random Matrix Theory (Luo and Triantafillou)

Goals

- We study distributions of structured ensembles. More structure and less averaging leads to new behavior.
- Generalize results on weighted structured ensembles.
- Look at transitions as the amount of structure changes.

Weighted Toeplitz Matrices

For fixed n , we consider $N \times N$ weighted Toeplitz matrices, whose entries are iidrv from a p with mean 0, variance 1 and finite higher moments and randomly chosen $\epsilon_{ij} \in \{-1, 1\}$ with $\text{Prob}(\epsilon_{ij} = 1) = p$. A weighted Toeplitz matrix is of the form

$$\begin{pmatrix} \epsilon_{11} \mathbf{b}_0 & \epsilon_{12} \mathbf{b}_1 & \cdots & \epsilon_{1(N-1)} \mathbf{b}_{N-2} & \epsilon_{1N} \mathbf{b}_{N-1} \\ \epsilon_{21} \mathbf{b}_1 & \epsilon_{22} \mathbf{b}_0 & \cdots & \epsilon_{2(N-1)} \mathbf{b}_{N-3} & \epsilon_{2N} \mathbf{b}_{N-2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \epsilon_{(N-1)1} \mathbf{b}_{N-2} & \epsilon_{(N-1)2} \mathbf{b}_{N-3} & \cdots & \epsilon_{(N-1)(N-1)} \mathbf{b}_0 & \epsilon_{(N-1)N} \mathbf{b}_1 \\ \epsilon_{N1} \mathbf{b}_{N-1} & \epsilon_{N2} \mathbf{b}_{N-2} & \cdots & \epsilon_{N(N-1)} \mathbf{b}_1 & \epsilon_{NN} \mathbf{b}_0 \end{pmatrix}$$

Configurations

By eigenvalue trace lemma, k^{th} uncentered moment is

$$\frac{1}{N^{1+k/2}} \mathbb{E} \left(\sum_{i_1, \dots, i_k} \mathbf{a}_{i_1 i_2} \mathbf{a}_{i_2 i_3} \cdots \mathbf{a}_{i_{k-1} i_k} \mathbf{a}_{i_k i_1} \right).$$

In Toeplitz ensembles, all terms on a diagonal are the same, so we relabel $\mathbf{a}_{i_j i_{j+1}}$ as $b_{|i_j - i_{j+1}|}$.

Lemma

The only terms that contribute to the $2k^{\text{th}}$ moment of the limiting spectral measure are terms where the b 's are matched in exactly pairs.

Configurations

Lemma

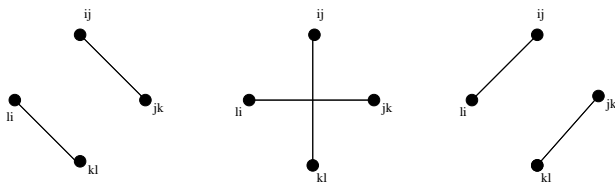
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Sketch of Proof: degree of freedom argument.

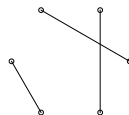
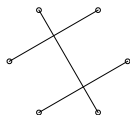
Idea: Count contribution from each pairing.

If $a_{i_j i_{j+1}} = a_{i_k i_{k+1}}$, then $|i_j - i_{j+1}| = -|i_k - i_{k+1}|$.

Examples of Configurations



In top left configuration, $a_{ij} = a_{jk}$, $a_{li} = a_{kl}$
 $\Rightarrow |i - j| = -|j - k|$, $|l - i| = -|k - l|$.



Past Weighted Toeplitz results

Theorem: Beckwith-Miller-Shen (SMALL 2011)

Consider the weighted ensemble where the (i, j) th and (j, i) th entries of these matrices are multiplied by a randomly chosen $\epsilon_{ij} \in \{1, -1\}$, with $\text{Prob}(\epsilon_{ij} = 1) = p$.

For $p = 1/2$, the limiting spectral measure is the semi-circle. For all other p , the limiting measure has unbounded support, converges to original ensemble's limiting measure as $p \rightarrow 1$ (weakly convergent, surely if density is even).

New Results

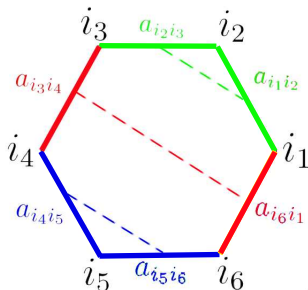
Theorem: LMT '12

- 1 For palindromic Toeplitz matrices, the depression of the contribution depends only on the crossing number.
- 2 Given any matrix ensemble, when $p = 1/2$, the limiting spectral measure is the semicircle distribution (special dependencies allowed between matrix elements).
- 3 Any distribution that had unbounded or bounded support before weighting still has unbounded or bounded support after weighting.

