

**From Nuclear Physics to Number Theory**

**How the Manhattan Project helped us understand primes**

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## Fundamental Problem: Spacing Between Events

**General Formulation:** Studying system, observe values at  $t_1$ ,  $t_2, t_3, \dots$

**Question:** what rules govern the spacings between the  $t_i$ ?

**Examples:**

- Spacings b/w Primes.
- Spacings b/w Energy Levels of Nuclei.
- Spacings b/w Eigenvalues of Matrices.
- Spacings b/w Zeros of Functions.

## Goals of the Talk

- Determine correct scale to study spacings.
- See similar behavior in different systems.
- Discuss tools / techniques needed to prove the results.

# PART I

## NORMALIZED SPACINGS

and

## BACKGROUND MATERIAL

## Normalized Spacing

Example: Fractional Parts

For  $\alpha \notin \mathbb{Q}$ , set  $x_n = n\alpha \bmod 1$ .

Order  $x_1, \dots, x_N: 0 \leq y_1 \leq \dots \leq y_N \leq 1$ .

Expect spacings between adjacent  $y$ 's of size  $\frac{1}{N}$ .

Should study  $y_{n+1} - y_n \cdot \frac{N/1}{N}$ .

## Normalized Spacing

Example: Primes

$$\pi(x) = \#\{p : p \text{ prime}, p \leq x\} \approx \frac{x}{\log x}$$

(Ave Spacing b/w Primes at most  $x$ )  $= \frac{x}{\pi(x)} \approx \log x$ .

If  $p_n, p_{n+1} \approx x$ , study  $\frac{p_{n+1} - p_n}{\log x}$ .

One reason why twin primes are so hard:  $\frac{\log x}{2} \rightarrow 0$ .  
*Aside:* Twin primes led to finding the pentium bug!

# PART II

## PROBABILITY AND LINEAR ALGEBRA REVIEW

## Probability Review

Let  $p(x)$  be a probability density:

$$p(x) \geq 0$$
$$\int_{-\infty}^{\infty} p(x) dx = 1.$$

Thus

$$\text{Prob}(x \in [a, b]) = \int_a^b p(x) dx.$$

Moments:

$$k^{\text{th}}\text{-moment} = \int_{-\infty}^{\infty} x^k p(x) dx.$$

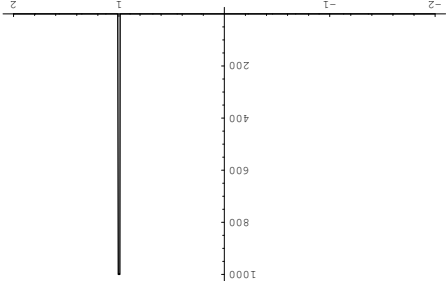
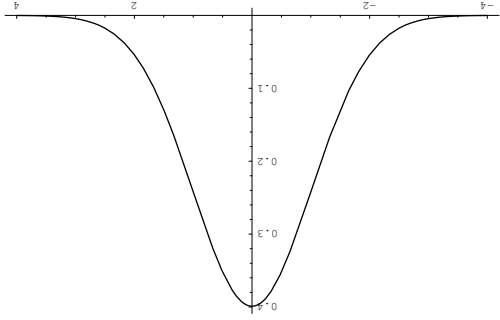
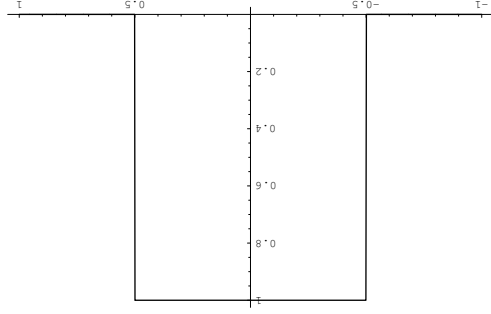
Good function uniquely determined by its Taylor Series, a good probability density is uniquely determined by its moments.

## Probability Review (cont)

Important quantities:

1. Mean  $\mu = \int xp(x)dx.$

2. Variance  $\sigma^2 = \int (x - \mu)^2 p(x)dx.$



Uniform:  $\mu = 0, \sigma^2 = \frac{1}{12}$     Gaussian:  $\mu = 0, \sigma^2 = 1$     Delta Spike:  $\mu = 1, \sigma^2 = 0$

$$p(x) = \begin{cases} 1 & \text{if } |x| \leq \frac{1}{2} \\ 0 & \text{otherwise} \end{cases} = \frac{1}{\sqrt{2\pi}} e^{-x^2/2} = \delta(x-1)$$

# Linear Algebra Review

$$\begin{pmatrix} a_{11} & \dots & a_{1N} \\ \vdots & \ddots & \vdots \\ a_{N1} & \dots & a_{NN} \end{pmatrix} \begin{pmatrix} v_1 \\ \vdots \\ v_N \end{pmatrix} = \begin{pmatrix} w_1 \\ \vdots \\ w_N \end{pmatrix}$$

In general, in  $A \vec{v} = \vec{w}$ ,  $\vec{w}$  will have different magnitude and direction than  $\vec{v}$ .

$\vec{v} \neq \vec{0}$  is an **eigenvector** with **eigenvalue**  $\lambda$  if

$$A \vec{v} = \lambda \vec{v}.$$

Note

$$A^2 \vec{v} = A(A \vec{v}) = A(\lambda \vec{v}) = \lambda^2 \vec{v}.$$

## Linear Algebra Review (cont)

Say  $\vec{v}_i$  eigenvectors with eigenvalues  $\lambda_i$ .

Assume

$$\vec{v} = c_1 \vec{v}_1 + \dots + c_k \vec{v}_k.$$

Then

$$A_m \vec{v} = A_m (c_1 \vec{v}_1 + \dots + c_k \vec{v}_k)$$

$$= A_m (c_1 \vec{v}_1) + \dots + A_m (c_k \vec{v}_k)$$

$$= c_1 A_m \vec{v}_1 + \dots + c_k A_m \vec{v}_k$$

$$= c_1 \lambda_1 \vec{v}_1 + \dots + c_k \lambda_k \vec{v}_k.$$

## PART II

# RANDOM MATRIX THEORY

## Origins of Random Matrix Theory

Classical Mechanics: 3 Body Problem Intractable.

Heavy nuclei like Uranium (200+ protons / neutrons) even worse!

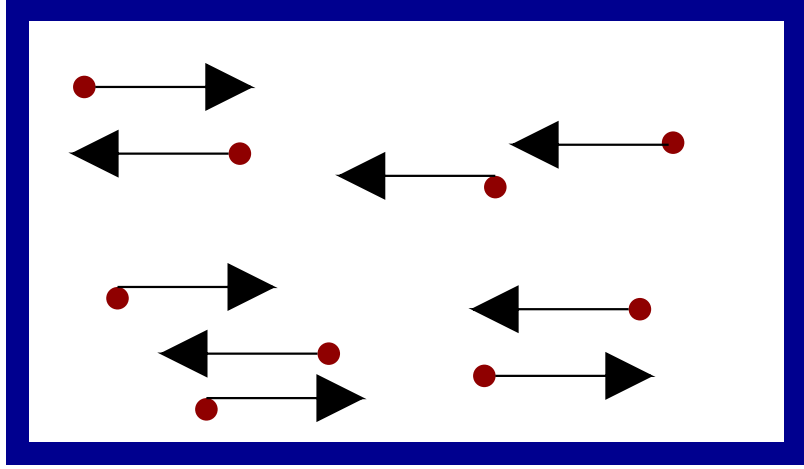
Get some info by shooting high-energy neutrons into nucleus, see what comes out.

Fundamental Equation:

$$H\psi_n = E_n\psi_n$$

$H$  : matrix, entries depend on system  
 $E_n$  : are the energy levels  
 $\psi_n$  : are the energy eigenfunctions

## Origins (cont)



Statistical Mechanics: for each configuration, calculate quantity (say pressure).

Average over all configurations – most configurations close to system average.

Nuclear physics: choose matrix at random, calculate eigenvalues, average over matrices.

Look at: Real Symmetric ( $A = A^T$ ), Complex Hermitian ( $\overline{A^T} = A$ ), Classical Compact groups.

# Random Matrix Ensembles

Real Symmetric Matrices:

$$A = \begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots & a_{1N} \\ a_{12} & a_{22} & a_{23} & \dots & a_{2N} \\ a_{13} & a_{23} & a_{33} & \dots & a_{3N} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ a_{1N} & a_{2N} & a_{3N} & \dots & a_{NN} \end{pmatrix} = A^T, \quad a_{ij} = a_{ji}$$

Fix  $p$ , define

$$\text{Prob}(A) = \prod_{1 \leq i \leq j \leq N} p(a_{ij}).$$

This means

$$\text{Prob} \left( A : a_{ij} \in [\alpha_{ij}, \beta_{ij}] \right) = \prod_{1 \leq i \leq j \leq N} \int_{\beta_{ij}}^{\alpha_{ij}} p(x_{ij}) dx_{ij}.$$

Want to understand eigenvalues of  $A$ .

## Eigenvalue Distribution

$\delta(x - x_0)$  is a unit point mass at  $x_0$ .

To each  $A$ , attach a probability measure:

$$\mu_{A,N}(x) = \frac{1}{N} \sum_{i=1}^n \delta \left( x - \frac{\lambda_i(A)}{\sqrt{N}} \right)$$

Equivalently

$$\int_b^a \mu_{A,N}(x) dx = \frac{N}{\#\left\{ \lambda_i : \frac{\lambda_i(A)}{\sqrt{N}} \in [a, b] \right\}}$$

