

THE TOPOLOGY OF COMPACT LORENTZIAN MANIFOLDS WITH PARALLEL WEYL CURVATURE

(JOINT WORK WITH ANDRZEJ DERDZINSKI)

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These slides can also be found at

https://www.asc.ohio-state.edu/terekcoutho.1/texts/Merida_slides_january2024.pdf

Setup

The curvature tensor of a pseudo-Riemannian manifold (M, g) , $n = \dim M$, admits the **orthogonal decomposition**

$$R = \frac{s}{n(n-1)}g \wedge g + \frac{2}{n-2}g \wedge \left(\text{Ric} - \frac{s}{n}g\right) + W,$$

where Ric , s and W are the Ricci, scalar, and Weyl curvatures of (M, g) .

We will assume throughout this talk that $n \geq 4$, in which case (M, g) is **conformally flat if and only if $W = 0$** .

The condition we are interested in is $\nabla W = 0$.

Definition (ECS manifold)

A pseudo-Riemannian manifold (M, g) is called **essentially conformally symmetric** if $\nabla W = 0$ but neither $W = 0$ nor $\nabla R = 0$.

The metric signature

ECS manifolds are objects of **strictly indefinite nature**:

Theorem (Roter, 1977)

For a Riemannian manifold (M, g) : $\nabla W = 0 \iff W = 0$ or $\nabla R = 0$.

Roter has also shown that ECS manifolds **exist in all dimensions** starting from 4, and realizing **all possible indefinite metric signatures**.

Every ECS manifold carries **a distinguished null parallel distribution**, which helps control its geometry:

Definition

The **Olszak distribution** of an ECS manifold (M, g) is $\mathcal{D} \hookrightarrow TM$ given by

$$\mathcal{D}_x = \{v \in T_x M \mid g_x(v, \cdot) \wedge W_x(v', v'', \cdot, \cdot) = 0, \text{ for all } v', v'' \in T_x M\},$$

for every $x \in M$.

More on the Olszak distribution

The Olszak distribution was originally introduced for the more general study of **conformally recurrent manifolds**, and in this setting it is already true that \mathcal{D} is indeed **smooth, parallel and null**.

In the ECS case, the rank of \mathcal{D} is always **equal to 1 or 2**. For this reason, we speak of **rank-one/rank-two ECS manifolds**.

Theorem (Derdzinski-Roter, 2009)

Let (M, g) be an ECS manifold, and \mathcal{D} be its Olszak distribution. Then:

- i The Ricci endomorphism of (M, g) is **\mathcal{D} -valued**.
- ii The connection induced in the quotient bundle $\mathcal{D}^\perp / \mathcal{D}$ over M is **flat**.
- iii The connection induced in \mathcal{D} itself is **flat** when (M, g) is of **rank one**.

Lorentzian ECS manifolds are **all of rank-one** and, up to a double isometric covering, **pp-wave spacetimes**.

A rank-one example

Example (Conformally symmetric pp-wave manifolds)

Let $(V, \langle \cdot, \cdot \rangle)$ be a pseudo-Euclidean vector space of dimension $n - 2 \geq 2$, $A \in \mathfrak{sl}(V)$ be self-adjoint, $I \subseteq \mathbb{R}$ be an open interval and $f: I \rightarrow \mathbb{R}$ be a smooth function. Consider

$$(\widehat{M}, \widehat{g}) = (I \times \mathbb{R} \times V, \kappa dt^2 + dt ds + \langle \cdot, \cdot \rangle),$$

where $\kappa: \widehat{M} \rightarrow \mathbb{R}$ is given by $\kappa(t, s, v) = f(t)\langle v, v \rangle + \langle Av, v \rangle$.

Then $(\widehat{M}, \widehat{g})$ has $\nabla W = 0$, with:

- $W = 0 \iff A = 0$;
- $\nabla R = 0 \iff f$ is constant.

