# From Fibonacci Quilts to Benford's Law through Zeckendorf Decompositions

# Steven J. Miller (sjm1@williams.edu)

http://www.williams.edu/Mathematics/sjmiller/public\_html

CANT 2015 (May 19), Graduate Center



Introduction

#### **Collaborators and Thanks**

#### **Collaborators:**

**Kentucky Sequence, Fibonacci Quilt:** Joint with Minerva Catral, Pari Ford, Pamela Harris & Dawn Nelson.

**Benfordness:** Andrew Best, Patrick Dynes, Xixi Edelsbunner, Brian McDonald, Kimsy Tor, Caroline Turnage-Butterbaugh & Madeleine Weinstein.

## Supported by:

NSF Grants DMS1265673, DMS0970067, DMS1347804 and DMS0850577, AIM and Williams College.

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

## **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

#### **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

**Example**: 51 =?

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1,2,3,5,8,13,21,34,55,89,....

## **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

Example:  $51 = 34 + 17 = F_8 + 17$ .

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

## Zeckendorf's Theorem

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

Example: 
$$51 = 34 + 13 + 4 = F_8 + F_6 + 4$$
.

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

#### **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

Example: 
$$51 = 34 + 13 + 3 + 1 = F_8 + F_6 + F_3 + 1$$
.

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

## **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

Example: 
$$51 = 34 + 13 + 3 + 1 = F_8 + F_6 + F_3 + F_1$$
.

Fibonacci Numbers:  $F_{n+1} = F_n + F_{n-1}$ ; First few: 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, . . . .

#### **Zeckendorf's Theorem**

Every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers.

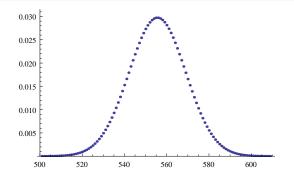
Example:  $51 = 34 + 13 + 3 + 1 = F_8 + F_6 + F_3 + F_1$ . Example:  $83 = 55 + 21 + 5 + 2 = F_9 + F_7 + F_4 + F_2$ .

Observe: 51 miles  $\approx$  82.1 kilometers.

## **Old Results**

# **Central Limit Type Theorem**

As  $n \to \infty$ , the distribution of number of summands in Zeckendorf decomposition for  $m \in [F_n, F_{n+1})$  is Gaussian.



**Figure:** Number of summands in  $[F_{2010}, F_{2011})$ ;  $F_{2010} \approx 10^{420}$ .

## Benford's law

## **Definition of Benford's Law**

A dataset is said to follow Benford's Law (base *B*) if the probability of observing a first digit of *d* is

$$\log_B\left(1+\frac{1}{d}\right)$$
.

- More generally probability a significant at most s is  $log_B(s)$ , where  $x = S_B(x)10^k$  with  $S_B(x) \in [1, B)$  and k an integer.
- Find base 10 about 30.1% of the time start with a 1, only 4.5% start with a 9.

**Previous Work** 

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1,

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1, 2,

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1, 2, 3,

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1, 2, 3, 5,

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1, 2, 3, 5, 8,

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

1, 2, 3, 5, 8, 13....

Fibonaccis are the only sequence such that each integer can be written uniquely as a sum of non-adjacent terms.

- Key to entire analysis:  $F_{n+1} = F_n + F_{n-1}$ .
- View as bins of size 1, cannot use two adjacent bins:

 Goal: How does the notion of legal decomposition affect the sequence and results?

## Generalizations

Generalizing from Fibonacci numbers to linearly recursive sequences with arbitrary nonnegative coefficients.

$$H_{n+1} = c_1 H_n + c_2 H_{n-1} + \cdots + c_L H_{n-L+1}, \ n \ge L$$

with  $H_1 = 1$ ,  $H_{n+1} = c_1 H_n + c_2 H_{n-1} + \cdots + c_n H_1 + 1$ , n < L, coefficients  $c_i \ge 0$ ;  $c_1, c_L > 0$  if  $L \ge 2$ ;  $c_1 > 1$  if L = 1.

- Zeckendorf: Every positive integer can be written uniquely as  $\sum a_i H_i$  with natural constraints on the  $a_i$ 's (e.g. cannot use the recurrence relation to remove any summand).
- Central Limit Type Theorem

# Example: the Special Case of L=1, $c_1=10$

$$H_{n+1} = 10H_n$$
,  $H_1 = 1$ ,  $H_n = 10^{n-1}$ .