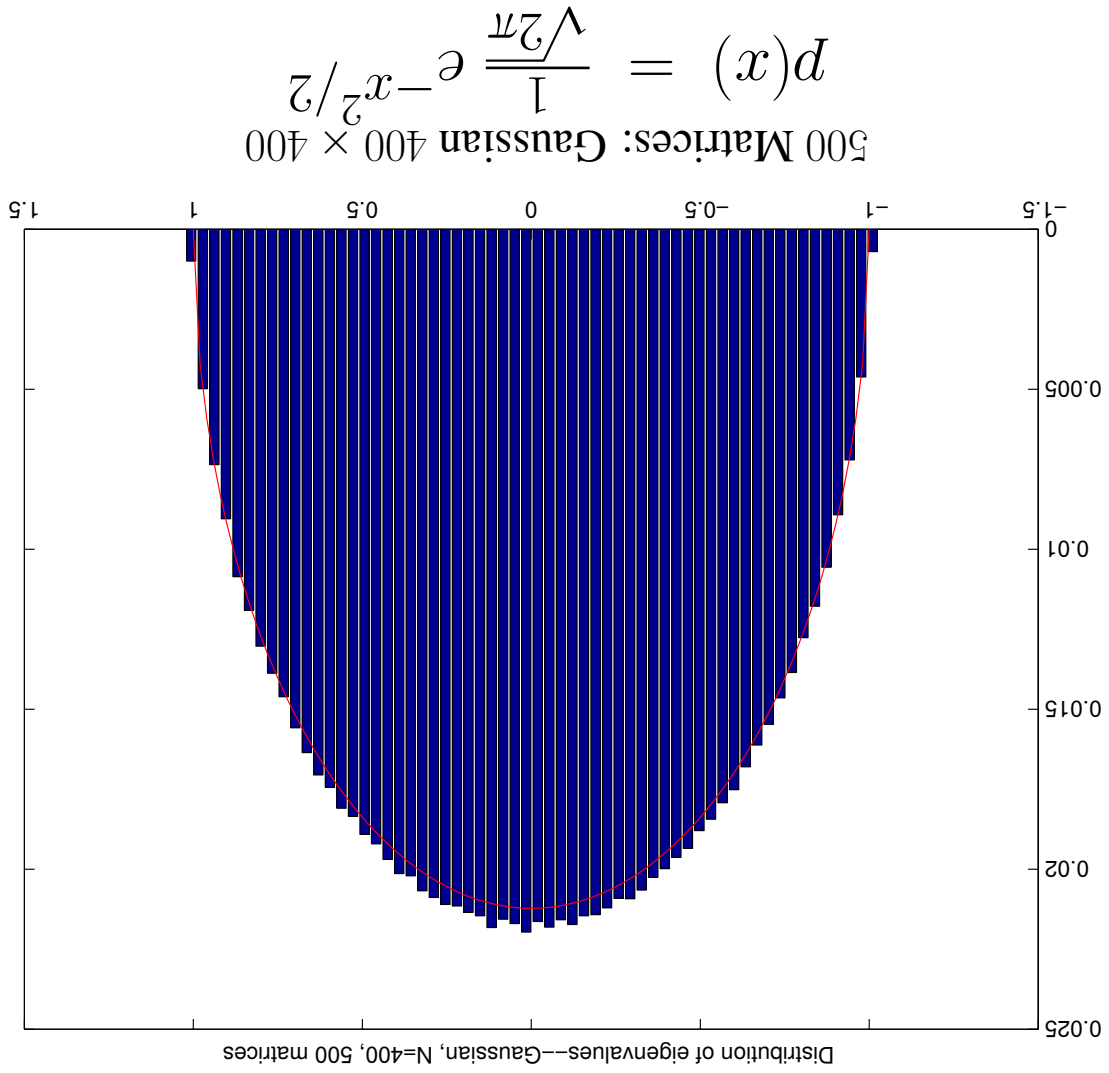
## Semi-Circle Law

$N \times N$  real symmetric matrices, entries i.i.d.r.v. from a fixed  $p(x) \cdot$

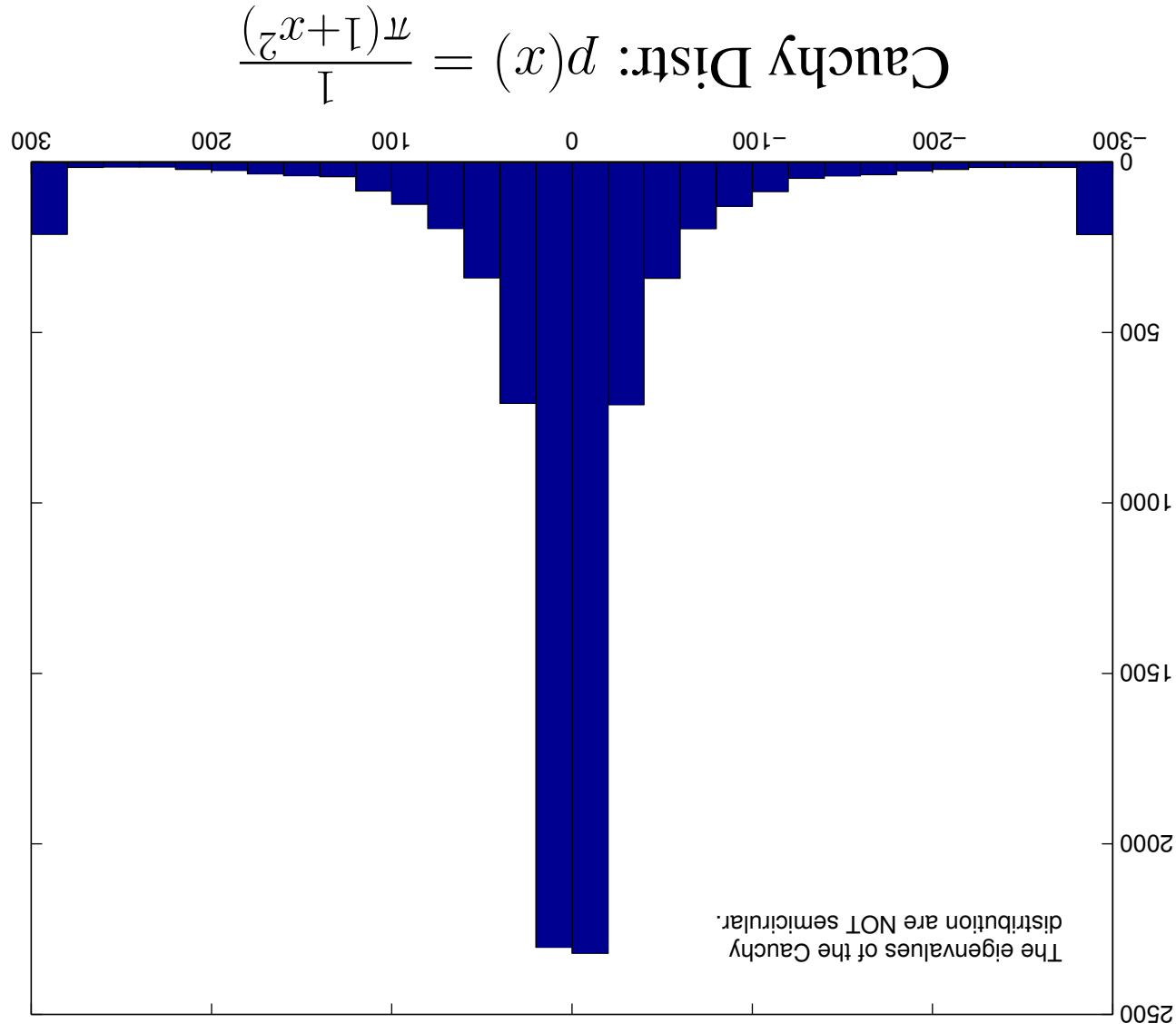
**Semi-Circle Law:** Assume  $p$  has mean 0, variance 1, other moments finite. Then for almost all  $A$ , as  $N \rightarrow \infty$

$$\mu_{A,N}(x) \longleftarrow \begin{cases} \frac{\pi}{2} \sqrt{1-x^2} & \text{if } |x| \leq 1 \\ 0 & \text{otherwise.} \end{cases}$$

# Random Matrix Theory: Semi-Circle Law



# Random Matrix Theory: Semi-Circle Law



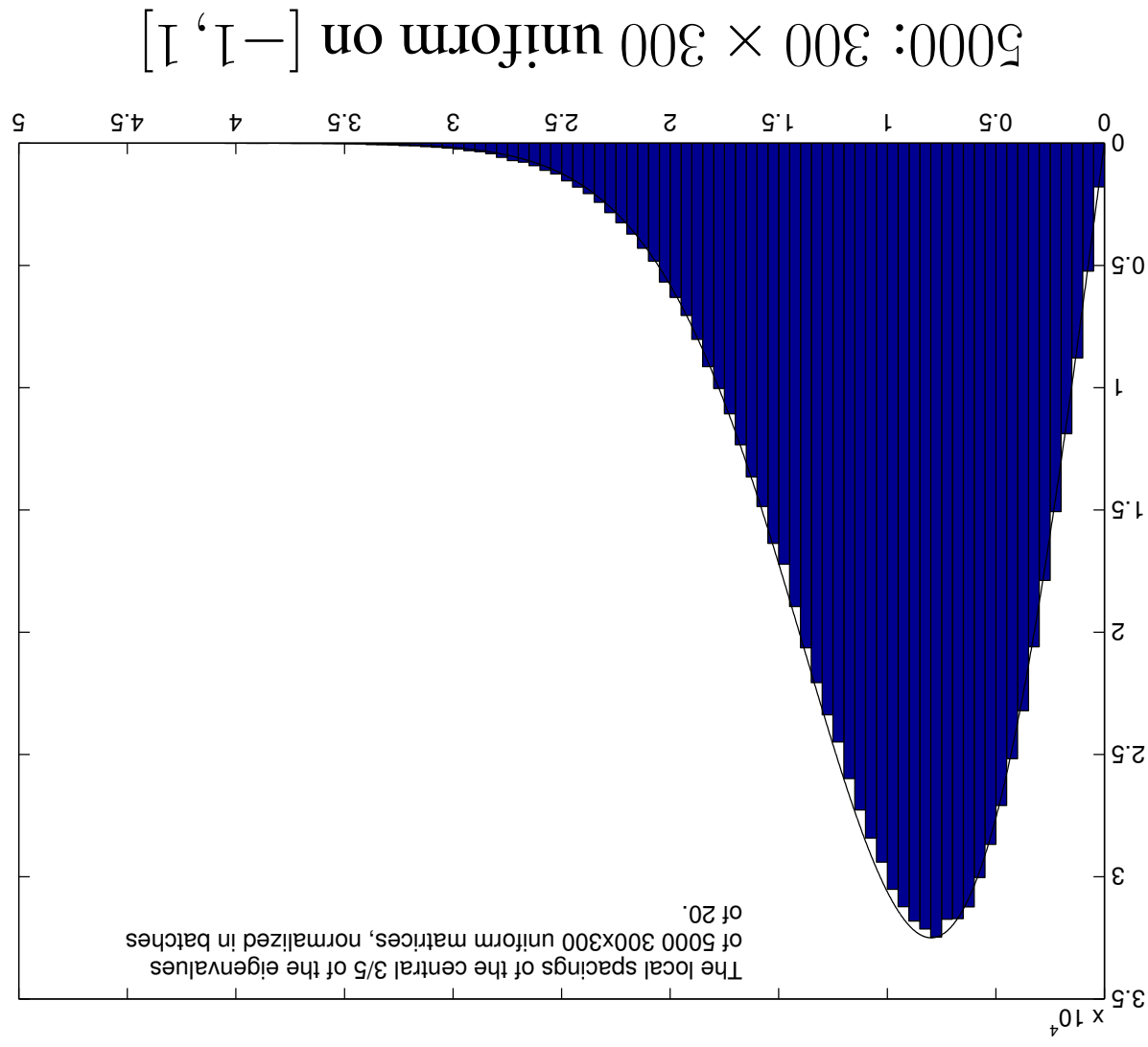
## GOE Conjecture

**GOE Conjecture:** As  $N \rightarrow \infty$ , the probability density of the spacing b/w consecutive normalized eigenvalues approaches a limit independent of  $p$ .