1. Depression Dependency on Number of Crossings

Theorem: Dependency on Number of Crossings

- For palindromic Toeplitz matrices, depression of contribution depends only on crossing number.
- Dependency does not hold for doubly palindromic Toeplitz, consider 6th moment.



2. Depression of at least $(2p - 1)^2$

Theorem: Depression at least $(2p - 1)^2$

- $x(c)$ is original contribution from the specified configuration, $2k^{\text{th}}$ moment, and $e(c)$ number of vertices in crossing pairs.
- Consider "nice" ensembles, i.e., highly palindromic Toeplitz.
- Noncrossing: contrib. at most $(x(c) - 1)(2p - 1)^4 + 1$ and at least $(x(c) - 1)(2p - 1)^{2k} + 1$.
- Crossing: contribution at most $x(c)(2p - 1)^{e(c)}$ and at least $x(c)(2p - 1)^{2k}$.

3. Interpolation

Theorem: Interpolation

- Consider general real symmetric matrix ensemble.
- Noncrossing: contribution to the $2k^{\text{th}}$ moment reduced from $x(c)$ to at most $(2p - 1)^2(x(c) - 1) + 1$.
- Crossing: contribution to $2k^{\text{th}}$ moment reduced from $x(c)$ to at most $(2p - 1)^2 x(c)$.
- When $p = \frac{1}{2}$, obtain semicircle distribution.

Low-lying zeroes of Maass form L -functions
(Alpoge)

Katz-Sarnak

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- If we replace \mathbb{Q} by $\mathbb{F}_q(t)$, we know the Riemann hypothesis (and more: Deligne). Deligne’s proof uses the action of a “monodromy group.” Who is this guy in “real life” (over \mathbb{Q})?
- Katz-Sarnak: study the distribution of zeroes (of a family of L -functions) near this central point (via hitting them with neutrons), and you shall find out. . .

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- Let's find out how!

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- Goldfeld-Kontorovich (famous number theorist and his former student): “we obtain the low-lying zero densities for. . . $GL(3)$ Maass forms.”
- “The methods presented here are capable of wide generalization. . . it should be possible to determine the symmetry types of families associated to. . . $GL(n)$ for any $n \geq 2$. We hope to return to this topic in a future publication.”

Theorem

Research is not for the faint of heart.



My proof

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- **Third:** use Poisson summation.
- **Fourth:** use Fourier inversion (and Taylor expand copiously).

My proof (continued)

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Thanks!!!!

Gaps in Generalized Zeckendorf Decompositions (Bower, Insoft, Li and Tosteson)

Previous Results

Fibonacci Numbers: $F_{n+1} = F_n + F_{n-1}$;

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Lekkerkerker's Theorem (1952)

The average number of summands in the Zeckendorf decomposition for integers in $[F_n, F_{n+1})$ tends to

$$\frac{n}{\varphi^2 + 1} \approx .276n, \text{ where } \varphi = \frac{1 + \sqrt{5}}{2} \text{ is the golden mean.}$$

Previous Generalizations

Generalizing from Fibonacci numbers to **linearly recursive sequences with arbitrary nonnegative coefficients**.

$$H_{n+1} = c_1 H_n + c_2 H_{n-1} + \cdots + c_L H_{n-L+1}, \quad n \geq L$$

with $H_1 = 1$, $H_{n+1} = c_1 H_n + c_2 H_{n-1} + \cdots + c_n H_1 + 1$, $n < L$,
coefficients $c_i \geq 0$; $c_1, c_L > 0$ if $L \geq 2$; $c_1 > 1$ if $L = 1$.

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- **Central Limit Type Theorem**

Distribution of Gaps

For $H_{i_1} + H_{i_2} + \dots + H_{i_n}$, the gaps are the differences:

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Big Question: What is $P(m) = \lim_{n \rightarrow \infty} P_n(m)$?

Big Question: What is the distribution of the longest gap?

Positive Linear Recurrences of Any Length

Theorem

Let $H_{n+1} = c_1 H_n + \dots + c_L H_{n+1-L}$ be a Positive Linear Recurrence Sequence, then, if $j \geq L$,

$$P(j) = (\lambda_1 - 1)^2 \left(\frac{a_1}{C_{Lek}} \right) \lambda_1^{-j},$$

where λ_1 is the largest root of the characteristic polynomial of the recurrence.