- Legal decomposition is decimal expansion:  $\sum_{i=1}^{m} a_i H_i$ :  $a_i \in \{0, 1, \dots, 9\}$   $(1 \le i < m), a_m \in \{1, \dots, 9\}$ .
- For  $N \in [H_n, H_{n+1})$ , first term is  $a_n H_n = a_n 10^{n-1}$ .
- A<sub>i</sub>: the corresponding random variable of a<sub>i</sub>. The A<sub>i</sub>'s are independent.
- For large n, the contribution of A<sub>n</sub> is immaterial.
   A<sub>i</sub> (1 ≤ i < n) are identically distributed random variables with mean 4.5 and variance 8.25.</li>
- Central Limit Theorem:  $A_2 + A_3 + \cdots + A_n \rightarrow \text{Gaussian}$  with mean 4.5n + O(1) and variance 8.25n + O(1).

Kentucky Sequence and Quilts with Minerva Catral, Pari Ford, Pamela Harris & Dawn Nelson

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5,

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8],

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8], [11,

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8], [11, 16],

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8], [11, 16], [21,

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8], [11, 16], [21, 32], [43, 64], [85, 128].

31

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

 $[1,\ 2],\ [3,\ 4],\ [5,\ 8],\ [11,\ 16],\ [21,\ 32],\ [43,\ 64],\ [85,\ 128].$ 

•  $a_{2n} = 2^n$  and  $a_{2n+1} = \frac{1}{3}(2^{2+n} - (-1)^n)$ :  $a_{n+1} = a_{n-1} + 2a_{n-3}, a_1 = 1, a_2 = 2, a_3 = 3, a_4 = 4.$ 

Rule: (s, b)-Sequence: Bins of length b, and:

- cannot take two elements from the same bin, and
- if have an element from a bin, cannot take anything from the first s bins to the left or the first s to the right.

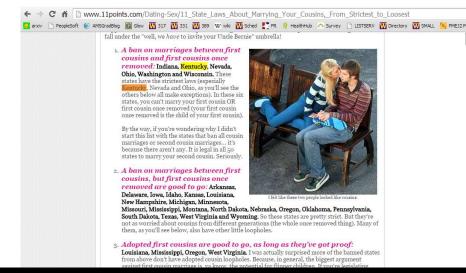
Fibonaccis: These are (s, b) = (1, 1).

Kentucky: These are (s, b) = (1, 2).

[1, 2], [3, 4], [5, 8], [11, 16], [21, 32], [43, 64], [85, 128].

- $a_{2n} = 2^n$  and  $a_{2n+1} = \frac{1}{3}(2^{2+n} (-1)^n)$ :  $a_{n+1} = a_{n-1} + 2a_{n-3}, a_1 = 1, a_2 = 2, a_3 = 3, a_4 = 4.$
- $a_{n+1} = a_{n-1} + 2a_{n-3}$ : New as leading term 0.

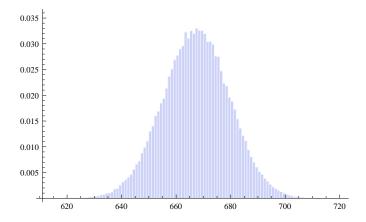
## What's in a name?



# What's in a name?

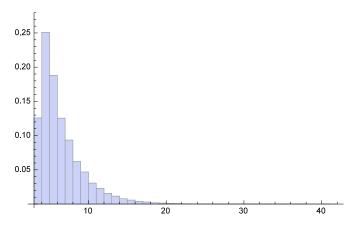


## **Gaussian Behavior**



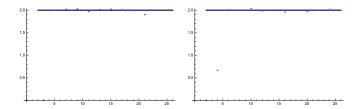
**Figure:** Plot of the distribution of the number of summands for 100,000 randomly chosen  $m \in [1, a_{4000}) = [1, 2^{2000})$  (so m has on the order of 602 digits).

# **Gaps**



**Figure:** Plot of the distribution of gaps for 10,000 randomly chosen  $m \in [1, a_{400}) = [1, 2^{200})$  (so m has on the order of 60 digits).

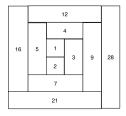
## **Gaps**



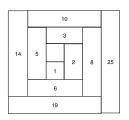
**Figure:** Plot of the distribution of gaps for 10,000 randomly chosen  $m \in [1, a_{400}) = [1, 2^{200})$  (so m has on the order of 60 digits). Left (resp. right): ratio of adjacent even (resp odd) gap probabilities.