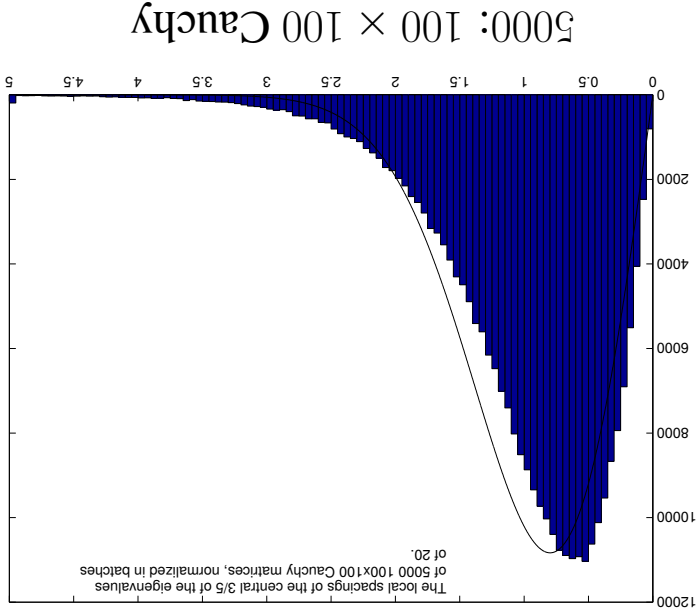
Only known if  $p$  is a Gaussian.

$$\text{GOE}(x) \approx A x e^{-B x^2}.$$

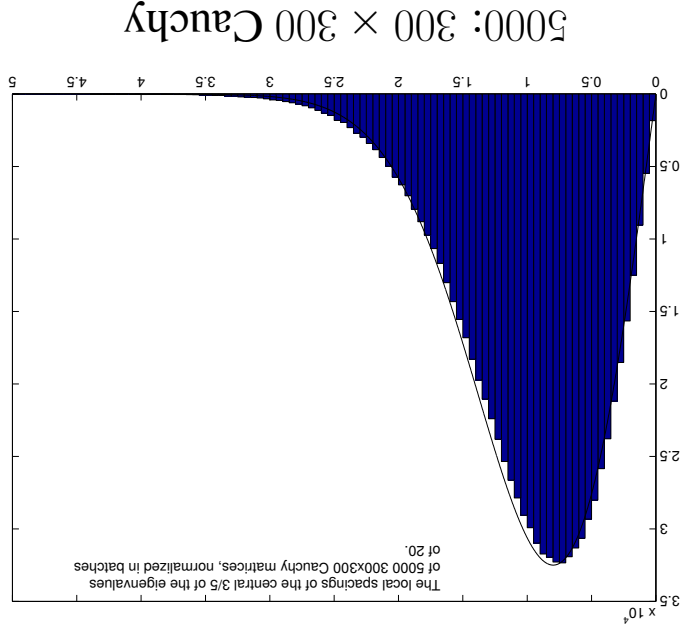
**Uniform Distribution:**  $p(x) = \frac{1}{2}$  for  $|x| \leq 1$



# Cauchy Distribution: $p(x) = \frac{1}{\pi(1+x^2)}$



5000: 100 × 100 Cauchy



5000: 300 × 300 Cauchy

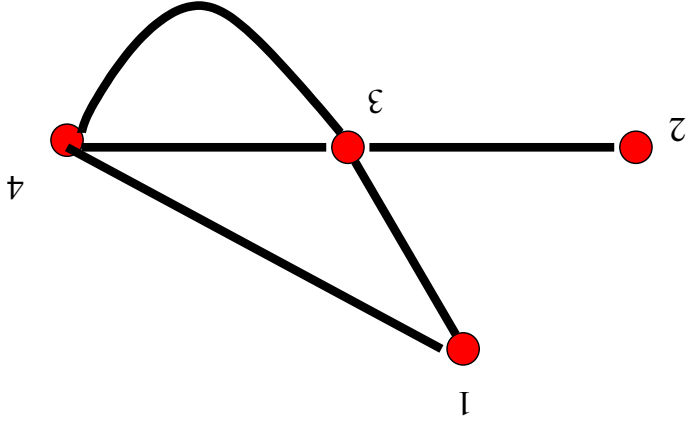
## Fat Thin Families

Need a family **FAT** enough to do averaging.

Need a family **THIN** enough so that everything isn't averaged out.

Real Symmetric Matrices have  $\frac{N(N+1)}{2}$  independent entries.

## Random Graphs



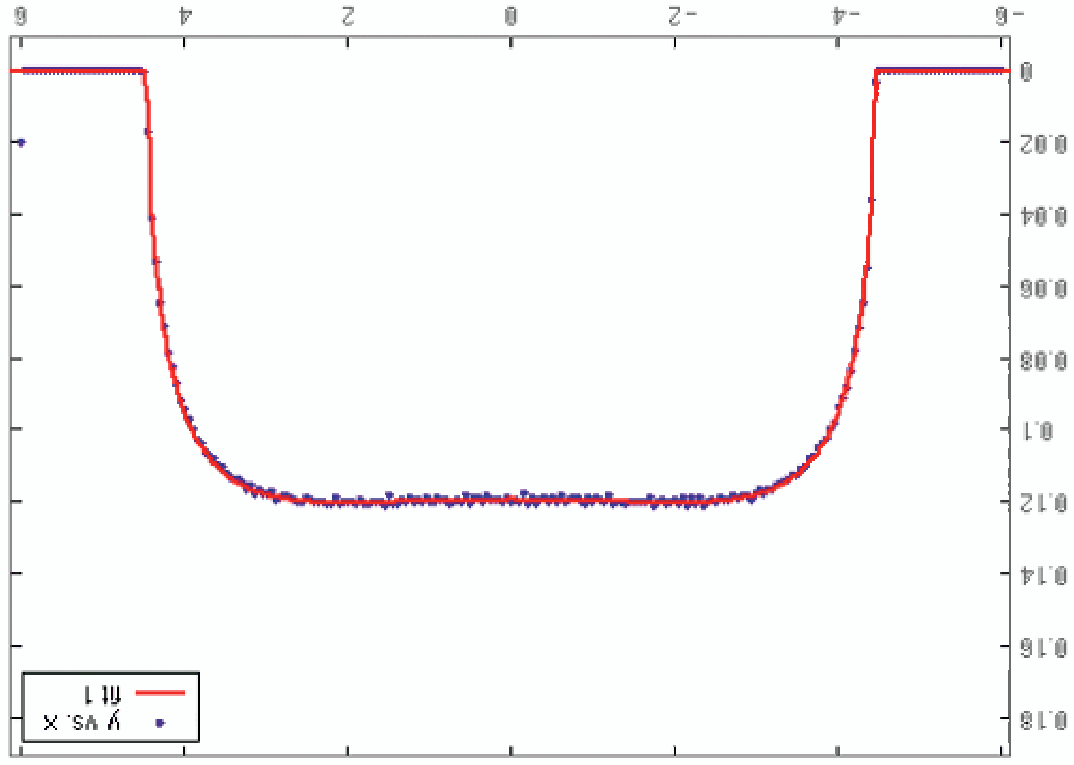
Degree of a vertex = number of edges leaving the vertex.

Adjacency matrix:  $a_{ij}$  = number edges b/w Vertices  $i$  and  $j$ .

$$A = \begin{pmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 2 \\ 1 & 0 & 2 & 0 \end{pmatrix}$$

These are Real Symmetric Matrices.

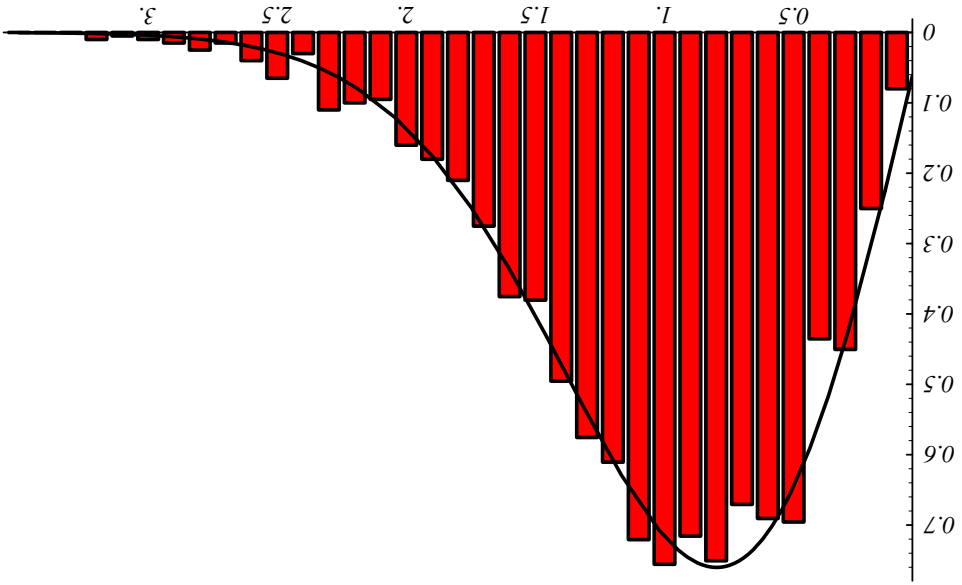
## McKay's Law



$$d = 6.$$

Fat Thin: fat enough to average, thin enough to get something different than Semi-circle.

### 3-Regular, 2000 Vertices and GOE



# PART III

## NUMBER THEORY

# Riemann Zeta Function

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \left(1 - \frac{1}{p^s}\right)^{-1}, \quad \text{Re}(s) > 1.$$

Geometric Series (and Extending Functions): If  $|u| > 1$ ,

$$\sum_{k=0}^{\infty} u^k = 1 + u + u^2 + u^3 + \dots = \frac{1}{1 - u}$$

Unique Factorization:  $n = p_1^{r_1} \dots p_m^{r_m}.$

$$\prod_{i=1}^d \left(1 - \frac{1}{p_i^s}\right)^{-1} = \left[1 + \frac{1}{p_1^s} + \left(\frac{1}{p_1^s}\right)^2 + \dots\right] \left[1 + \frac{1}{p_2^s} + \left(\frac{1}{p_2^s}\right)^2 + \dots\right] \dots \sum_{i=1}^u \frac{1}{p_i^s} =$$

## Riemann Zeta Function (cont):

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \left( 1 - \frac{1}{p^s} \right)^{-1}, \quad \operatorname{Re}(s) > 1$$

$$\pi(x) = \#\{p : p \text{ is prime}, p \leq x\}$$

## Properties of $\zeta(s)$ and Primes:

- $\lim_{s \rightarrow 1^+} \zeta(s) = \infty, \pi(x) \sim \frac{x}{\ln x}$
- $\zeta(2) = \frac{\pi^2}{6}, \pi(x) \sim \frac{x}{\ln x}$

## Riemann Zeta Function (cont):

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s} = \prod_{p \text{ prime}} \left( 1 - \frac{1}{p^s} \right)^{-1}, \quad \operatorname{Re}(s) > 1.$$

