## Newman's Conjecture in Function Fields

Joint work with: Tomer Reiter, Dylan Yott Advisors: Steve Miller, Alan Chang

August 22, 2014


## The Riemann Hypothesis and the $\zeta$ Function

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\zeta(s):=\sum_{n \geq 1} \frac{1}{n^{s}}=\prod_{p \text { prime }}\left(1-p^{-s}\right)^{-1} \\
\xi(s)=\xi(1-s), \quad \xi(s):=\frac{s(s-1)}{2} \pi^{-s / 2} \Gamma\left(\frac{s}{2}\right) \zeta(s)
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## Conjecture: Riemann Hypothesis

$$
\xi(s)=0 \Longrightarrow \Re(s)=\frac{1}{2}
$$

Pólya's idea

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\Xi(x)=\xi\left(\frac{1}{2}+i x\right)
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\Xi \rightsquigarrow \Phi(u)=\frac{1}{2 \pi} \int_{0}^{\infty} \Xi(x) \cos u x d x \rightsquigarrow \Xi_{t}(x)=\int_{0}^{\infty} e^{t u^{2}} \Phi(u) \cos u x d u
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## Newman's Conjecture

> Theorem (De Bruijn, Newman)
> There exists $\Lambda \in \mathbb{R}$ such that if $t<\Lambda, \Xi_{t}$ has a nonreal zero, and if $t \geq \Lambda, \Xi_{t}$ has only real zeros.

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Conjecture (Newman)

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\Lambda \geq 0
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Conjecture (Newman)

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\Lambda \geq 0
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"The new conjecture is a quantitative version of the dictum that the Riemann hypothesis, if true, is only barely so." - Newman

## Newman's Conjecture

Fact
It is known that $\Lambda \geq-1.2 \cdot 10^{-11}$.

## Perspective

"Experiments have Diracs number at 1.00115965221 (with an uncertainty of about 4 in the last digit); the theory puts it at 1.00115965246 (with an uncertainty of about five times as much). To give you a feeling for the accuracy of these numbers, it comes out something like this: If you were to measure the distance from Los Angeles to New York to this accuracy, it would be exact to the thickness of a human hair. Thats how delicately quantum electrodynamics has, in the past fifty years, been checked-both theoretically and experimentally." - R.
Feynman

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Slogan: Function fields behave a lot like number fields!

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Field $\quad$ Number Fields and Function Fields $\mathbb{F}_{q}(T)$

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## Number Fields and Function Fields

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Ring of Integers
$\begin{array}{cc}K & (\mathbb{Q}) \\ \mathcal{O}_{K} & (\mathbb{Z})\end{array}$

$$
\begin{gathered}
\mathbb{F}_{q}(T) \\
\mathbb{F}_{q}[T]
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$\left.\begin{array}{c|c}K \quad(\mathbb{Q}) & \mathbb{F}_{q}(T) \\ \mathcal{O}_{K} & (\mathbb{Z}) \\ \mathfrak{p} \subseteq \mathcal{O}_{K} & ((p) \subseteq \mathbb{Z})\end{array}\right] \pi \in \mathbb{F}_{q}[T]$ irreducible

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Zeta Function

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K \quad(\mathbb{Q}) \\
\mathfrak{\mathcal { O } _ { K }} \quad(\mathbb{Z}) \\
\mathfrak{p} \subseteq \mathcal{O}_{K} \quad((p) \subseteq \mathbb{Z}) \\
\zeta_{K} \quad\left(\zeta_{\mathbb{Q}}=\zeta\right)
\end{gathered}
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\begin{aligned}
& \mathbb{F}_{q}(T) \\
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& q[T] \text { irreducible } \\
& \text { Zeta Function }
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Weil Zeta Function

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## Newman setup in Function Fields

Idea: Mimic Pólya's setup

$$
q=p^{n}, \quad D \in \mathbb{F}_{q}[x], \quad L\left(s, \chi_{D}\right):=\sum_{f \text { monic }} \frac{\chi_{D}(f)}{|f|^{s}}
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\Xi_{t}\left(x, \chi_{D}\right):=\Phi_{0}+\sum_{n=1}^{g} \Phi_{n} e^{t n^{2}}\left(e^{i n x}+e^{-i n x}\right)
\end{array}
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## Newman's Conjecture in Function Fields

## Lemma (Andrade, Chang, Miller 2013) <br> If $\Xi_{t}\left(x, \chi_{D}\right)$ has only real zeros for some $t \in \mathbb{R}$, then for all <br> $t^{\prime}>t, \Xi_{t^{\prime}}\left(x, \chi_{D}\right)$ has only real zeros.