Again find geometric decay, but parity issues so break into even and odd gaps.

## The Fibonacci (or Log Cabin) Quilt: Work in Progress



1, 2, 3, 4, 5, 7, 9, 12, 16, 21, 28, ...



1, 2, 3, 5, 6, 8, 10, 14, 19, 25, 33, ...

- $a_{n+1} = a_{n-1} + a_{n-2}$ , non-uniqueness (average number of decompositions grows exponentially).
- In process of investigating Gaussianity, Gaps,
   K<sub>min</sub>, K<sub>ave</sub>, K<sub>max</sub>, K<sub>greedy</sub>.

# **Average Number of Representations**

- $d_n$ : the number of FQ-legal decompositions using only elements of  $\{a_1, a_2, \dots, a_n\}$ .
- $c_n$  requires  $a_n$  to be used,  $b_n$  requires  $a_n$  and  $a_{n-2}$  to be used.

n	d <sub>n</sub>	Cn	$b_n$	a <sub>n</sub>
1	2	1	0	1
2	3	1	0	2
3	4	1	0	3
4	6	2	1	4
5	8	2 2 3	1	5
6	11	3	1	7
7	15	4	1	9
8	21	6	2	12
9	30	9	3	16

**Table:** First few terms. Find  $d_n = d_{n-1} + d_{n-2} - d_{n-3} + d_{n-5} - d_{n-9}$ , implying  $d_{\text{FQ;ave}}(n) \approx C \cdot 1.05459^n$ .

# **Greedy Algorithm**

 $h_n$ : number of integers from 1 to  $a_{n+1} - 1$  where the greedy algorithm successfully terminates in a legal decomposition.

n	a <sub>n</sub>	h <sub>n</sub>	$ ho_{n}$
1	1	1	100.0000
2	2	2	100.0000
3	3	3	100.0000
4	4	4	100.0000
5	5	5	83.3333
6	7	7	87.5000
10	21	25	92.5926
11	28	33	91.6667
17	151	184	92.4623

**Table:** First few terms, yields  $h_n = h_{n-1} + h_{n-5} + 1$  and percentage converges to about 0.92627.

### Other Rules

# **Tilings, Expanding Shapes**

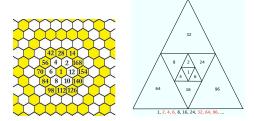


Figure: (left) Hexagonal tiling; (right) expanding triangle covering.

### Theorem:

A sequence uniquely exists, and similar to previous work can deduce results about the number of summands and the distribution of gaps.

#### **Fractal Sets**

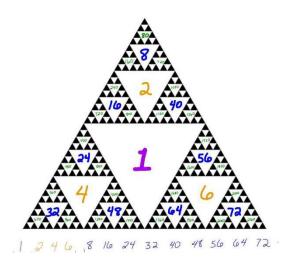
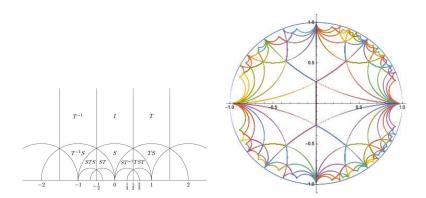


Figure: Sierpinski tiling.

# Upper Half Plane / Unit Disk



**Figure:** Plot of tesselation of the upper half plane (or unit disk) by the fundamental domain of  $SL_2(\mathbb{Z})$ , where T sends z to z+1 and S sends z to -1/z.

#### Benfordness in Interval

Joint with Andrew Best, Patrick Dynes, Xixi Edelsbunner, Brian McDonald, Kimsy Tor, Caroline Turnage-Butterbaugh and Madeleine Weinstein

#### Benfordness in Interval

## Theorem (SMALL 2014): Benfordness in Interval

The distribution of the summands in the Zeckendorf decompositions, averaged over the entire interval  $[F_n, F_{n+1})$ , follows Benford's Law.

#### Benfordness in Interval

## Theorem (SMALL 2014): Benfordness in Interval

The distribution of the summands in the Zeckendorf decompositions, averaged over the entire interval  $[F_n, F_{n+1})$ , follows Benford's Law.

