

# Math 383: Complex Analysis: Fall '21 (Williams)

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Homepage:

[https://web.williams.edu/Mathematics/sjmiller/  
public\\_html/383Fa21/](https://web.williams.edu/Mathematics/sjmiller/public_html/383Fa21/)

Lecture 18: 10-27-21: <https://youtu.be/YAWP7TXRGJA>

(error in proof of Montel (1), fixed here: <https://youtu.be/A2E5fVKyKXw>)

10/25/17: Montel's Theorem and Results from Analysis: <https://youtu.be/JDtuMS38hhQ> (2015 lecture) 1

## Plan for the day: Lecture : October , 2021:

[https://web.williams.edu/Mathematics/sjmiller/public\\_html/383Fa21/coursenotes/Math302\\_LecNotes\\_Intro.pdf](https://web.williams.edu/Mathematics/sjmiller/public_html/383Fa21/coursenotes/Math302_LecNotes_Intro.pdf)

- State the Riemann Mapping Theorem
- Results from analysis
- Results from complex analysis

### **General items.**

- Finally some general analysis results that are true in all settings!
- Warnings with limits and infinity....

**Theorem 3.1 (Riemann mapping theorem)** Suppose  $\Omega$  is proper and simply connected. If  $z_0 \in \Omega$ , then there exists a unique conformal map  $F : \Omega \rightarrow \mathbb{D}$  such that

$$F(z_0) = 0 \quad \text{and} \quad F'(z_0) > 0.$$

*Liouville*  
 $f: \mathbb{C} \rightarrow \mathbb{D}$   
 bounded  $\rightarrow f$  const

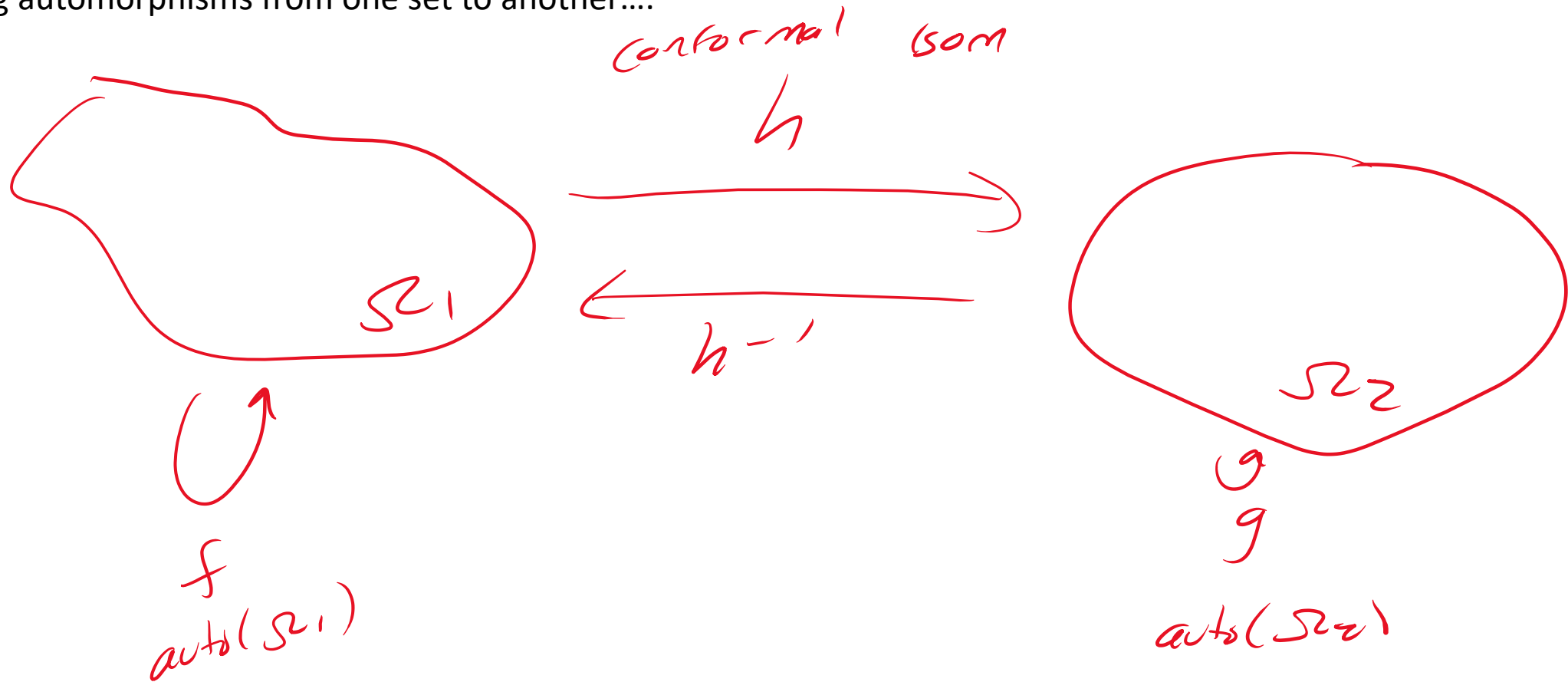
**Corollary 3.2** Any two proper simply connected open subsets in  $\mathbb{C}$  are conformally equivalent.