## Functional Equation:

$$\zeta(s) = \Gamma\left(\frac{s}{2}\right) \pi^{-\frac{s}{2}} \zeta(1-s).$$

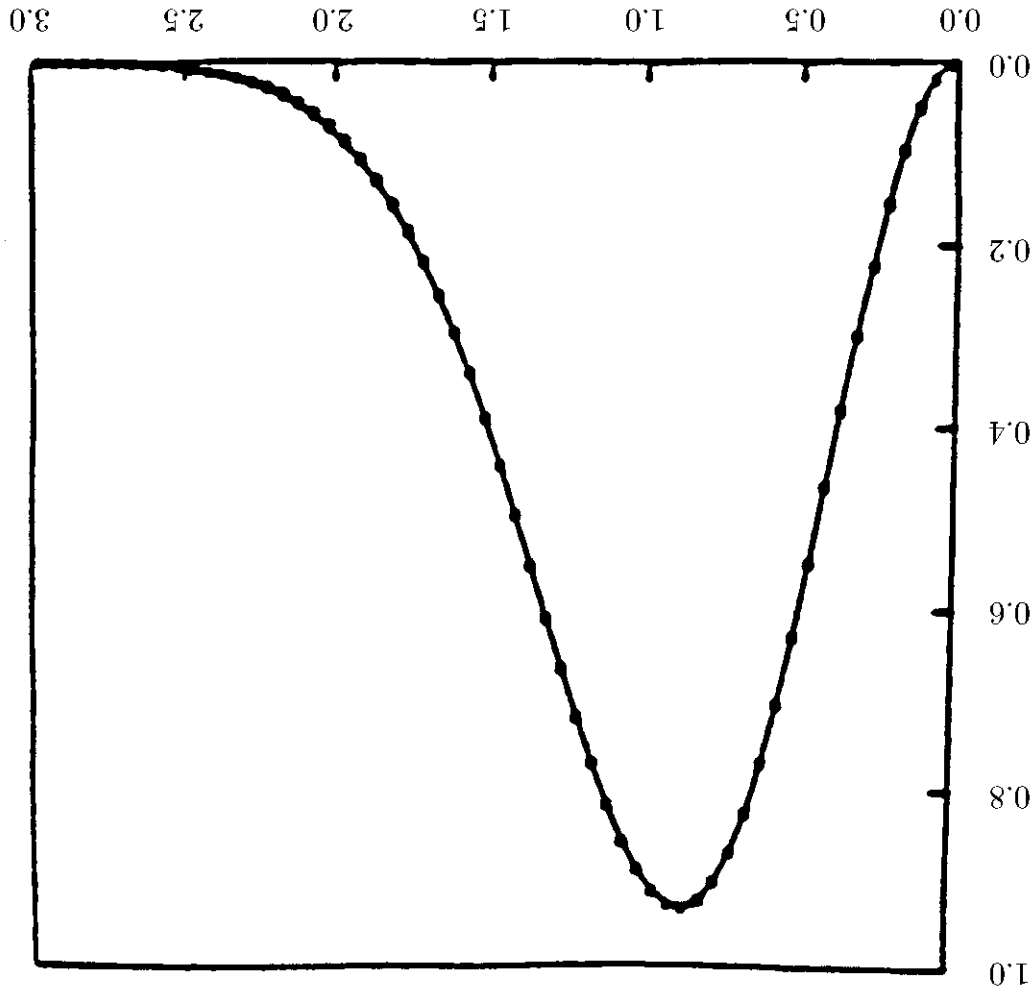
## Riemann Hypothesis:

All zeros have  $\operatorname{Re}(s) = \frac{1}{2}$ ; can write zeros as  $\frac{1}{2} + i\gamma$ .

## Observation:

Spacings b/w zeros appear same as b/w eigenvalues of Complex Hermitian matrices ( $\overline{A^T} = A$ ).

## Zeros of $\zeta(s)$ vs GUE



70 million spacings b/w adjacent zeros of  $\zeta(s)$ , starting at the  $10^{20}$ th zero (from Odlyzko)

## Families of $L$ -Functions

More generally, we may consider an  $L$ -function

$$L(s, f) = \sum_{n=1}^{\infty} \frac{a_n(f)}{n^s} = \prod_p L_p(d_p, f)^{-1}, \quad \operatorname{Re}(s) > s_0.$$

### Examples:

- Dirichlet Characters:  $a_n(f) = \chi_f(n)$ .
- Elliptic Curves:  $y^2 = x^3 + A_fx + B_f$ ,  $a_p(f)$  is related to number of solns mod  $p$ .

**General Riemann Hypothesis:** All  $L$ -functions (after normalization) have their zeros on the critical line.

## Measures of Spacings: *n*-Level Correlations

$\{\alpha_j\}$  be an increasing sequence of numbers,  $B \subset \mathbf{R}^{n-1}$  a compact box. Define the  $n$ -level correlation by

$$\lim_{N \rightarrow \infty} \frac{\#\left\{ \left( \alpha_{j_1} - \alpha_{j_2}, \dots, \alpha_{j_{n-1}} - \alpha_{j_n} \right) \in B, j_i \neq j_k \right\}}{N}$$

Instead of using a box, can use a smooth test function.

Results:

1. Normalized spacings of  $\zeta(s)$  starting at  $10^{20}$  (Odlyzko)
2. Pair and triple correlations of  $\zeta(s)$  (Montgomery, Hejhal)
3.  $n$ -level correlations for all automorphic cuspidal  $L$ -functions (Rudnick-Sarnak)
4.  $n$ -level correlations for the classical compact groups (Katz-Sarnak)
5. insensitive to any finite set of zeros

## Measures of Spacings: $n$ -Level Density and Families

Let  $\phi(x) = \prod_i \phi_i(x_i)$ ,  $\phi_i$  even Schwartz functions whose Fourier Transforms are compactly supported.

$$D_{n,f}(\phi) = \sum_{j_1, \dots, j_n \text{ distinct}} \phi_1 \left( L_f \gamma_{f(j_1)} \right) \cdots \phi_n \left( L_f \gamma_{f(j_n)} \right)$$

1. individual zeros contribute in limit
2. most of contribution is from low zeros
3. average over similar curves (family)

To any geometric family, Katz-Sarnak predict the  $n$ -level density depends only on a symmetry group (a classical compact group) attached to the family.

## Number Theory Results

- **Orthogonal:** Iwaniec-Luo-Sarnak: 1-level density for holomorphic even weight  $k$  cuspidal newforms of square-free level  $N$  (SO(even) and SO(odd) if split by sign).
- Miller: One-parameter families of elliptic curves.
- **Symplectic:** Rubinstein:  $n$ -level densities for twists  $L(s, \chi_d)$  of the zeta-function.
- **Unitary:** Miller, Hughes-Rudnick: Families of Primitive Dirichlet Characters.

## Main Tools

- **Averaging Formulas:** Petersson formula in ILS, Orthogonality of characters in Rubinstein, Miller, Hughes-Rudnick.
- **Explicit Formula:** Relates sums over zeros to sums over primes.
- **Control of conductors:** Monotone.

## PART IV

### SKETCH OF PROOFS

## SKETCH OF PROOF: Eigenvalue Trace Lemma

$$\text{Trace}(A) = a_{11} + a_{22} + \dots + a_{NN}.$$

$$\text{THEOREM: } \text{Trace}(A^k) = \sum_{i=1}^N \lambda_i(A)^k.$$

Allows us to pass from knowledge of matrix entries to knowledge of eigenvalues.

## SKETCH OF PROOF: Correct Scale

$$\text{Trace}(A^2) = \sum_N \lambda_i(A)^2.$$

By the Central Limit Theorem:

$$\begin{aligned} \text{Trace}(A^2) &= \sum_N \sum_{j=1}^i a_{ij} a_{ji} = \sum_N \sum_{j=1}^i a_{ij}^2 \sim N^2 \\ \sum_N \lambda_i(A)^2 &\sim N^2 \end{aligned}$$

Gives  $N \text{Ave}(\lambda_i(A)^2) \sim N^2$  or  $\text{Ave}(\lambda_i(A)) \sim \sqrt{N}$ .

## SKETCH OF PROOF: Eigenvalue Distribution

$\delta(x - x_0)$  is a unit point mass at  $x_0$ .

To each  $A$ , attach a probability measure:

$$\mu_{A,N}(x) = \frac{1}{N} \sum_{i=1}^N \delta\left(x - \frac{\lambda_i(A)}{\sqrt{N}}\right)$$

Obtain:

$$\int_{-\infty}^{\infty} x^k \mu_{A,N}(x) dx = \frac{1}{N} \sum_{i=1}^N \frac{\lambda_i(A)^k}{\sqrt{N}} = \frac{\text{Trace}(A^k)}{2kN^{\frac{k}{2}+1}}$$

## SKETCH OF PROOF: Semi-Circle Law

$N \times N$  real symmetric matrices, entries i.i.d.r.v. from a fixed  $d(x)$ .

**Semi-Circle Law:** Assume  $p$  has mean 0, variance 1, other moments finite. Then for almost all  $A$ , as  $N \rightarrow \infty$

$$\mu_{A,N}(x) \longleftarrow \begin{cases} \frac{\pi}{2} \sqrt{1-x^2} & \text{if } |x| \leq 1 \\ 0 & \text{otherwise.} \end{cases}$$

Trace formula converts sums over eigenvalues to sums over entries of  $A$ .

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Trace formula converts sums over eigenvalues to sums over entries of  $A$ .

Expected value of  $k^{th}$ -moment of  $\mu_{A,N}(x)$  is

$$\int_{-\infty}^{\infty} \dots \int_{-\infty}^{\infty} \frac{2^k N^{\frac{k}{2}+1}}{\text{Trace}(A^k)} \prod_{i \leq j} p(a_{ij}) da_{ij}$$

# SKETCH OF PROOF: $2^{nd}$ -Moment

$$\text{Trace}(A^2) = \sum_N \sum_{j=1}^i a_{ij} a_{ji} = \sum_N \sum_{j=1}^i a_{ij}^2$$

Substituting into expansion gives

$$\frac{1}{2^2 N^2} \int_{-\infty}^{\infty} \dots \int_{-\infty}^{\infty} \sum_N \sum_{j=1}^i a_{ij}^2 \cdot d(a_{11}) d(a_{11}) \dots d(a_{NN}) d(a_{NN})$$

Integration factors as

$$\int_{-\infty}^{\infty} a_{ij}^2 d(a_{ij}) \cdot \prod_{\substack{k > l \\ (i,j) \neq (k,l)}} \int_{-\infty}^{\infty} a_{kl} d(a_{kl}) = 1.$$

Have  $N^2$  summands, answer is  $\frac{1}{4}$ .