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What can we say about the distribution of gaps $< L$ for any PLRS?

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Let $H_{n+1} = c_1 H_n + c_2 H_{n-1} + \dots + c_L H_{n+1-L}$ be a positive linear recurrence of length L where $c_i \geq 1$ for all $1 \leq i \leq L$. Then $P(j) =$

$$\begin{cases} 1 - \left(\frac{a_1}{C_{Lek}}\right)(\lambda_1^{-n+2} - \lambda_1^{-n+1} + 2\lambda_1^{-1} + a_1^{-1} - 3) & j = 0 \\ \lambda_1^{-1} \left(\frac{1}{C_{Lek}}\right)(\lambda_1(1 - 2a_1) + a_1) & j = 1 \\ (\lambda_1 - 1)^2 \left(\frac{a_1}{C_{Lek}}\right) \lambda_1^{-j} & j \geq 2 \end{cases}$$

Proof Set Up of case $j \geq 2$

Theorem

If $j \geq 2$, then $P(j) = (\lambda_1 - 1)^2 \left(\frac{a_1}{C_{Lek}} \right) \lambda_1^{-j}$.

Let $X_{i,i+j}(n) = \#\{m \in [H_n, H_{n+1}): \text{decomposition of } m \text{ includes } H_i, H_{i+j}, \text{ but not } H_q \text{ for } i < q < i+j\}$.

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Let $Y(n) = \text{total number of gaps in decompositions for integers in } [H_n, H_{n+1})$.

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Let $Y(n) = \text{total number of gaps in decompositions for integers in } [H_n, H_{n+1})$.

$$P(j) = \lim_{n \rightarrow \infty} \frac{1}{Y(n)} \sum_{i=1}^{n-j} X_{i,i+j}(n).$$

Proof Set Up of case $j \geq 2$

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Generalized Lekkerkerker:

$$\Rightarrow Y(n) \sim (C_{Lek} n + d)(H_{n+1} - H_n).$$

A Quick Counting Lesson: How do we count $X_{i,i+j}$?

We need to see the number of legal decompositions with a gap of length j .

Can count how many legal decompositions exist to the **left** and **right** of the gap.

Lemma

Let $H_{n+1} = c_1 H_n + \dots + c_L H_{n+1-L}$ be a Positive Linear Recurrence Sequence, then the number of legal decompositions which contain H_m as the largest summand is $H_{m+1} - H_m$.

Calculating $X_{i,i+j}$

Theorem

If $j \geq 2$, then $P(j) = (\lambda_1 - 1)^2 \left(\frac{a_1}{C_{Lek}} \right) \lambda_1^{-j}$.

In the interval $[H_n, H_{n+1})$:

How many decompositions contain a gap from H_i to H_{i+j} ?

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So $X_{i,i+j}(n) = \text{Left} * \text{Right} =$

$(H_{i+1} - H_i)(H_{n-i-j+2} - H_{n-i-j+1} - (H_{n-i-j+1} - H_{n-i-j}))$.

Final Steps of the Proof

For sufficiently large n , $H_n \approx a_1 \lambda_1^n$.

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Then with some algebra...

$$P(j) = (\lambda_1 - 1)^2 \left(\frac{a_1}{C_{Lek}} \right) \lambda_1^{-j}. \quad \square$$

Gaps in Generalized Zeckendorf Decompositions (Bower, Insoft, Li and Tosteson)

Longest Gap:

Big question: Given a random number x in the interval $[F_n, F_{n+1})$, what is the probability that x has **longest gap** equal to r ?

RMT
oooooooooo

Maass
oooooo

Gaps (Bulk)
oooooooooo

Gaps (Longest)
●ooooo

Phase Transition
ooooo

Sumsets v. Sumdiffs
oooooooooooooooo

Our Method

What we do:

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- Recast the problem through combinatorics.

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- Get the important relationships.
- Analyze limiting behavior.

Cumulative Distribution Function

Pick x randomly from the interval $[F_n, F_{n+1})$. We prove explicitly the cumulative distribution of x 's longest gap.