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Lemma (Andrade, Chang, Miller 2013)
There exists $\Lambda_{D} \in[-\infty, 0]$ such that

1. if $t \geq \Lambda$, then $\Xi_{t}\left(x, \chi_{D}\right)$ has only real zeros
2. if $t<\Lambda$, then $\Xi_{t}\left(x, \chi_{D}\right)$ has a non-real zero.

Examples

Example
$D=x^{5}+x^{4}+x^{3}+2 x+2 \in \mathbb{F}_{5}[x]:$

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$D=x^{5}+x^{4}+x^{3}+2 x+2 \in \mathbb{F}_{5}[x]:$

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\Xi_{t}(x, D)=10 e^{4 t} \cos 2 x-2 \sqrt{5} e^{t} \cos x-1
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$$
\Lambda_{D}=-\infty
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## Newman's Conjecture in Function Fields

## Conjecture

Fix $q$ a power of an odd prime. Then

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\sup _{D \in \mathbb{F}_{q}[T] \operatorname{good}} \Lambda_{D} \geq 0 .
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## Conjecture

Fix $g \in \mathbb{N}$. Then

$$
\sup _{\substack{D \operatorname{good,} \operatorname{deg} D=2 g+1 \\ q=p^{k}, p \geq 3}}
$$

## Newman's Conjecture in Function Fields

## Conjecture

Fix $D \in \mathbb{Z}[T]$ square-free. Let $p$ be prime, and let $D_{p} \in \mathbb{F}_{p}[T]$ be the polynomial obtained by reducing $D$ modulo $p$. Then

$$
\sup _{\substack{D_{p} \text { good } \\ p \geq 3}} \Lambda_{D_{p}} \geq 0
$$

## Previous Work

Theorem (Andrade, Chang, Miller 2013)
Let $D \in \mathbb{Z}[x]$ be square-free with $\operatorname{deg} D=3$. For each odd prime $p$, we can reduce $D$ to $D_{p} \in \mathbb{F}_{p}[x]$. Then $\sup _{p} \Lambda_{D_{p}}=0$.

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## Proof sketch.

Step 1: Show that

$$
\Lambda_{D_{p}}=\log \frac{\left|a_{p}(D)\right|}{2 \sqrt{p}}
$$

where $a_{p}(D)$ is the trace of Frobenius.
Step 2: Use the Sato-Tate conjecture.

## Motivation For Our Strategy

- If $q=p^{n}$ is a square, then $2 \sqrt{q} \in \mathbb{Z}$, so $\left|a_{q}(D)\right|$ can actually equal $2 \sqrt{q}$. In this case, $\Lambda_{D}=\log 1=0$.


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- Weil conjectures $\Longrightarrow \mathcal{E} / \mathbb{F}_{p}$ with the average number of points will acheive the maximum and minimum number of points possible over particular extensions of $\mathbb{F}_{p}$.


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- Weil conjectures $\Longrightarrow \mathcal{E} / \mathbb{F}_{p}$ with the average number of points will acheive the maximum and minimum number of points possible over particular extensions of $\mathbb{F}_{p}$.
- Judicious choices of $D$ and $p$ (such that $y^{2}=D(x)$ has $p+1$ points over $\mathbb{F}_{p}$ ) will give us Newman's conjecture in certain cases!


## The Weil Conjectures for Curves

## Theorem (Weil Conjectures)

Let $X$ be a curve over $\mathbb{F}_{q}$. The Hasse-Weil zeta function of $X$ is defined as $Z(X, s)=\exp \left(\sum_{m \geq 1} \frac{N_{m}}{m}\left(q^{-s}\right)^{m}\right)$, where $N_{m}$ is the number of points of $X$ over $\mathbb{F}_{q^{m}}$.