# SKETCH OF PROOF: Zero Knowledge (Heuristic)

$P(x)$  polynomial, zeros  $r_1, \dots, r_n$ . Then

$$P(x) = (x - r_1)(x - r_2) \dots (x - r_n)$$

$$= x^n + a_{n-1}(r_1, \dots, r_n)x^{n-1} + \dots + a_0(r_1, \dots, r_n)$$

where

$$\begin{aligned} a_{n-1}(r_1, \dots, r_n) &= -(r_1 + \dots + r_n) \\ &\vdots \\ a_0(r_1, \dots, r_n) &= r_1 r_2 \dots r_n. \end{aligned}$$

Knowledge of zeros gives info on coefficients.

## Explicit Formula: (Contour Integration)

$$-\frac{\zeta'(s)}{\zeta(s)} = \frac{d}{ds} \log \prod_p \frac{p}{p-s}$$

$$= \sum_p \frac{d}{ds} \log (p-s)^{-1}$$

$$= \sum_p \frac{-1}{p-s}$$

$$= \sum_{d \leq x} \frac{d}{\log d} + O(x)$$

Contour Integration:

$$\int \frac{\zeta'(s)}{\zeta(s)} x^s ds - \sum_{d \leq x} \frac{d}{\log d} \cdot \frac{s}{sp}$$

Knowledge of zeros gives info on coefficients.

# Dirichlet L-Functions (m prime)

Let  $\chi$  be a primitive character mod  $m$ ,  $c(m, \chi)$  Gauss sum of modulus  $\sqrt{m}$ .

$$T_{s-1}(d)(d)\chi-1)\prod^d = (\chi,s)T$$

$$V(s,\chi)T_{(\epsilon+s)\frac{z}{1}}w\left(\frac{z}{\epsilon+s}\right)I_{(\epsilon+s)\frac{z}{1}-\mathcal{U}} = (\chi,s)V$$

where

$$\left\{\begin{array}{l} 1-=(1-)\chi\mathfrak{H}1\\ 1=(1-)\chi\mathfrak{H}0\end{array}\right\}=\mathfrak{e}$$

$$V(s,\chi)(-1)^{\epsilon} \frac{\sqrt{w}}{(\chi,w)\mathcal{O}} = (\chi,s-1)V(\underline{\chi}).$$

# Explicit Formula

Let  $\phi$  be an even Schwartz function with compact support  $(-\sigma,\sigma)$ .

Let  $\chi$  be a non-trivial primitive Dirichlet character of conductor  $m$ .

$$\sum \phi \left(\gamma_{\log(\frac{y}{m})} \right)= \int_{-\infty}^{\infty} \phi(y)dy \\ - \sum_{d \geq 1} \frac{\log d}{d} \phi \left(\frac{\log d}{d}\right) \left[ \chi(d) + \overline{\chi}(d) \right] \\ - \sum_{d \geq 1} \frac{\log d}{d} \phi \left(\frac{\log d}{d}\right) \left[ \chi\left(\frac{y}{m}\right) + \overline{\chi}\left(\frac{y}{m}\right) \right] \\ + O\left(\frac{1}{y}\right).$$

## Expansion

Consider the family of primitive characters mod a prime  $m$  ( $m \equiv 1 \pmod{2}$  char-acters):

$$\int_{-\infty}^{\infty} \phi(y) dy - \frac{1}{2} \sum_{\chi \neq \chi_0}^d \sum_{\chi \neq \chi_0}^d \frac{m - \pi}{d} \phi\left(\frac{\pi/m}{d}\right) \left(\frac{\pi/m}{d}\right)^{\log d} \left[ (d)\chi + (d)\chi \right] - \frac{1}{2} \sum_{\chi \neq \chi_0}^d \sum_{\chi \neq \chi_0}^d \frac{m - \pi}{d} \phi\left(\frac{\pi/m}{d}\right) \left(\frac{\pi/m}{d}\right)^{\log d} \left[ (d)\chi + (d)\chi \right] + O\left(\frac{1}{\log m}\right).$$

**Note can pass Character Sum through Test Function.**

# Character Sums Analogue of Eigenvalue Trace Lemma

$$\sum_{\chi} \chi(k) = \begin{cases} 0 & m-1 \nmid k \equiv 1(m) \\ \text{otherwise} & \end{cases}$$

For any prime  $p \neq m$

$$\sum_{\chi \neq \chi_0} \chi(d) = \begin{cases} -1 & m-1 \nmid d \equiv 1(m) \\ \text{otherwise} & \end{cases}$$

Gives results for support in  $[-2, 2]$ .

To go further requires bounds in errors in primes congruent to 1 mod  $m$ .

# Character Sums

Let  $\delta_{1p;m} = 1$  if  $p \equiv 1 \bmod m$  and 0 otherwise. For  $p \neq m$

$$\sum_{\chi \neq \chi_0} \chi(p) = -1 + \phi(m) \delta_{1p;m}$$

Substituting into

$$\frac{1}{m-2} \sum_{\chi \neq \chi_0} \sum_{\log p}^d \frac{\phi(m)}{d} \left( \frac{\log p}{\log m} \right)^d \left[ \chi(p) + \chi(\overline{p}) \right] d^{\frac{1}{2}} -$$

yields

$$\sum_{m \leq d} \frac{m-2}{2} \left( \frac{\log p}{\log m} \right)^d \phi(m) \delta_{1p;m} + \left[ -1 + \phi(m) \delta_{1p;m} \right] d^{\frac{1}{2}} -$$

No contribution if  $\sigma > 2$ .

## PART V

### CONCLUSIONS

# Correspondences

Similarities b/w Nuclei and Primes:

Energy Levels  $\longleftrightarrow$  Zeros of  $\zeta(s)$

Neutron Energy  $\longleftrightarrow$  Support of Test Fns

Different Elements: U, Pu, ...  $\longleftrightarrow$  Different  $L$ -Functions

## Summary

- Similar behavior in different systems.
- Find correct scale.
- Average over similar elements.
- Need a Trace Lemma.
- Thin subsets can exhibit very different behavior.

# Open Problems (RMT)

## Real Symmetric Band Matrices

$$\begin{pmatrix} a_{1,1} & a_{1,2} & 0 & \dots & 0 & 0 & 0 \\ a_{1,2} & a_{2,2} & a_{2,3} & \dots & 0 & 0 & 0 \\ 0 & a_{2,3} & a_{3,3} & \dots & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & a_{N-2,N-2} & a_{N-1,N-1} & a_{N,N} \\ 0 & 0 & 0 & \dots & a_{N-2,N-1} & a_{N-1,N} & a_{N,N} \\ 0 & 0 & 0 & \dots & 0 & 0 & 0 \end{pmatrix}$$

## Real Symmetric Toeplitz Matrices

$$\begin{pmatrix} b_0 & b_1 & b_2 & \vdots & b_{N-1} & b_{N-2} & b_{N-3} & \dots & b_0 \\ b_1 & b_0 & b_1 & \vdots & b_{N-2} & b_{N-3} & \vdots & \vdots & \vdots \\ b_2 & b_1 & b_0 & b_1 & b_2 & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ b_{N-1} & b_{N-2} & b_{N-3} & \dots & b_0 & b_1 & b_2 & \dots & b_{N-1} \end{pmatrix}$$

Dependence on  $p$ , Rates of Convergence, Non-independent entries

## Open Problems (NT)

### Primes in Congruence Classes:

Improving support for Dirichlet  $L$ -fns related to size of  $\pi_{m,1}(x) - \frac{\pi(x)\phi(m)}{x}$ .

### Identifying Classical Compact Group:

In function field know what the corresponding RMT group is; little known for  $GL_n L$ -fns.

### Montgomery-Odlitzko Law:

Numerical observation that zeros of  $L$ -fns at height  $T$  behave like eigenvalues of  $N \times N$  matrices with  $N = \log \frac{2\pi}{T}$ .

### Finite Height / Finite Family Size:

Know correct model for high zeros ( $N = \log \frac{2\pi}{T}$ ); what is the correct model for zeros near the central point as conductor tends to infinity?

# APPENDIX I: Dirichlet $L$ -Functions

## Dirichlet Characters: $m$ Prime

$(\mathbb{Z}/m\mathbb{Z})^*$  is cyclic of order  $m - 1$  with generator  $g$ .

Let  $\zeta_{m-1} = e^{2\pi i/(m-1)}$ .

The principal character  $\chi_0$  is given by

$$\chi_0(k) = \begin{cases} 1 & (k, m) = 1 \\ 0 & (k, m) > 1. \end{cases}$$

The  $m - 2$  primitive characters are determined (by multiplicativity) by action on  $g$ .

As each  $\chi : (\mathbb{Z}/m\mathbb{Z})^* \rightarrow \mathbb{C}^*$ , for each  $\chi$  there exists an  $l$  such that  $\chi(g) = \zeta_{m-1}^l$ . Hence for each  $l$ ,  $1 \leq l \leq m - 2$  we have

$$\left\{ \begin{matrix} 0 \\ \zeta_{m-1}^l \end{matrix} \right. = \chi_l(k) \quad \begin{matrix} (k, m) > 0 \\ k \equiv g \pmod{m} \end{matrix}$$

# Dirichlet L-Functions

Let  $\chi$  be a primitive character mod  $m$ . Let

$$c(m,\chi)=\sum_{k=1}^{m-1}\chi(k)e^{2\pi ik/m}.$$

$c(m,\chi)$  is a Gauss sum of modulus  $\sqrt{m}$ .

$$L(s,\chi)=\prod_{p\mid m}^{-1}(1-\chi(p)p^{-s})\prod_{p\nmid m}^{-1}(1-\chi(p)p^{-s})^{-1}$$

$$L(s,\chi)=\sum_{n=1}^{\infty}\chi(n)n^{-s}=\sum_{n=1}^{\infty}\chi(n)\frac{1}{n^s}$$

where

$$\chi(p)=\begin{cases} \chi(p) & p \nmid m \\ 0 & p \mid m \end{cases}$$

$$L(s,\chi)=\prod_{p\mid m}^{-1}(1-\chi(p)p^{-s})\prod_{p\nmid m}^{-1}(1-\chi(p)p^{-s})^{-1}$$

# Explicit Formula

Let  $\phi$  be an even Schwartz function with compact support  $(-\sigma,\sigma)$ .

Let  $\chi$  be a non-trivial primitive Dirichlet character of conductor  $m$ .

$$\sum_{y\neq 0}\phi(y)\int_{-\infty}^{\infty}d\gamma\left(\frac{2\pi}{\log(\frac{y}{m})}\right)=\sum_{d=1}^{\infty}\frac{\phi(\frac{d}{m})}{d}\left(\frac{(\frac{y}{m})^{\frac{1}{d}}}{d}\right)^d\left[\chi(\frac{d}{m})+ \chi(\frac{m}{d})\right]-\frac{1}{m}\left(\frac{m}{1}\right)^mO+$$

## Expansion

$\{\chi_0\} \cup \{\chi_l\}_{1 \leq l \leq m-2}$  are all the characters mod  $m$ .