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Theorem

Let $r = \phi^2 / (\phi^2 + 1)$. Set $f(n) = \log rn / \log \phi + u$ for some fixed $u \in \mathbb{Z}$. As $n \rightarrow \infty$, the probability that $x \in [F_n, F_{n+1})$ has longest gap at most $f(n)$ converges to

$$\mathbb{P}(L(x) \leq f(n)) = e^{e^{(1-u) \log \phi + \{f(n)\}}}$$

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Immediate Corollary: If $f(n, u)$ grows any **slower** or **faster** than $\log n / \log \phi$, then $\mathbb{P}(L(x) \leq f(n))$ goes to **0** or **1** respectively.

Mean and Variance

We can use the **CDF** to determine the **regular distribution function**, and particularly the **mean** and **variance**.

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then the distribution of the longest gap is **approximately** $\frac{d}{du}P(u)$.

The mean is given by

$$\mu = \int_{-\infty}^{\infty} u \frac{d}{du}P(u)du.$$

The variance follows similarly.

Mean and Variance

So the mean is about

$$\mu = \frac{\log\left(\frac{\phi^2}{\phi^2+1}\right)}{\log\phi} + \int_{-\infty}^{\infty} e^{-e^{(1-u)\log\phi}} e^{(1-u)\log\phi} \log\phi \, du.$$

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Theorem

In the continuous approximation, the mean is

$$\frac{\log\left(\frac{\phi^2}{\phi^2+1}n\right)}{\log\phi} - \gamma.$$

Positive Linear Recurrence Sequences

This method can be **greatly generalized** to **Positive Linear Recurrence Sequences** (linear recurrences with non-negative coefficients). WLOG:

$$H_{n+1} = c_1 H_{n-(t_1=0)} + c_2 H_{n-t_2} + \cdots + c_L H_{n-t_L}.$$

Positive Linear Recurrence Sequences

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Theorem (Zeckendorf's Theorem for *PLRS* recurrences)

Any $b \in \mathbb{N}$ has a unique **legal** decomposition into sums of H_n , $b = a_1 H_{i_1} + \cdots + a_{i_k} H_{i_k}$.

Here **legal** reduces to non-adjacency of summands in the Fibonacci case.

Generating Function for PLRS

The **number** of $b \in [H_n, H_{n+1})$, with **longest gap** $< f$ is the coefficient of x^{n-s} in the generating function:

$$\sum_{k \geq 0} \left[((c_1 - 1)x^{t_1} + \dots + (c_L - 1)x^{t_L}) \left(\frac{x^{s+1} - x^f}{1-x} \right) + x^{t_1} \left(\frac{x^{s+t_2-t_1+1} - x^f}{1-x} \right) + \dots + x^{t_{L-1}} \left(\frac{x^{s+t_L-t_{L-1}+1} - x^f}{1-x} \right) \right]^k \times \frac{1}{1-x} (c_1 - 1 + c_2 x^{t_2} + \dots + c_L x^{t_L})$$

A geometric series!

Phase Transitions (Hogan)

Past Results

- **Martin and O'Bryant, 2006:** Positive percentage of sets are MSTD (more sum than difference) when sets chosen with uniform probability. Surprising:
 $x + y = y + x$ but $x - y$ usually not $y - x$.

Past Results

- **Martin and O'Bryant, 2006**: Positive percentage of sets are MSTD (more sum than difference) when sets chosen with uniform probability. Surprising: $x + y = y + x$ but $x - y$ usually not $y - x$.
- **Iyer, Lazarev, Miller, Zhang, 2011**: Generalized results above to an arbitrary number of summands.

Phase Transition

Theorem (Hegarty-Miller): $\mathcal{S} = |A + A|$, $\mathcal{D} = |A - A|$,
 $g(x) := 2 \left(\frac{e^{-x} - (1-x)}{x} \right)$. Take $k \in \{0, \dots, N-1\}$ with
 probability $p(N) \rightarrow 0$, then if

- $p(N) = o(N^{-1/2})$:

$$\mathcal{S} \sim \frac{(N \cdot p(N))^2}{2} \quad \text{and} \quad \mathcal{D} \sim 2\mathcal{S} \sim (N \cdot p(N))^2.$$

- $p(N) = c \cdot N^{-1/2}$:

$$\mathcal{S} \sim g\left(\frac{c^2}{2}\right) N \quad \text{and} \quad \mathcal{D} \sim g(c^2) N.$$

- $N^{-1/2} = o(p(N))$: Let $\mathcal{S}^c := (2N+1) - \mathcal{S}$,
 $\mathcal{D}^c := (2N+1) - \mathcal{D}$. Then

$$\mathcal{S}^c \sim 2 \cdot \mathcal{D}^c \sim 4/p(N)^2.$$

Generalized Sumsets

Definition

For $s > d$, consider the **Generalized Sumset**

$A_{s,d} = A + \dots + A - A - \dots - A$ where we have s plus signs and d minus signs. Let $h = s + d$.