- Must  $f(\text{open}) = \text{open}$ ?
- Must  $f(\text{connected}) = \text{connected}$ ?
- Must  $f(\text{simply connected}) = \text{simply connected}$ ?
- Must  $f(\text{genus } g) = \text{genus } g$ ?

$f(\text{compact}) \neq \text{compact}$



Moving automorphisms from one set to another....



$$h \circ f \circ h^{-1} : \Omega_2 \rightarrow \Omega_2$$

$$h^{-1} \circ g \circ h : \Omega_1 \rightarrow \Omega_1$$

Let  $\Omega$  be an open subset of  $\mathbb{C}$ . A family  $\mathcal{F}$  of holomorphic functions on  $\Omega$  is said to be normal if every sequence in  $\mathcal{F}$  has a subsequence that converges uniformly on every compact subset of  $\Omega$  (the limit need not be in  $\mathcal{F}$ ).

$$f_n(z) = \frac{1}{n} z + \left(1 - \frac{1}{n}\right) z^2$$

$$f(z) = z \quad \text{as } n \rightarrow \infty, \quad f_n(z) \rightarrow z^2$$


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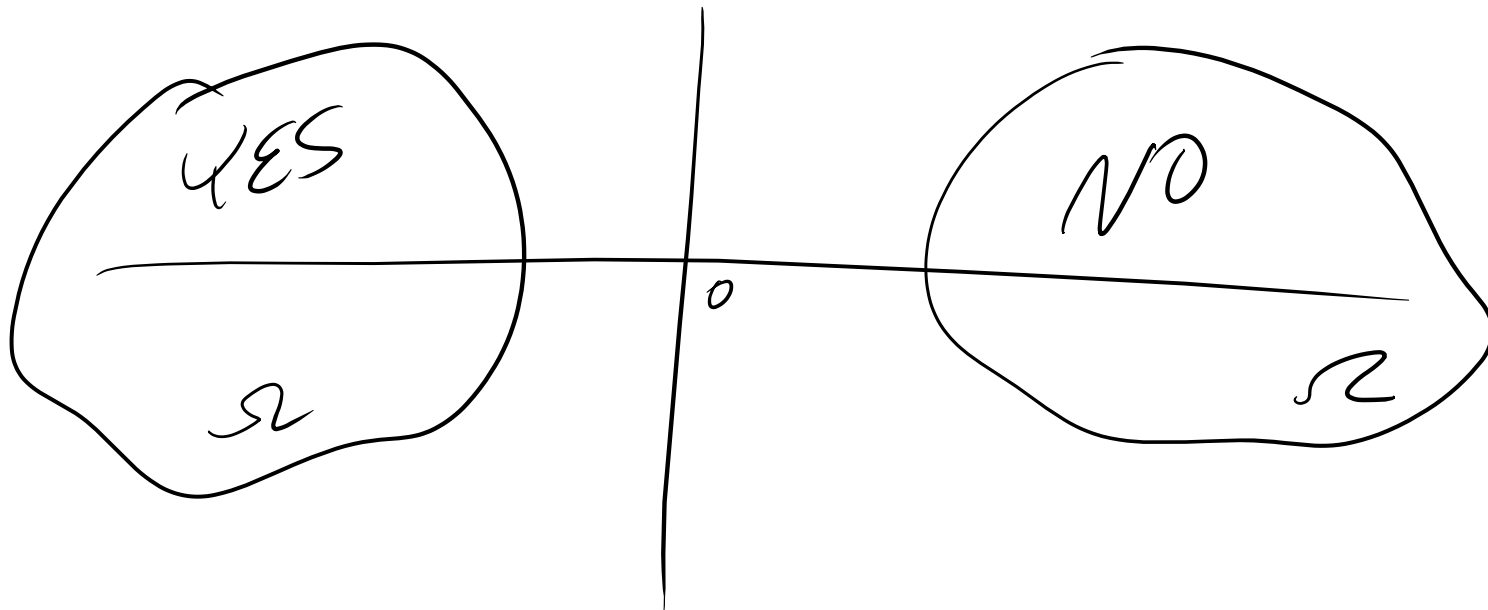
Uniform  
Continuity:  $\forall \varepsilon > 0 \exists \delta$  st  $\forall x, y$  st  $|x - y| < \delta$  then  
 $|f(x) - f(y)| < \varepsilon$  Note  $\delta = \delta(\varepsilon)$

Continuity:  $\forall \varepsilon > 0 \exists \delta = \delta(x, \varepsilon)$  st  $\forall y$  with  $|x - y| < \delta$  then  
