Phase Transitions in the Distribution of Missing Sums

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In joint work with Noah Luntlaza, Steven J. Miller, Victor Xu.

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Introduction

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Definition

A finite set of integers. A is called **sum-dominated** or MSTD (more-sum-than-difference) if |A + A| > |A - A|, balanced if |A + A| = |A - A| and difference-dominated if |A + A| < |A - A|.

Sketch of Proof

False conjecture

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- Each pair (x, y), $x \neq y$ gives two differences: $x - y \neq y - x$, but only one sum x + y.
- However, sets A with |A + A| > |A A| do exist!

Examples

- Conway: $A_1 = \{0, 2, 3, 4, 7, 11, 12, 14\}$
- Marica: $A_2 = \{0, 1, 2, 4, 7, 8, 12, 14, 15\}$
- 14, 16, 17, 21, 24, 25, 26, 28, 29}
- 22, 24, 25, 29, 32, 33, 37, 40, 41, 42, 44, 45}

- Conway: $A_1 = \{0, 2, 3, 4, 7, 11, 12, 14\}$
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- 22. 24. 25. 29. 32. 33. 37. 40. 41. 42. 44. 45}
- Hegarty proved that the smallest cardinality of MSTD sets is 8.

Martin and Obryant '06

Theorem

Consider $I_n = \{0, 1, ..., n-1\}$. The proportion of MSTD subsets of I_n is bounded below by a positive constant $c \approx 2 \cdot 10^{-7}$

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Theorem

Consider $I_n = \{0, 1, ..., n-1\}$. The proportion of MSTD subsets of I_n is bounded below by a positive constant $c \approx 2 \cdot 10^{-7}$.

- Later, Zhao improved the bound to 4.28 · 10⁻⁴ and proved that the limiting proportion exists.
- Probabilistic method.

Results

 Behavior of the distribution of the number of missing sums.

Overview

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Sketch of Proof

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- The distribution has some strange behavior:
 - Not unimodal
 - Against missing certain number of sums.

Sets of Missing Sums

• Let $I_n = \{0, 1, 2, ..., n-1\}$.

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Sketch of Proof

• $B_n = (I_n + I_n) \setminus (S + S)$ is the set of missing sums, $|B_n|$: the number of missing sums.

Distribution of Missing Sums

• Fix $p \in (0, 1)$, study $\mathbb{P}(|B| = k) = \lim_{n \to \infty} \mathbb{P}(|B_n| = k)$. (Zhao proved that the limit exists.)

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Divot

For some k > 1, if

 $\mathbb{P}(|B| = k - 1) > \mathbb{P}(|B| = k) < \mathbb{P}(|B| = k + 1)$, then the distribution of sums has a divot at k.

Future Research

Example of Divot

Introduction

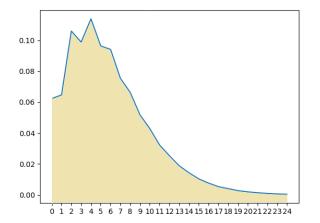


Figure: Frequency of the number of missing sums for subsets of $\{0, 1, 2, ..., 400\}$ by simulating 1,000,000 subsets with p = 0.6.

 Closely related to the behavior of missing sums in the sumset.

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Many famous problems can be stated in the language of sumsets: Goldbach's Conjecture and Fermat's Last Theorem.

For example, let P_n be the set $\{1^n, 2^n, 3^n, ...\}$. Then Fermat's Last Theorem is equivalent to $(P_n + P_n) \cap P_n = \emptyset$ for all n > 3.

Interesting itself: two-bump distribution.

Numerical Analysis for p = 1/2

Introduction

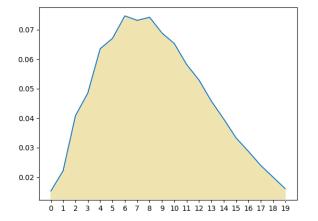


Figure: Frequency of the number of missing sums for all subsets of $\{0, 1, 2, ..., 25\}$.

Sketch of Proof

Lazarev-Miller-O'Bryant '11

Divot at 7

For p = 1/2, there is a divot at 7, i.e.

$$\mathbb{P}(|B| = 6) > \mathbb{P}(|B| = 7) < \mathbb{P}(|B| = 8).$$

Existence of Divots

For a fixed different value of p, are there other divots?

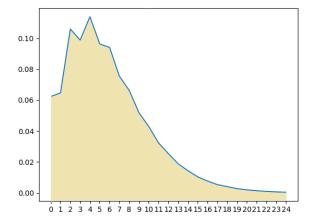
Question

Existence of Divots

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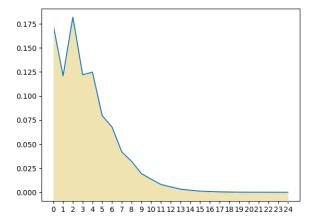
Sketch of Proof

Answer: Yes!



Sketch of Proof

Figure: Distribution of |B| = k by simulating 1,000,000 subsets of $\{0, 1, 2, \dots, 400\}$ with p = 0.6.



Sketch of Proof

Figure: Distribution of |B| = k by simulating 1,000,000 subsets of $\{0, 1, 2, \dots, 400\}$ with p = 0.7.