## **Example**

Looking at the interval  $[F_5, F_6) = [8, 13)$ 

$$8 = 8$$
  $= F_5$   
 $9 = 8 + 1 = F_5 + F_1$   
 $10 = 8 + 2 = F_5 + F_2$   
 $11 = 8 + 3 = F_5 + F_3$   
 $12 = 8 + 3 + 1 = F_5 + F_3 + F_1$ 

#### **Preliminaries for Proof**

### **Density of S**

For a subset S of the Fibonacci numbers, define the density q(S, n) of S over the interval  $[1, F_n]$  by

$$q(S,n) = \frac{\#\{F_j \in S \mid 1 \leq j \leq n\}}{n}.$$

## **Asymptotic Density**

If  $\lim_{n\to\infty} q(S,n)$  exists, define the asymptotic density q(S) by

$$q(S) = \lim_{n \to \infty} q(S, n).$$

## **Needed Input**

Let  $S_d$  be the subset of the Fibonacci numbers which share a fixed digit d where  $1 \le d < B$ .

#### Theorem: Fibonacci Numbers Are Benford

$$q(S_d) = \lim_{n\to\infty} q(S_d, n) = \log_B \left(1 + \frac{1}{d}\right).$$

**Proof:** Binet's formula, Kronecker's theorem on equidistribution of  $n\alpha \mod 1$  for  $\alpha \notin \mathbb{Q}$ .

#### **Random Variables**

### **Random Variable from Decompositions**

Let  $X(I_n)$  be a random variable whose values are the the Fibonacci numbers in  $[F_1, F_n)$  and probabilities are how often they occur in decompositions of  $m \in I_n$ :

$$P\{X(I_n)=F_k\}:= egin{cases} rac{F_{k-1}F_{n-k-2}}{\mu_nF_{n-1}}, & ext{if } 1\leq k\leq n-2 \ rac{1}{\mu_n}, & ext{if } k=n \ 0, & ext{otherwise,} \end{cases}$$

where  $\mu_n$  is the average number of summands in Zeckendorf decompositions of integers in the interval  $[F_n, F_{n+1})$ .

## **Approximations**

# Estimate for $P\{X(I_n) = F_k\}$

$$P\{X(I_n) = F_k\} = \frac{1}{\mu_n \phi \sqrt{5}} + O\left(\phi^{-2k} + \phi^{-2n+2k}\right).$$

# **Constant Fringes Negligible**

For any r (which may depend on n):

$$\sum_{r < k < n-r} P\{X(I_n) = F_k\} = 1 - r \cdot O\left(\frac{1}{n}\right).$$

52

# Estimating $P\{X(I_n) \in S\}$

Set 
$$r := \left| \frac{\log n}{\log \phi} \right|$$
.

# **Density of S over Zeckendorf Summands**

We have

$$P\{X(I_n) \in S\} = \frac{nq(S)}{\mu_n\phi\sqrt{5}} + o(1) \rightarrow q(s).$$

#### Remark

- Stronger result than Benfordness of Zeckendorf summands.
- Global property of the Fibonacci numbers can be carried over locally into the Zeckendorf summands.
- If we have a subset of the Fibonacci numbers S with asymptotic density q(S), then the density of the set S over the Zeckendorf summands will converge to this asymptotic density.

Benfordness of Random and Zeckendorf Decompositions
Joint with Andrew Best, Patrick Dynes, Xixi Edelsbunner, Brian
McDonald, Kimsy Tor, Caroline Turnage-Butterbaugh and
Madeleine Weinstein

## **Random Decompositions**

# Theorem 2 (SMALL 2014): Random Decomposition

If we choose each Fibonacci number with probability q, disallowing the choice of two consecutive Fibonacci numbers, the resulting sequence follows Benford's law.

### Example: n = 10

$$F_1 + F_2 + F_3 + F_4 + F_5 + F_6 + F_7 + F_8 + F_9 + F_{10}$$

$$= 2 + 8 + 21 + 89$$

$$= 120$$

# **Choosing a Random Decomposition**

Select a random subset A of the Fibonaccis as follows:

- Fix  $q \in (0, 1)$ .
- Let  $A_0 := \emptyset$ .
- For  $n \ge 1$ , if  $F_{n-1} \in A_{n-1}$ , let  $A_n := A_{n-1}$ , else

$$A_n = \begin{cases} A_{n-1} \cup \{F_n\} & \text{with probability } q \\ A_{n-1} & \text{with probability } 1 - q. \end{cases}$$

• Let  $A := \bigcup_n A_n$ .

#### **Main Result**

#### **Theorem**

With probability 1, A (chosen as before) is Benford.

**Stronger claim:** For any subset S of the Fibonaccis with density d in the Fibonaccis,  $S \cap A$  has density d in A with probability 1.