In the ECS case, the Olszak distribution \mathcal{D} is spanned by the null parallel coordinate vector field ∂_s , and $(V, \langle \cdot, \cdot \rangle)$ is isometrically identified with the vector space of parallel sections of $\mathcal{D}^\perp/\mathcal{D}$.

Intuition

We consider such examples because *any point in a rank-one ECS manifold (M^n, g) has a neighborhood isometric to an open subset of some $(\widehat{M}, \widehat{g})$.*

The idea relies on two general facts about rank-one ECS manifolds:

- Ric is \mathcal{D} -valued.
- the connections induced on \mathcal{D} and $\mathcal{D}^\perp/\mathcal{D}$ are flat.

Locally, consider: a null parallel vector field w spanning \mathcal{D} , and a function t such that $dt = g(w, \cdot)$. This way:

- Ric = $(2 - n)f(t) dt \otimes dt$ for some suitable function f .
- The Weyl tensor acts as a traceless self-adjoint endomorphism A of $V = \mathcal{D}^\perp/\mathcal{D}$ via $A(v + \mathcal{D}) = W(u, v)u + \mathcal{D}$ (where u is any vector field with $g(u, w) = 1$).

Any null geodesic $t \mapsto x(t)$ with $g(\dot{x}(t), w_{x(t)}) = 1$ gives rise to a mapping

$$F(t, s, v) = \exp_{x(t)} \left(v_{x(t)} + \frac{sw_{x(t)}}{2} \right), \quad \text{with } F^*g = \widehat{g}.$$

About compact ECS manifolds

With the local structure of ECS manifolds being fully understood, the next step is to address **global aspects**. The first question is **whether compact ECS manifolds exist**.

Theorem (Derdzinski-Roter, 2010)

In every dimension $n = 3j + 2$, $j = 1, 2, 3, \dots$, there exists a compact rank-one ECS manifold (M, g) of any prescribed indefinite metric signature, which is diffeomorphic to a torus bundle over S^1 , but not homeomorphic to (or even covered by) a torus.

These examples are **all of the form $M = \widehat{M}/\Gamma$** , where Γ is some cocompact subgroup of $\text{Iso}(\widehat{M}, \widehat{g})$ acting freely and properly discontinuously on \widehat{M} .

The strange dimensions $n = 3j + 2$ were **a particularity of their construction**, which obtained a 5-dimensional example with $\dim V = 3$, but turned out to be “compatible” with taking cartesian powers of $(V, \langle \cdot, \cdot \rangle)$, leading also to dimensions 8, 11, 14, etc..

More compact examples

Generalizing the construction:

Theorem (Derdzinski-T., 2022)

There exist compact rank-one ECS manifolds of all dimensions $n \geq 5$ and all indefinite metric signatures, forming the total space of a nontrivial torus bundle over S^1 with its fibers being the leaves of \mathcal{D}^\perp , all geodesically complete, and none locally homogeneous. In each fixed dimension and metric signature, there is an infinite-dimensional moduli space of local-isometry types.

Compact pp-wave spacetimes are complete (Leistner-Schliebner, 2016).

More generally, a compact Lorentzian manifold carrying a parallel null vector field is complete (Mehidi-Zeghib, 2022).

There are geodesically incomplete and locally homogeneous odd-dimensional examples too (Derdzinski-T., 2023), but **none of them is Lorentzian**.

The topological structure

Q: What do all known compact rank-one ECS manifolds presented so far have in common?

A: They are all bundles over S^1 , and \mathcal{D}^\perp appears as the vertical distribution.

We will see next that this is **not an accident**.

The main result

Theorem (Derdzinski-T., 2022)

Every *non-locally-homogeneous* compact rank-one ECS manifold (M, g) for which the orthogonal distribution \mathcal{D}^\perp is *transversely orientable* is the total space of a locally trivial fibration over S^1 whose fibers are the leaves of \mathcal{D}^\perp . In addition, every leaf L of $\tilde{\mathcal{D}}^\perp$ in \tilde{M} is *simply connected* and \tilde{M} is diffeomorphic to $\mathbb{R} \times L$.