Consider the family of primitive characters mod a prime  $m$  ( $m - 2$  characters):

$$\int_{-\infty}^{\infty} \phi(y) dy - \frac{1}{d} \sum_{\chi \neq \chi_0} \sum_{\chi \neq \chi_0} \frac{m - \chi}{d} \phi\left(\frac{y/w}{d}\right) \left(\frac{y/w}{d}\right)^{\chi} - \frac{1}{d} \sum_{\chi \neq \chi_0} \sum_{\chi \neq \chi_0} \frac{m - \chi}{d} \phi\left(\frac{y/w}{d}\right) \left(\frac{y/w}{d}\right)^{\chi} + O\left(\frac{1}{m}\right).$$

Note can pass Character Sum through Test Function.

# Character Sums

$$\sum_{k=1}^x \chi(k) = \begin{cases} 0 & x \not\equiv 1 \pmod{m} \\ \chi(x) & x \equiv 1 \pmod{m} \end{cases}$$

For any prime  $p \neq m$

$$\sum_{\chi \neq \chi_0} \chi(d) = \begin{cases} -1 & d \equiv 1 \pmod{m} \\ \text{otherwise} & \text{otherwise} \end{cases}$$

Substitute into

$$\frac{1}{2} \sum_{\chi \neq \chi_0} \sum_{d=1}^x \frac{\chi(d)}{d} \left( \frac{\chi(d)}{d} \right) = \frac{1}{2} \sum_{\chi \neq \chi_0} \sum_{d=1}^x \frac{\chi(d)}{d} \left( \frac{\chi(d)}{d} \right)$$

## First Sum

$$\begin{aligned}
& \frac{w}{1} \frac{w}{z/o} \gg \\
& \frac{z}{1} - \mathcal{Y} \sum_{o^w}^{\mathcal{Y}} \frac{w}{1} + \frac{z}{1} - \mathcal{Y} \sum_{o^w}^{\mathcal{Y}} \frac{w}{1} \gg \\
& \frac{z}{1} - \mathcal{Y} \sum_{o^w}^{\substack{1+w \leq \mathcal{Y} \\ (w) 1 \equiv \mathcal{Y}}} + \frac{z}{1} - \mathcal{Y} \sum_{o^w}^{\mathcal{Y}} \frac{w}{1} \gg \\
& \frac{z}{1} - d \sum_{o^w}^{(w) 1 \equiv d} + \frac{z}{1} - d \sum_{o^w}^d \frac{w}{1} \gg \\
& \frac{z}{1} - d \left( \frac{(w/w) \otimes 1}{d \otimes 1} \right) \underset{\sim}{\phi} \frac{(w/w) \otimes 1}{d \otimes 1} \sum_{o^w}^{(w) 1 \equiv d} \frac{z - w}{1 - w} z + \\
& \frac{z}{1} - d \left( \frac{(w/w) \otimes 1}{d \otimes 1} \right) \underset{\sim}{\phi} \frac{(w/w) \otimes 1}{d \otimes 1} \sum_{o^w}^d \frac{z - w}{z -}
\end{aligned}$$

No contribution if  $\sigma > 2$ .

## Second Sum

$$\frac{d}{(d)_{\underline{z}}\chi + (d)_{\underline{z}}\chi} \left( \frac{(\underline{z}/m)_{\log d}}{d_{\log d}} \right) \phi_{\underline{z}} \sum_{\chi \neq \chi_0}^d \sum_{\chi} \frac{m - z}{1} [\chi_{\underline{z}}(d) + \chi_{\underline{z}}(d)] \left\{ \begin{matrix} (m)_{1 \mp} \neq d \\ (m)_{1 \mp} \equiv d \end{matrix} \right. \frac{z - m}{2}$$

Up to  $O\left(\frac{m_{\log d}}{1}\right)$  we find that

$$\begin{aligned} & \frac{d}{1} \sum_{\chi \neq \chi_0}^d \sum_{\chi} \frac{m - z}{1} \left( \frac{(\underline{z}/m)_{\log d}}{d_{\log d}} \right) \phi_{\underline{z}} \left( \frac{(\underline{z}/m)_{\log d}}{d_{\log d}} \right) \left( \frac{m}{m_{\log d}} + \frac{m}{m_{\log d}} + \frac{m}{m_{\log d}} \right) \gg \\ & \left( \frac{m}{1} \right) O + \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{m}{1} + \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{m}{1} + \frac{z - m}{(\log(m)_{\underline{z}/\sigma})_{\log d}} \gg \\ & \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{z - m}{1} + \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{z - m}{1} + \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{z - m}{1} \gg \\ & \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{z - m}{2} + \frac{1}{1} \sum_{\chi \neq \chi_0}^d \frac{z - m}{2} \gg \end{aligned}$$

## Dirichlet Characters: $m$ Square-free

Fix an  $r$  and let  $m_1, \dots, m_r$  be distinct odd primes.

$$\begin{aligned} m &= m_1 m_2 \dots m_r \\ M_1 &= (m_1 - 1)(m_2 - 1) \dots (m_r - 1) = \phi(m) \\ M_2 &= (m_1 - 2)(m_2 - 2) \dots (m_r - 2). \end{aligned}$$

$M_2$  is the number of primitive characters mod  $m$ , each of conductor  $m$ .

A general primitive character mod  $m$  is given by  $\chi(n) = \chi_{l_1}(n)\chi_{l_2}(n) \dots \chi_{l_r}(n)$ .

$$\text{Let } \mathcal{F} = \{ \chi : \chi = \chi_{l_1} \chi_{l_2} \dots \chi_{l_r} \}.$$

$$\begin{aligned} \sum_{\substack{\mathcal{F} \\ \chi}} \frac{M_2}{1} &= \sum_{\substack{\mathcal{F} \\ \chi}} \frac{1}{d} \left( \frac{(\pi/m)_{\log d}}{d} \right) \phi \left( \frac{(\pi/m)_{\log d}}{d} \right) \\ &= \sum_{\substack{\mathcal{F} \\ \chi}} \frac{1}{d} \left( \frac{(\pi/m)_{\log d}}{d} \right) \phi \left( \frac{(\pi/m)_{\log d}}{d} \right) \end{aligned}$$

# Characters Sums:

$$\sum_{m_i=2}^{l_i=1} \chi_{l_i}(d) \begin{cases} 1 - 1 - 1 & d \equiv 1(m_i) \\ -1 & \text{otherwise} \end{cases}$$

Define

$$\begin{cases} 1 & d \equiv 1(m_i) \\ 0 & \text{otherwise} \end{cases} = \delta_{m_i}(d,1)$$

Then

$$(d)^{l_1}\chi \dots (d)^{l_l}\chi \sum_{m_1=2}^{l_1=1} \dots \sum_{m_l=2}^{l_l=1} = (d)\chi \sum_{\mathcal{F} \ni \chi}$$

$$(d)^{l_1}\chi \sum_{m_1=2}^{l_1=1} \prod_{\mathcal{J}}^{l_1=1} =$$

$$\cdot \left( (1,d)^{m_1}\delta(1-m_1) + 1 - \right) \prod_{\mathcal{J}}^{l_1=1} =$$

## Expansion Preliminaries:

$k(s)$  is an s-tuple  $(k_1, k_2, \dots, k_s)$  with  $k_1 > k_2 > \dots > k_s$ .

This is just a subset of  $(1, 2, \dots, r)$ ,  $2^r$  possible choices for  $k(s)$ .

$$\delta^{k(s)}(p, 1) = \prod_s^{i=1} \delta^{m_{k_i}}(p, 1).$$

If  $s = 0$  we define  $\delta^{k(0)}(p, 1) = 1 \wedge p$ .

Then

$$\prod_j^{i=1} ((1 - m_i) \delta^{m_i}(d, 1) + (1 - m_i)) \sum_j^{i=1} \sum_{0=s}^{i(s)} (1 - \delta_{s-j}^{(s)}) \delta^{(s)}(d, 1) \prod_s^{i=1} (1 - m_i) =$$

**First Sum:**

$$\gg \sum_{\sigma}^d d^{-\frac{z}{2}} \frac{M^z}{1} \left( 1 + \sum_r \sum_{s=1}^{k(s)} \delta^{k(s)}(d, 1) \prod_s^{l=1} (1 - m^{k_s}) \right) .$$

As  $m/M^2 \leq \mathcal{E}$ ,  $s = 0$  sum contributes

$$S_{1,0} = \sum_{\sigma}^d d^{-\frac{z}{2}} \frac{M^z}{1} \gg \mathcal{E} m^{\frac{z}{2} \sigma - 1} ,$$

hence negligible for  $\sigma > 2$ . Now we study

$$S_{1,k(s)} = \sum_{\sigma}^d d^{-\frac{z}{2}} \delta^{k(s)}(d, 1) \prod_s^{l=1} (1 - m^{k_s}) =$$

$$\gg \sum_{\sigma}^{(s)k(s) \equiv u} d^{-\frac{z}{2}} (1 - m^{k_s}) \prod_s^{l=1} \frac{M^z}{1} \gg$$

$$\gg \sum_{\sigma}^u d^{-\frac{z}{2}} \frac{1}{1} \prod_s^{l=1} (1 - m^{k_s}) \prod_s^{l=1} \frac{M^z}{1} \gg \mathcal{E} m^{\frac{z}{2} \sigma - 1} .$$

## First Sum (cont):

There are  $2^r$  choices, yielding

$$S_1 \gg 6^{\frac{1}{2}\sigma} m^{\frac{1}{2}\sigma-1},$$

which is negligible as  $m$  goes to infinity for fixed  $r$  if  $\sigma > 2$ .

Cannot let  $r$  go to infinity.

If  $m$  is the product of the first  $r$  primes,

$$\log m = \sum_{p \leq m} \log p = \sum_{k=1}^{\infty} \log \sum_{p \leq m} p^k =$$

Therefore

$$6^{\frac{1}{2}\sigma} m^{\frac{1}{2}\sigma-1} \approx$$

Second Sum Expansions:

$$d \equiv \pm 1(m_i) \quad \left\{ \begin{array}{l} m_i - 1 - 1 \\ -1 \end{array} \right. \quad \text{otherwise}$$

$$(d)_z^{\chi} \sum_{\chi \in \mathcal{F}}$$

$$(d)_z^{l_1} \chi \cdots (d)_z^{l_l} \chi \sum_{m_1 - z}^{l_1 = l_1} \cdots \sum_{m_l - z}^{l_l = l_l} =$$

$$(d)_z^{l_1} \chi \sum_{m_1 - z}^{l_1 = l_1} \prod_{j=1}^{l_1 = l_1} =$$

$$((1-d)^{m_1} g(1-m_1) + (1,d)^{m_1} g(1-m_1) + 1 - 1) \prod_{j=1}^{l_1 = l_1} =$$

## Second Sum Bounds:

Handle similarly as before. Say

$$\begin{aligned} d &\equiv 1 \bmod m_1, \dots, m_a \\ d &\equiv -1 \bmod m_{k_a+1}, \dots, m_{k_b} \end{aligned}$$

How small can  $p$  be?

$+1$  congruences imply  $p \geq m_1 \dots m_a + 1$ .