### **Preliminaries**

#### Lemma

The probability that  $F_k \in A$  is

$$p_k = \frac{q}{1+q} + O(q^k).$$

Using elementary techniques, we get

#### Lemma

Define  $X_n := \#A_n$ . Then

$$E[X_n] = \frac{nq}{1+q} + O(1)$$

$$Var(X_n) = O(n).$$

# Expected Value of $Y_n$

Define  $Y_{n,S} := \#A_n \cap S$ . Using standard techniques, we get

### Lemma

$$\mathbb{E}[Y_n] = \frac{nqd}{1+q} + o(n).$$

$$\operatorname{Var}(Y_{n,S}) = o(n^2).$$

# Expected Value of $Y_n$

Define  $Y_{n,S} := \#A_n \cap S$ . Using standard techniques, we get

## Lemma

$$\mathbb{E}[Y_n] = \frac{nqd}{1+q} + o(n).$$

$$Var(Y_{n,S}) = o(n^2).$$

Immediately implies with probability 1 + o(1)

$$Y_{n,S} = \frac{nqd}{1+q} + o(n), \lim_{n\to\infty} \frac{Y_{n,S}}{X_n} = d.$$

Hence  $A \cap S$  has density d in A, completing the proof.

## **Zeckendorf Decompositions and Benford's Law**

# Theorem (SMALL 2014): Benfordness of Decomposition

If we pick a random integer in  $[0, F_{n+1})$ , then with probability 1 as  $n \to \infty$  its Zeckendorf decomposition converges to Benford's Law.

## **Proof of Theorem**

- Choose integers randomly in  $[0, F_{n+1})$  by random decomposition model from before.
- Choose  $m=F_{a_1}+F_{a_2}+\cdots+F_{a_\ell}\in[0,F_{n+1})$  with probability

$$p_m = \begin{cases} q^{\ell}(1-q)^{n-2\ell} & \text{if } a_{\ell} \leq n \\ q^{\ell}(1-q)^{n-2\ell+1} & \text{if } a_{\ell} = n. \end{cases}$$

• Key idea: Choosing  $q = 1/\varphi^2$ , the previous formula simplifies to

$$p_m = \begin{cases} \varphi^{-n} & \text{if } m \in [0, F_n) \\ \varphi^{-n-1} & \text{if } m \in [F_n, F_{n+1}), \end{cases}$$

use earlier results.

### References

#### References

- Beckwith, Bower, Gaudet, Insoft, Li, Miller and Tosteson: The Average Gap Distribution for Generalized Zeckendorf Decompositions: The Fibonacci Quarterly 51 (2013), 13–27. http://arxiv.org/abs/1208.5820
- Best, Dynes, Edelsbrunner, McDonald, Miller, Turnage-Butterbaugh and Weinstein, Benford Behavior of Generalized Zeckendorf Decompositions, Fibonacci Quarterly 52 (2014), no. 5, 35–46. http://arxiv.org/pdf/1409. 0482
- Best, Dynes, Edelsbrunner, McDonald, Miller, Turnage-Butterbaugh and Weinstein, Gaussian Behavior of the Number of Summands in Zeckendorf Decompositions in Small Intervals, Fibonacci Quarterly 52 (2014), no. 5, 47–53. http://arxiv.org/pdf/1412.6839
- Bower, Insoft, Li, Miller and Tosteson, The Distribution of Gaps between Summands in Generalized Zeckendorf Decompositions, to appear in the Journal of Combinatorial Theory, Series A. http://arxiv.org/pdf/1402.3912

#### References

- Catral, Ford, Harris, Miller and Nelson, Generalizing Zeckendorf's Theorem: The Kentucky Sequence, Fibonacci Quarterly 52 (2014), no. 5, 68–90. http:// arxiv.org/pdf/1409.0488.pdf
- Kologlu, Kopp, Miller and Wang: On the number of summands in Zeckendorf decompositions: Fibonacci Quarterly 49 (2011), no. 2, 116–130. http:// arxiv.org/pdf/1008.3204
- Miller and Wang: From Fibonacci numbers to Central Limit Type Theorems: Journal of Combinatorial Theory, Series A 119 (2012), no. 7, 1398–1413. http://arxiv.org/pdf/1008.3202
- Miller and Wang: Survey: Gaussian Behavior in Generalized Zeckendorf Decompositions: Combinatorial and Additive Number Theory, CANT 2011 and 2012 (Melvyn B. Nathanson, editor), Springer Proceedings in Mathematics & Statistics (2014), 159–173.
   http://arxiv.org/pdf/1107.2718