The transverse orientability of \mathcal{D}^\perp can be achieved by replacing (M, g) with a suitable isometric *double covering*, if necessary.

This is a generalization to arbitrary indefinite signature of:

Theorem (Derdzinski-Roter, 2008)

Let (M, g) be a compact *Lorentzian* ECS manifold. Then some two-fold covering of M is the total space of a C^∞ bundle over S^1 , the fiber of which admits a flat torsionfree connection with a nonzero parallel vector field.

How does the generalization happen?

To understand how our main result generalizes the 2008 one, it remains to argue that **Lorentzian ECS manifolds cannot be locally homogeneous**.

Here, we write $M = \tilde{M}/\Gamma$ and again consider the space $(V, \langle \cdot, \cdot \rangle)$ of parallel sections of $\mathcal{D}^\perp/\mathcal{D}$, with the **self-adjoint** $A \in \mathfrak{sl}(V)$ resulting from W .

For every $\gamma \in \Gamma$ there are $(q, p) \in \text{Aff}(\mathbb{R})$ and $C \in \text{O}(V, \langle \cdot, \cdot \rangle)$ such that

$$t \circ \gamma = qt + p, \quad q^2 f(qt + p) = f(t), \quad \text{and} \quad CAC^{-1} = q^2 A.$$

If the image K of the obvious homomorphism $\Gamma \rightarrow \text{Aff}(\mathbb{R})$ has an element (q, p) with $|q| \neq 1$ and (M, g) is Lorentzian, then $A = 0$ and hence $W = 0$.

But if (M, g) is Lorentzian and locally homogeneous, then $K \subseteq \mathbb{R}$ is dense in \mathbb{R} , making f constant and thus (M, g) becomes **locally symmetric**.

The strategy

The central concept used in the proof is what we call **the dichotomy property** for a codimension-one foliation \mathcal{V} in a smooth manifold M , which has two alternatives **(NC)** and **(AC)** imposed on its compact leaves.

The reason why we care about this property is that it turns out that if M is compact, \mathcal{V} is transversely orientable, and **some compact leaf of \mathcal{V} satisfies (AC)**, then there is a locally trivial bundle projection $M \rightarrow \mathbb{S}^1$ whose fibers are the leaves of \mathcal{V} .

There are two big steps to carry out:

- ❶ Establishing the **dichotomy property for \mathcal{D}^\perp** (when transversely orientable) in a rank-one ECS manifold (M, g) .
- ❷ Showing that some compact leaf of \mathcal{D}^\perp satisfies **(AC)** when M is compact.

Step (i) does not use compactness of M , and local homogeneity is an obstacle for (ii).

The dichotomy property

Definition

A codimension-one foliation \mathcal{V} in a smooth manifold M has the *dichotomy property* if every compact leaf L of \mathcal{V} has a neighborhood U in M such that the leaves of \mathcal{V} intersecting $U \setminus L$ are either:

NC: all *noncompact*, or

AC: *all compact*, and some neighborhood of L in M saturated by compact leaves of \mathcal{V} may be diffeomorphically identified with the product $\mathbb{R} \times L$ in such a way that \mathcal{V} corresponds to the foliation $\{\{s\} \times L\}_{s \in \mathbb{R}}$.

Example

If both M and \mathcal{V} are *real-analytic* and \mathcal{V} is transversely orientable, then \mathcal{V} has the dichotomy property. If a compact leaf L of \mathcal{V} does not satisfy (NC), *there are compact leaves of \mathcal{V} arbitrarily close to L* . Now analyticity implies that L satisfies (AC).

More examples of the dichotomy property

Example

If \mathcal{V} is transversely orientable and has a **finite number of compact leaves**, then \mathcal{V} clearly has the dichotomy property. Examples of this situation include **the Reeb foliation on S^3** , and foliations on **products $\mathbb{T}^2 \times K$** coming from foliations on \mathbb{T}^2 having themselves a finite number of leaves.