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Z(X, s)=\frac{P(T)}{(1-T)(1-q T)}, \quad P \in \mathbb{Z}[T]
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Example:

$$
Z\left(\mathbb{P}^{1}, s\right)=\frac{1}{(1-T)(1-q T)}
$$

## A Key Lemma and a Key Observation

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$\Lambda_{D}=0 \Longleftrightarrow L\left(s, \chi_{D}\right)$ has a double root.

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## Observation

$L\left(s, \chi_{D}\right)$ is the numerator of the zeta function $Z(X, s)$, $X: y^{2}=D(x)$. More precisely, $Z(X, s)=Z\left(\mathbb{P}^{1}, s\right) L\left(s, \chi_{D}\right)$.

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$X: y^{2}=D(x)$. More precisely, $Z(X, s)=Z\left(\mathbb{P}^{1}, s\right) L\left(s, \chi_{D}\right)$.

## Proof (Idea)

Use the Euler products for $Z(X, s), L\left(s, \chi_{D}\right)$.

$$
\begin{aligned}
Z(X, s) & =\prod_{\pi \text { monic, irred. }}(1-N(\pi))^{-s} \\
L\left(s, \chi_{D}\right) & =\prod_{\pi}\left(1-\chi(\pi) N(\pi)^{-s}\right)^{-1}
\end{aligned}
$$

## Results

## Theorem

The L-function corresponding to $D(x)=x^{q}-x$ has a double root. This implies that $\Lambda_{D}=0$ (considering $D$ over $\mathbb{F}_{q}$ ).

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## Proof Sketch

The curve $X: y^{2}=x^{q}-x$ carries an action of $\mathbb{F}_{q}$ that commutes with Frobenius. These actions reduce to actions at the level of cohomology $H_{\ell}^{*}(X)$. For $X: y^{2}=x^{q}-x$, $Z(X, s)=Z\left(\mathbb{P}^{1}, s\right) L\left(s, \chi_{D}\right)$. Next, recall that the $L$-function is defined as a Gauss sum. A result of Nick Katz
$\Longrightarrow L\left(s, \chi_{D}\right)=\left(T^{2} q \pm 1\right)^{g}$.

## Results

## Corollary

If $\mathcal{F}$ is a family of good polynomials over various finite fields, and contains at least one polynomial over $\mathbb{F}_{q}$ of the form $x^{q}-x$ for some $q$, then

$$
\sup _{D \in \mathcal{F}} \Lambda_{D}=0
$$

In particular, $\mathcal{F}=\left\{D \in \mathbb{F}_{q}[T] \mid D\right.$ good $\}$ and
$\mathcal{F}=\left\{D \mid \operatorname{deg} D=2 g+1,2 g+1=p^{k}\right.$ for some $\left.p\right\}$ are such families.

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This sup is really a max!

## Continuing Previous Results

## Theorem

Let $D \in \mathbb{Z}[T]$ be a square-free monic cubic polynomial. Then there exists a number field $K / \mathbb{Q}$ such that

$$
\sup _{\mathfrak{p} \subseteq \mathcal{O}_{K}} \Lambda_{D_{\mathfrak{p}}}=\max _{\mathfrak{p} \subseteq \mathcal{O}_{K}} \Lambda_{D_{\mathfrak{p}}}=0
$$

where $D_{\mathfrak{p}}$ denotes reduction modulo the prime ideal $\mathfrak{p}$.

## Future Directions

- Degree greater than 3 case of the third Newman's conjecture.


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- Fix a number field $K / \mathbb{Q}$ and a square-free monic cubic $D \in \mathcal{O}_{K}[T]$. Does there exist a prime $\mathfrak{p} \subseteq \mathcal{O}_{K}$ such that $\Lambda_{D_{\mathfrak{p}}}=0$ or a sequence of primes $\left\{\mathfrak{p}_{n}\right\}_{n \in \mathbb{N}}$ such that $\Lambda_{D_{\mathfrak{p}_{i}}} \rightarrow 0$ ?


## Future Directions

- Degree greater than 3 case of the third Newman's conjecture.
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- Does Newman's conjecture hold for the family $\mathcal{F}=\{D \mid \operatorname{deg} D=2 g+1, g \in \mathbb{N}\}$ when $2 g+1$ is not a power of a prime?


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