 at  $x$   $|f(x) - f(y)| < \varepsilon$ .

The family  $\mathcal{F}$  is said to be **uniformly bounded on compact subsets** of  $\Omega$  if for each compact set  $K \subset \Omega$  there exists  $B_K > 0$ , such that

$$|f(z)| \leq B_K \text{ for all } z \in K \text{ and } f \in \mathcal{F}.$$

$$f_n(z) = e^{nz} = e^{nx} e^{iny} \text{ so } (f_n(z)) = e^{nx}$$



Also, the family  $\mathcal{F}$  is **equicontinuous** on a compact set  $K$  if for every  $\epsilon > 0$  there exists  $\delta > 0$  such that whenever  $z, w \in K$  and  $|z - w| < \delta$ , then

$$|f(z) - f(w)| < \epsilon \quad \text{for all } f \in \mathcal{F}.$$

<sup>Uniform</sup>  
Continuity:  $\forall \epsilon > 0 \exists \delta$  st  $\forall x, y$  st  $|x - y| < \delta$  then  
 $|f(x) - f(y)| < \epsilon$  Note  $\delta = \delta(\epsilon)$

Difference is now for a family

Before: Given  $\epsilon \exists \delta = \delta(\epsilon, f)$  st ...

Now: Given  $\epsilon \exists \delta = \delta(\epsilon, \mathcal{F})$  st ...

# Montel's theorem

**Theorem 3.3** Suppose  $\mathcal{F}$  is a family of holomorphic functions on  $\Omega$  that is uniformly bounded on compact subsets of  $\Omega$ . Then:

- (i)  $\mathcal{F}$  is equicontinuous on every compact subset of  $\Omega$ .
- (ii)  $\mathcal{F}$  is a normal family.