Example

Let M be an orientable line bundle over a compact and connected manifold L , equipped with a flat connection ∇ , and let \mathcal{V} be **the horizontal distribution** on M associated with ∇ . The compact leaf L (and hence all others) satisfies **(NC) or (AC)** according to whether the holonomy group $\text{Hol}(\nabla)$ is **infinite or trivial**.

Establishing the dichotomy property for \mathcal{D}^\perp

The last example illuminates the way to proceed:

Theorem

Let (M, g) be a compact rank-one ECS manifold with transversely orientable \mathcal{D}^\perp , and let L be a compact leaf of \mathcal{D}^\perp . Then, there is some neighborhood U of L in M which can be *identified with a neighborhood U' of the zero section $L \hookrightarrow \mathcal{D}_L^*$ as to make the distribution \mathcal{D}^\perp in U correspond in U' to the horizontal distribution of the flat connection in \mathcal{D}_L^* .*

Sketch of proof: Let $t: \tilde{M} \rightarrow \mathbb{R}$ is a function whose parallel gradient \mathbf{w} spans $\tilde{\mathcal{D}}$, and ϕ be a flow on M which is transverse to \mathcal{D}^\perp . Define $U = \phi[(-\varepsilon, \varepsilon) \times L]$ and $\Psi: U \rightarrow U' = \Psi[U]$ by

$$\Psi(\phi(\tau, x)) = [t(\tilde{\phi}(\tau, y)) - t(y)]\xi_y \circ (d\pi_y)^{-1},$$

where $\tilde{\phi}$ is a lift of ϕ to \tilde{M} , ξ is the parallel section of $\tilde{\mathcal{D}}^*$ with $\xi(\mathbf{w}) = 1$, and $y \in \pi^{-1}(x)$ is chosen at will. This works.

Establishing the dichotomy property for \mathcal{D}^\perp

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Let (M, g) be a compact rank-one ECS manifold with transversely orientable \mathcal{D}^\perp , and let L be a compact leaf of \mathcal{D}^\perp . Then, there is some neighborhood U of L in M which can be identified with a neighborhood U' of the zero section $L \hookrightarrow \mathcal{D}_L^$ as to make the distribution \mathcal{D}^\perp in U correspond in U' to the horizontal distribution of the flat connection in \mathcal{D}_L^* .*

So:

Theorem

If (M, g) is a rank-one ECS manifold with transversely orientable \mathcal{D}^\perp , then \mathcal{D}^\perp satisfies the dichotomy property. Namely, for a compact leaf L of \mathcal{D}^\perp , alternatives (AC) and (NC) correspond to whether the holonomy group of the natural flat connection in the line bundle \mathcal{D}_L^ is **finite or infinite**.*

Towards a compact leaf with (AC): cohomology, \mathcal{F} & P

Our next goal is to show that some compact leaf of \mathcal{D}^\perp in M satisfies alternative (AC) of the dichotomy property.

Closedness of a **continuous 1-form** ζ means its locally being the differential of a C^1 function. Thus it makes sense to consider a cohomology class $[\zeta] \in H_{\text{dR}}^1(M) \cong \text{Hom}(\pi_1(M), \mathbb{R})$.

We fix again the universal covering (\tilde{M}, \tilde{g}) of (M, g) , a function $t: \tilde{M} \rightarrow \mathbb{R}$ whose **parallel gradient spans** $\tilde{\mathcal{D}}$, and express $M = \tilde{M}/\Gamma$ with $\Gamma \cong \pi_1(M)$.