$-1$  congruences imply  $p \geq m_{k_a+1} \dots m_{k_b} - 1$ .

Since the product of these two lower bounds is greater than  $\prod_{i=1}^b (m_{k_i} - 1)$ , at least one must be greater than  $\left( \prod_{i=1}^b (m_{k_i} - 1) \right)^{\frac{1}{2}}$ .

There are  $3^r$  pairs, yielding

$$\text{Second Sum} = \sum_{j=0}^{s(s)} \sum_{k(s)}^{j(s)} S_{2,k(s),j(s)} \gg 9^r m^{-\frac{1}{2}}.$$

## APPENDIX II: Elliptic Curve $L$ -Functions

## Excess Rank

One-parameter family, rank  $r$  over  $\mathbb{Q}(t)$ , RMT  $\Rightarrow$  50% rank  $r$ ,  
 $r+1$ .

For many families, observe

Percent with rank  $r$  = 32%  
Percent with rank  $r+1$  = 48%  
Percent with rank  $r+2$  = 18%  
Percent with rank  $r+3$  = 2%

Problem: small data sets, sub-families, convergence rate  $\log(\text{conductor})$ ?

## Data on Excess Rank

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

Family:  $a_1$  : 0 to 10, rest  $-10$  to  $10$ .

Percent with rank 0 = 28.60%

Percent with rank 1 = 47.56%

Percent with rank 2 = 20.97%

Percent with rank 3 = 2.79%

Percent with rank 4 = .08%

14 Hours, 2,139,291 curves (2,971 singular, 248,478 distinct).

## Data on Excess Rank

$$y^2 + y = x^3 + tx$$

Each data set 2000 curves from start.

| $t$ -Start | Rk 0 | Rk 1 | Rk 2 | Rk 3 | Time (hrs) |
|------------|------|------|------|------|------------|
| -1000      | 39.4 | 47.8 | 12.3 | 0.6  | <1         |
| 1000       | 38.4 | 47.3 | 13.6 | 0.6  | <1         |
| 4000       | 37.4 | 47.8 | 13.7 | 1.1  | 1          |
| 8000       | 37.3 | 48.8 | 12.9 | 1.0  | 2.5        |
| 24000      | 35.1 | 50.1 | 13.9 | 0.8  | 6.8        |
| 50000      | 36.7 | 48.3 | 13.8 | 1.2  | 51.8       |

Last set has conductors of size  $10^{11}$ , but on logarithmic scale still small.

## Excess Rank Calculations

### Families with $y^2 = f_t(x)$ ; $D(t)$ SqFree

| Family      | $t$ Range   | Num $t$ | $\bar{x}$ | $\overline{x+1}$ | $\overline{x+2}$ | $\overline{x+3}$ |
|-------------|-------------|---------|-----------|------------------|------------------|------------------|
| $+4(4t+2)$  | $[2, 2002]$ | 1622    | 0         | 95.44            | 4.56             |                  |
| $-4(4t+2)$  | $[2, 2002]$ | 1622    | 0         | 70.53            | 29.35            |                  |
| $9t+1$      | $[2, 247]$  | 169     | 0         | 71.01            | 28.99            |                  |
| $t^2+9t+1$  | $[2, 272]$  | 169     | 1         | 71.60            | 27.81            |                  |
| $t(t-1)$    | $[2, 2002]$ | 643     | 0         | 40.44            | 48.68            | 10.26            |
| $(6t+1)x^2$ | $[2, 101]$  | 93      | 1         | 34.41            | 47.31            | 17.20            |
| $(6t+1)x$   | $[2, 77]$   | 66      | 2         | 30.30            | 50.00            | 16.67            |
|             |             |         |           |                  |                  | 3.03             |

1.  $x^3 + 4(4t+2)x$ ,  $4t+2$  Sq-Free, odd.

2.  $x^3 - 4(4t+2)x$ ,  $4t+2$  Sq-Free, even.

3.  $x^3 + 2^4(-3)(9t+1)^2$ ,  $9t+1$  Sq-Free, even.

4.  $x^3 + tx^2 - (t+3)x + 1$ ,  $t^2+3t+9$  Sq-Free, odd.

5.  $x^3 + (t+1)x^2 + tx$ ,  $t(t-1)$  Sq-Free, rank 0.

6.  $x^3 + (6t+1)x^2 + 1$ ,  $4(6t+1)^3 + 27$  Sq-Free, rank 1.

7.  $x^3 - (6t+1)^2x + (6t+1)^2[4(6t+1)^2 - 27]$  Sq-Free, rank 2.

## Excess Rank Calculations

### Families with $y_2^t = f_t(x)$ ; All $D(t)$

| Family      | $t$ Range | Num  | $t$ | $\bar{x}$ | $\overline{x+1}$ | $\overline{x+2}$ | $\overline{x+3}$ |
|-------------|-----------|------|-----|-----------|------------------|------------------|------------------|
| $+4(4t+2)$  | [2, 2002] | 2001 | 0   | 6.45      | 85.76            | 3.95             | 3.85             |
| $-4(4t+2)$  | [2, 2002] | 2001 | 0   | 63.52     | 9.90             | 25.99            | .50              |
| $9t+1$      | [2, 247]  | 247  | 0   | 55.28     | 23.98            | 20.73            |                  |
| $t^2+9t+1$  | [2, 272]  | 271  | 1   | 73.80     |                  | 25.83            |                  |
| $t(t-1)$    | [2, 2002] | 2001 | 0   | 42.03     | 48.43            | 9.25             | 0.30             |
| $(6t+1)x^2$ | [2, 101]  | 100  | 1   | 32.00     | 50.00            | 17.00            | 1.00             |
| $(6t+1)x$   | [2, 77]   | 76   | 2   | 32.89     | 50.00            | 14.47            | 2.63             |

1.  $x^3 + 4(4t+2)x, 4t+2$  Sq-Free, odd.

2.  $x^3 - 4(4t+2)x, 4t+2$  Sq-Free, even.

3.  $x^3 + 2^4(-3)^3(9t+1)^2, 9t+1$  Sq-Free, even.

4.  $x^3 + tx^2 - (t+3)x + 1, t^2 + 3t + 9$  Sq-Free, odd.

5.  $x^3 + (t+1)x^2 + tx, t(t-1)$  Sq-Free, rank 0.

6.  $x^3 + (6t+1)x^2 + 1, 4(6t+1)^3 + 27$  Sq-Free, rank 1.

7.  $x^3 - (6t+1)^2x + (6t+1)^2[4(6t+1)^2 - 27]$  Sq-Free, rank 2.

# Orthogonal Random Matrix Model

RMT:  $2N$  eigenvalues, in pairs  $e^{\pm i\theta_j}$ , probability measure on  $[0, \pi]_N$ :

$$d\epsilon_0(\theta) \propto \prod_{j < k} (\cos \theta_k - \cos \theta_j)^2 \prod_j d\theta_j$$

Model: forced zeros independent (suggested by Function Field analogue)

$$\mathcal{A}_{2N, 2r} = \left\{ \begin{pmatrix} g \\ I_{2r} \end{pmatrix} : g \in SO(2N - 2r) \right\}$$

# Orthogonal Random Matrix Models

RMT:  $2N$  eigenvalues, in pairs  $e^{\pm i\theta_j}$ , probability measure on  $[0, \pi]^N$ :

$$d\epsilon_0(\theta) \propto \prod_{j < k} (\cos \theta_k - \cos \theta_j)^2 \prod_j d\theta_j$$

## Interaction Model: NOT SUGGESTED BY FUNCTION FIELD

Sub-ensemble of  $SO(2N)$  with the last  $2n$  of the  $2N$  eigenvalues equal +1:

$$d\epsilon_{2n}(\theta) \propto \prod_{j < k} (\cos \theta_k - \cos \theta_j)^2 \prod_j (1 - \cos \theta_j)^{2n} \prod_j d\theta_j,$$

with  $1 \leq j, k \leq N - n$ .

## Independent Model: SUGGESTED BY FUNCTION FIELD

$$\mathcal{A}_{2N, 2n} = \left\{ g \in SO(2N - 2n) : g \begin{pmatrix} I_{2n} \\ 0 \end{pmatrix} \right\}$$

## Random Matrix Models and One-Level Densities

Fourier transform of 1-level density:

$$\hat{\rho}_0(u) = \delta(u) + \frac{1}{2}\eta(u).$$

Fourier transform of 1-level density  
(Rank 2, Independent):

$$\hat{\rho}_{2,\text{Ind}}(u) = \left[ \delta(u) + \frac{1}{2}\eta(u) + 2 \right].$$

Fourier transform of 1-level density  
(Rank 2, Interaction):

$$\hat{\rho}_{2,\text{Int}}(u) = \left[ \delta(u) + \frac{1}{2}\eta(u) + 2 + 2|u| - 1 \right] \eta(u).$$

## Testing RMT Model

For small support, 1-level densities for Elliptic Curves agree with  $\rho_{r,\text{Indep}}$ .

Curve  $E$ , conductor  $N_E$ , expect first zero  $\frac{1}{2} + i\gamma_{(1)}^E$  with  $\gamma_{(1)}^E \approx \frac{1}{\log N_E}$ .

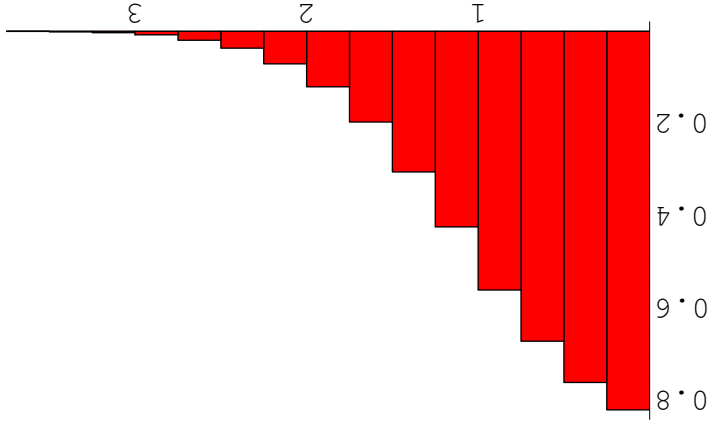
If  $r$  zeros at central point, if repulsion of zeros is of size  $\frac{\log N_E}{c_r}$ , might detect in 1-level density:

$$\frac{1}{|\mathcal{F}_N|} \sum_{E \in \mathcal{F}_N} \sum_j \phi \left( \frac{\gamma_{(j)}^E}{\log N_E} \right) \frac{2\pi}{\log N_E}.$$