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We want to study the size of this set as a function of s, d , and δ for $p(N) = cN^{-\delta}$.

Our goal: Extend the results of Hegarty-Miller to the case of Generalized Sumsets and determine where the phase transition occurs for $h > 2$.

Cases for δ

To answer, we must consider three different cases for δ .

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These three cases correspond to the speed at which the probability of choosing elements decays to 0.

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- Bound the expected value and variance of the sum of these indicator variables.
- Chebyshev's Inequality: $\text{Prob}(|X - \mu_X| \geq k\sigma_X) \leq 1/k^2$.

Sumsets vs Sumdifferences (Vissuet)



In the Red Corner:

- Sumset $:= S + S = \{xy : x, y \in S\}$

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- Weakness: For abelian groups we have that $xy = yx$

In the Blue Corner:

- Sumdifference $:= S - S = \{xy^{-1} : x, y \in S\}$

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- Weakness: $x \cdot x^{-1}$ is the identity $\forall x \in S$.

Rules of the match

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- The match will consist of 3 different venues and will be best 2 out of 3.
- Question that needs to be asked before we start:
- **Are You Ready To Rumblllllee?**

First Venue



The Match

- Sumsets terribly loses the first 13 of \aleph_0 rounds because there does not exist a subset of $[0, 14]$ such that $|S + S| > |S - S|$.

The Match

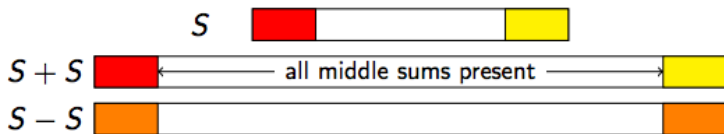
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- However, In Round 14, with the help of Conway, Sumset gets a jab in with the set:
 $\{0, 2, 3, 4, 7, 11, 12, 14\}$.
- With the help of Coaches Martin and O'Bryant, Sumsets realizes that if he wants to win it has to concentrate on having a better "fringe."

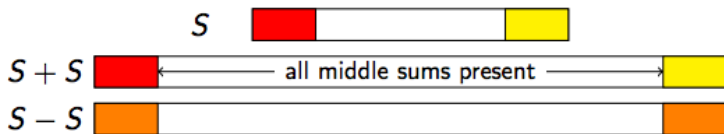
Sumset's tactics

- Key Idea: In the \mathbb{Z} case, **fringe matters most**, middle sums and differences are present with high probability



Sumset's tactics

- Key Idea: In the \mathbb{Z} case, **fringe matters most**, middle sums and differences are present with high probability



- If we choose the "fringe" of S cleverly, the middle of S will become largely irrelevant. - Martin and O'Bryant's inspiring words*

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Maass
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Gaps (Bulk)
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Sumsets v. Sumdiffs
oooooooo●ooooo

The Second Venue



RMT
oooooooooooo

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The Second Venue



The Match Round 1

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Theorem

If we let S be a random subset of $\mathbb{Z}/n\mathbb{Z}$ (if $\alpha \in D_{2n}$ then $\mathbb{P}(\alpha \in S) = 1/2$) then

$$\lim_{n \rightarrow \infty} \mathbb{P}(|S \cdot S| = |S \cdot S^{-1}|) = 1.$$

The Match Round 2

Theorem

Similar results hold for Abelian Groups, Dihedral Groups, and Semi-direct Products of cyclic groups.

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Theorem

Similar results hold for Abelian Groups, Dihedral Groups, and Semi-direct Products of cyclic groups.

Although for any finite n , there are more subsets S of D_{2n} such that $|S + S| > |S - S|$, the judges still decided to call the boat a draw due to limiting behavior.

The Third Venue



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The Match

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- The free group was Sumset's strength, it is no longer in an abelian group.
- Not only that, but Sumdifference's weakness is still there ($x \cdot x^{-1}$ is the identity for all $x \in S$).
- The match was very one sided.

Ping Pong

Theorem (Free Group)

If we let $\langle a, b \rangle_l$ be all words up to length l and $S \subseteq \langle a, b \rangle_l$, then as l goes to infinity we have that:

$$\mathbb{P}(|S \cdot S| \geq |S \cdot S^{-1}|) = 1.$$

TAKE THAT
SUM DIFFERENCE!