Considering the space \mathcal{F} of all continuous functions $\chi: \tilde{M} \rightarrow \mathbb{R}$ such that χdt is **closed and Γ -invariant**, we may consider the operator

$$P: \mathcal{F} \rightarrow H_{\text{dR}}^1(M), \quad \text{given by} \quad P\chi = [\chi dt].$$

Special functions

Considering the space \mathcal{F} of all continuous functions $\chi: \tilde{M} \rightarrow \mathbb{R}$ such that χdt is closed and Γ -invariant, we may consider the operator

$$P: \mathcal{F} \rightarrow H_{\text{dR}}^1(M), \quad \text{given by} \quad P\chi = [\chi dt].$$

Theorem

Let (M, g) be a compact rank-one ECS manifold such that \mathcal{D}^\perp is transversely orientable. If (M, g) is not locally homogeneous, then there exists a nonconstant function $\mu \in C^1(M)$ which is constant along \mathcal{D}^\perp .

Sketch of proof: It mainly consists in showing that either

- ❶ $\dim \mathcal{F} < \infty$ and (M, g) is locally homogeneous, or
- ❷ $\dim \mathcal{F} = \infty$ and such μ exists.

In case (i), set-theoretical reasons imply that $f(t) = \varepsilon(t - b)^{-2}$, where $\text{Ric} = (2 - n)f(t) dt \otimes dt$. In case (ii), let $\chi \in \ker P \setminus \{0\}$ and take μ such that $d\mu$ equals the projected χdt .

From special functions to compact leaves satisfying (AC)

Let $\mu \in C^1(M)$ be nonconstant, but constant along \mathcal{D}^\perp .

By **Sard's theorem**, the image of μ in \mathbb{R} contains an open interval of regular values of μ . Any connected component of a level set $\mu^{-1}(c)$, with c in a such open interval, is a compact leaf of \mathcal{D}^\perp with **(AC)**.

This completes the proof of our main result.

Note: Sard's theorem usually applies for a C^k function from an n -manifold into an m -manifold, where $k \geq \max\{n - m + 1, 1\}$. Here, $k = m = 1$ and $n \geq 4$, but compactness of M together with μ being locally a function of t allows us to **apply Sard with $n = 1$ instead of $n \geq 4$** .

Appendix: why $\dim \mathcal{F} < \infty$ gives (M, g) LH

The “set-theoretical reasons” mentioned before ultimately boil down to:

Lemma

Let X be a set and $\mathcal{F} \subseteq \mathbb{R}^X$ be a finite-dimensional subspace which is closed under the absolute value function $|\cdot|$ and has the property that

$$|\psi_1 \dots \psi_k|^{1/k} \in \mathcal{F}, \text{ whenever } k \geq 1 \text{ and } \psi_1, \dots, \psi_k \in \mathcal{F}.$$

Then, writing $m = \dim \mathcal{F}$, there is a basis $\{\chi_1, \dots, \chi_m\}$ of \mathcal{F} consisting of nonnegative functions with pairwise disjoint supports.

In other words, there is a disjoint-union decomposition

$$X = X_0 \cup X_1 \cup \dots \cup X_m,$$

with X_j non-empty for each $j = 1, \dots, m$, such that $\chi_j > 0$ on X_j and $\chi_j = 0$ on $X \setminus X_j$.

Appendix: why $\dim \mathcal{F} < \infty$ gives (M, g) LH

Applying this lemma to our space \mathcal{F} (all $\chi \in C^0(\tilde{M})$ with χdt closed and Γ -invariant), we see that $|\dot{f}|^{1/3}/|f|^{1/2}$ is locally constant where $f \neq 0$.

Then it follows that $f \neq 0$ everywhere: otherwise, at a boundary point of the zero set of f , the linear function $|f|^{-1/2}$ would be unbounded on a bounded t -interval.

It now follows that

$$\textcircled{i} \quad f = \varepsilon(t - b)^{-2},$$

and we can arrange for $b = 0$ and $I = (0, \infty)$ by making an affine substitution on t .

Whitney's theorem from algebraic geometry now implies that

$$\textcircled{ii} \quad \text{for every } q \in (0, \infty) \text{ there is } C \in O(V) \text{ such that } CAC^{-1} = q^2A.$$

Using (i) and (ii), one establishes local homogeneity of (M, g) through homogeneity of the corresponding model (\hat{M}, \hat{g}) .

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