Corrections of size

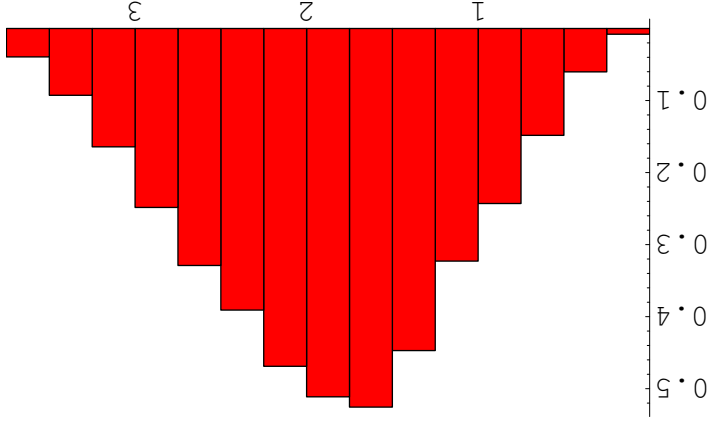
$$\phi(x_0 + c_r) - \phi(x_0) \approx \phi'(x_0, c_r) \cdot c_r.$$

# Theoretical Distribution of First Normalized Zero



First normalized eigenvalue: 230,400 from  $SO(6)$  with Haar

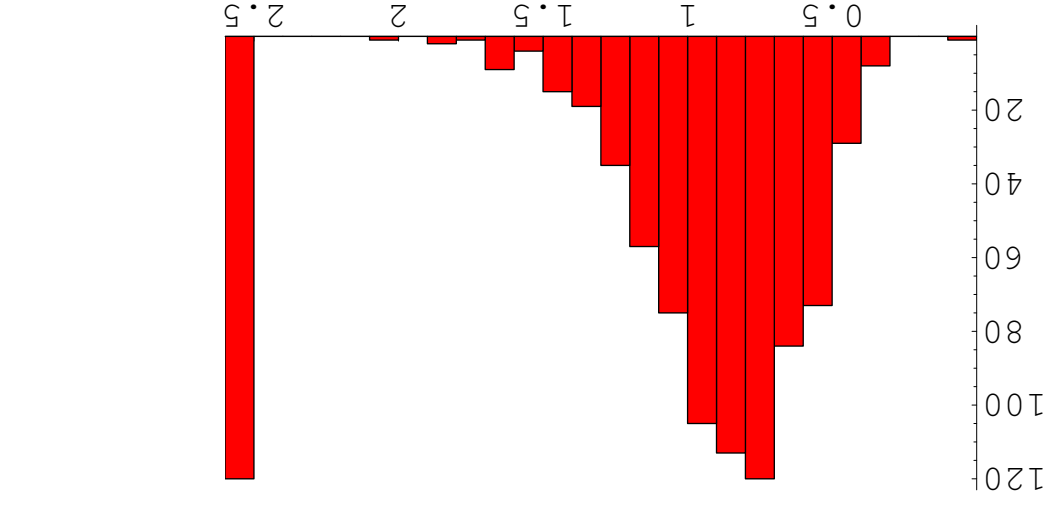
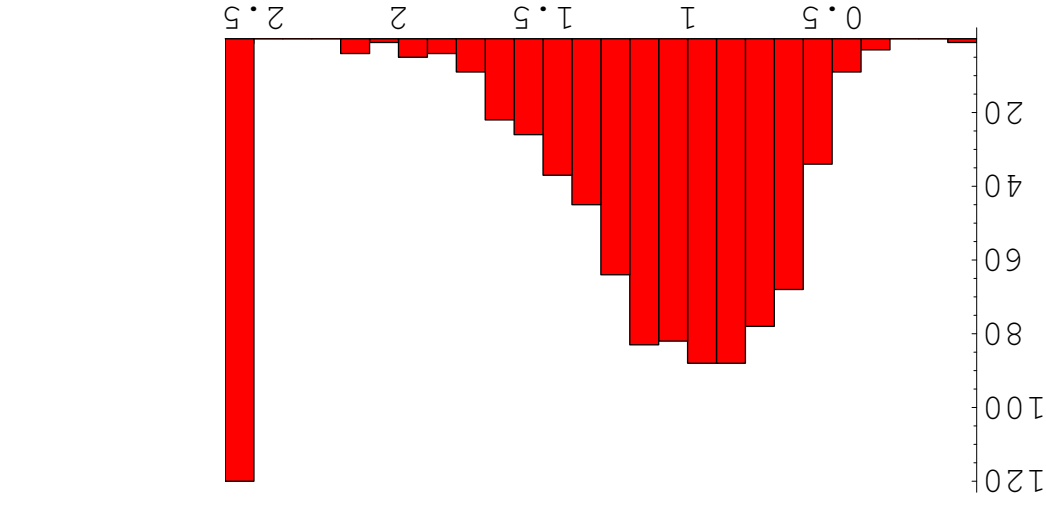
Measure



First normalized eigenvalue: 322,560 from  $SO(7)$  with Haar

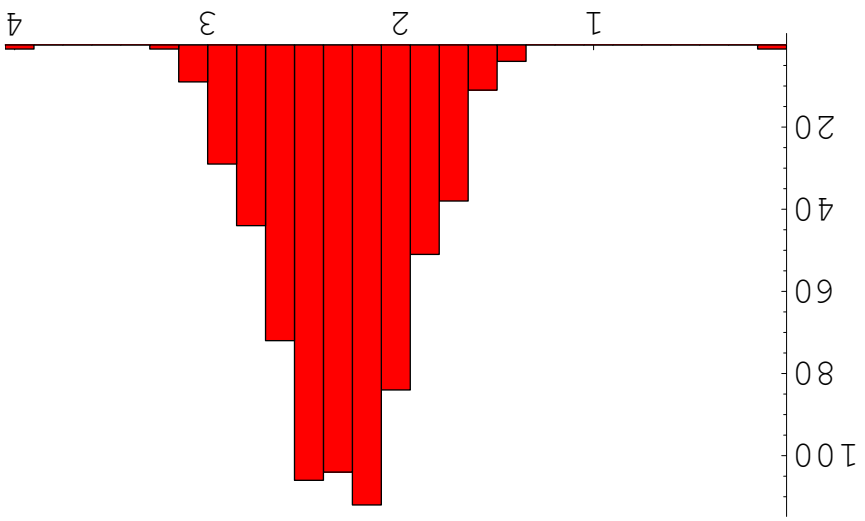
Measure

# Rank 0 Curves: 1st Normalized Zero (Far left and right bins just for formatting)

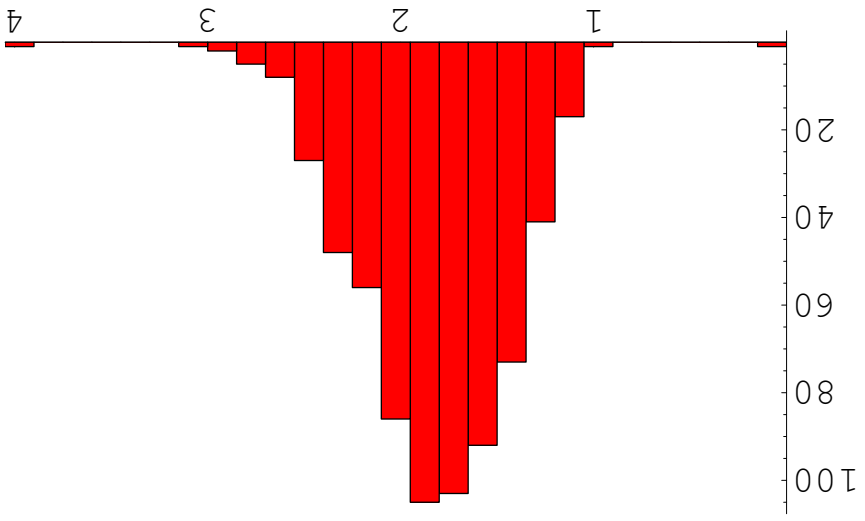


## Rank 2 Curves: 1st Normalized Zero

665 curves,  $\log(\text{cond}) \in [10, 10.3125]; \text{mean} = 2.30$

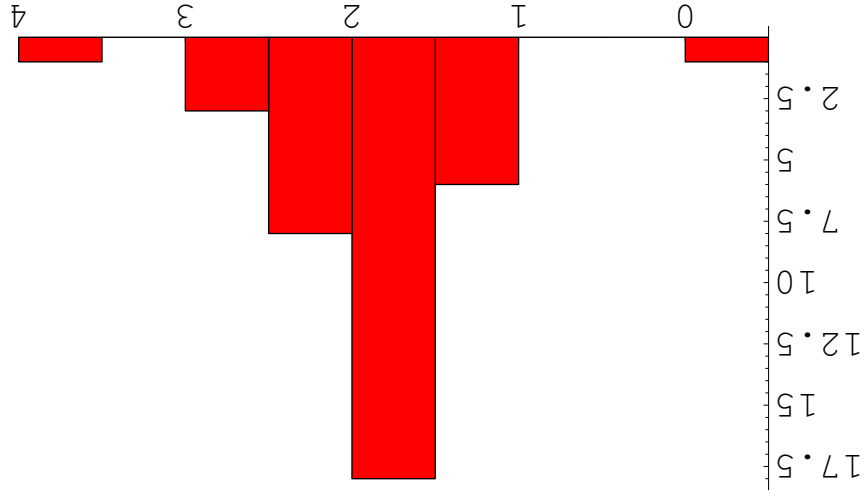


665 curves,  $\log(\text{cond}) \in [16, 16.5]; \text{mean} = 1.82$

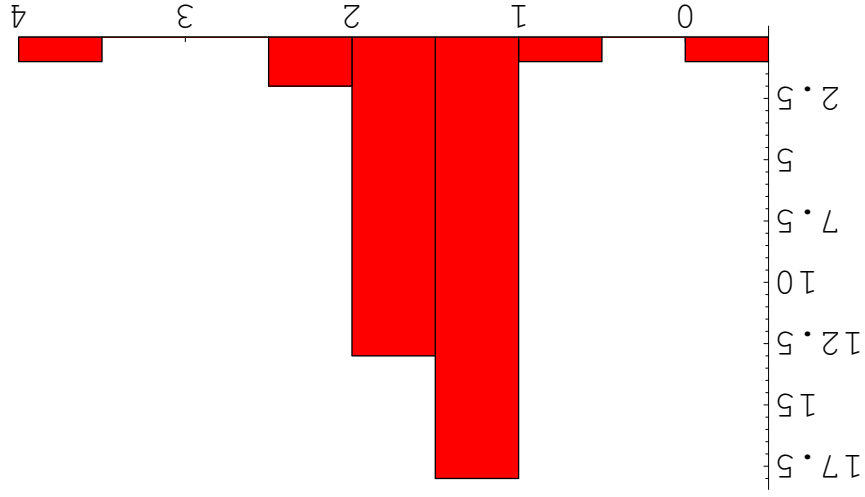


# Rank 2 Curves: $[0, 0, 0, -t_2^2, t_2^2]$ 1st Normalized Zero

35 curves,  $\log(\text{cond}) \in [7.8, 16.1]$ ; mean = 2.24



34 curves,  $\log(\text{cond}) \in [16.2, 23.3]$ ; mean = 2.00



# APPENDIX III: Bibliography

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