Numerical analysis for different $p \in (0, 1)$: p = 0.8

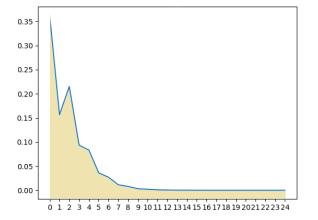
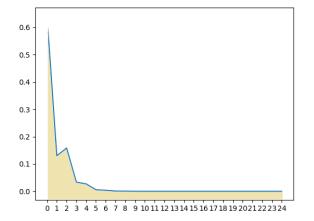


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Sketch of Proof

Main Result

Chu-Luntzlara-Miller-Shao-Xu

For $p \ge 0.68$, there is a divot at 1, i.e.

$$\mathbb{P}(|B| = 0) > \mathbb{P}(|B| = 1) < \mathbb{P}(|B| = 2).$$

Main Result

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Chu-Luntzlara-Miller-Shao-Xu

For $p \ge 0.68$, there is a divot at 1, i.e.

$$\mathbb{P}(|B| = 0) > \mathbb{P}(|B| = 1) < \mathbb{P}(|B| = 2).$$

 Empirical evidence predicts the value of p such that the divot at 1 starts to exist is between 0.6 and 0.7.

• Want $\mathbb{P}(|B| = 0) > \mathbb{P}(|B| = 1) < \mathbb{P}(|B| = 2)$.

Sketch of Proof

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Sketch of Proof

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• Establish an upper bound T^1 for $\mathbb{P}(|B|=1)$.

Key Ideas

- Want $\mathbb{P}(|B| = 0) > \mathbb{P}(|B| = 1) < \mathbb{P}(|B| = 2)$.
- Establish an upper bound T^1 for $\mathbb{P}(|B|=1)$.
- Establish lower bounds T_0 and T_2 for $\mathbb{P}(|B|=0)$ and $\mathbb{P}(|B|=2)$, respectively.

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Sketch of Proof

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• Find values of p such that $T_2 > T^1 < T_0$.

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 Most of the missing sums come from the fringe: many more ways to form middle elements than fringe elements.

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Sketch of Proof

• Example: let $S \subseteq \{0, 1, ..., 10\}$. Then $S + S \subseteq [0, 20]$. Consider 0 = 0 + 0 and 20 = 10 + 10 while 10 = 0 + 10 = 1 + 9 = 2 + 8 = 3 + 7 = 4 + 6 = 5 + 5.

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 Most of the missing sums come from the fringe: many more ways to form middle elements than fringe elements.

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- Fringe analysis is enough to find good lower bounds and upper bounds for $\mathbb{P}(|B| = k)$.

Introduction

• Consider $S \subseteq \{0, 1, 2, ..., n-1\}$ with probability p of each element being picked.

Sketch of Proof

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Sketch of Proof

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- Analyze fringe of size 30.
- Write $S = L \cup M \cup R$, where $L \subset [0, 29], M \subset [30, n-31] \text{ and } R \subset [n-30, n-1].$

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• L_k : the event that L + L misses k sums in [0, 29].

Notation

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- Similar notations applied for R.

Upper Bound

Given 0 < k < 30.

$$\mathbb{P}(|B|=k) \leq \sum_{i=0}^{k} \mathbb{P}(L_i) P(L_{k-i}) + \frac{2(2q-q^2)^{15}(3q-q^2)}{(1-q)^2}.$$
(1)

Sketch of Proof

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Lower Bound

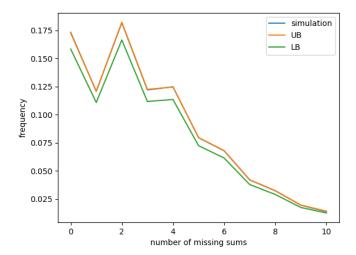
Given 0 < k < 30.

$$\mathbb{P}(|B| = k) \geq \sum_{i=0}^{k} \left[1 - (a-2)(q^{\tau(L_{i}^{a})} + q^{\tau(L_{k-i}^{a})}) - \frac{1+q}{(1-q)^{2}} (q^{\min L_{i}^{a}} + q^{\min L_{k-i}^{a}}) \right] \mathbb{P}(L_{i}^{a}) \mathbb{P}(L_{k-i}^{a}).$$
(2)

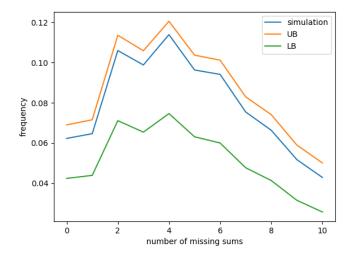
Sketch of Proof

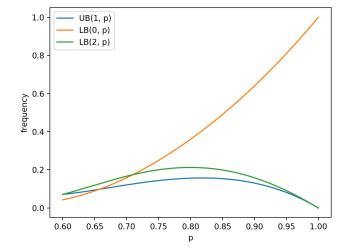
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Our Bounds Are Sharp ($p \ge 0.7$)



Our Bounds Are Bad ($p \le 0.6$)





Question

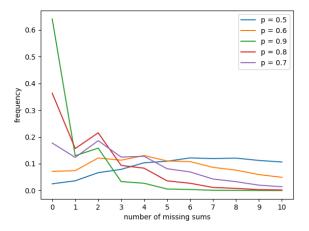


Figure: Shift of Divots

Introduction

Conjecture

There are no divots at even numbers.

Question

Is there a value of *p* such that there are no divots?

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Future Research

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