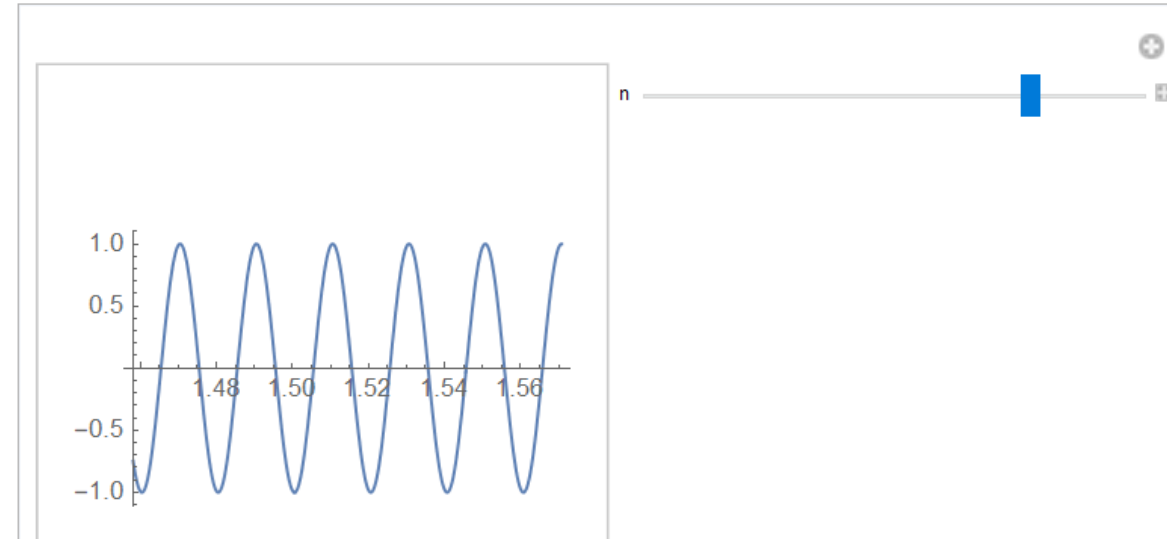
Ex: Real Example:  $f_n(x) = \sin(nx)$  on  $[-\pi, \pi]$  or  $(-\pi, \pi)$

↳ nice

↳ uniformly bounded by 1

Not Equicontinuous!

```
f[x_, n_] := Sin[(4 Floor[n] + 1) x]
Manipulate[Plot[f[x, n], {x, Pi/2 - 1/Sqrt[n], Pi/2}],
{n, 1, 100}]
```





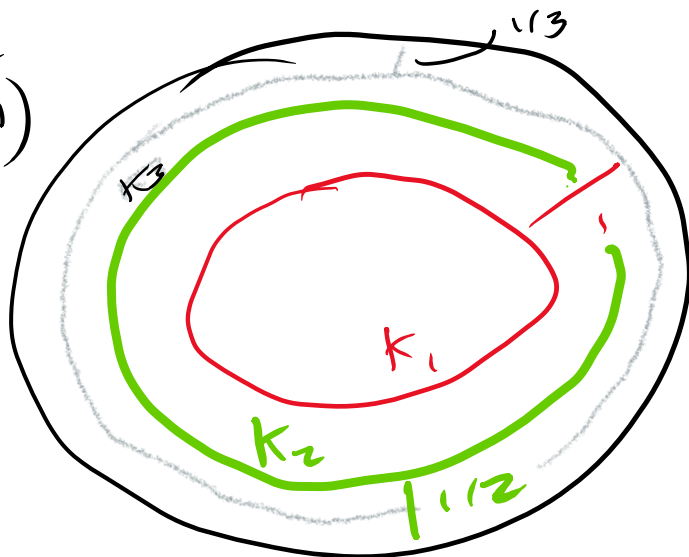
We are required to prove convergence on arbitrary compact subsets of  $\Omega$ , therefore it is useful to introduce the following notion. A sequence  $\{K_\ell\}_{\ell=1}^\infty$  of compact subsets of  $\Omega$  is called an **exhaustion** if

- (a)  $K_\ell$  is contained in the interior of  $K_{\ell+1}$  for all  $\ell = 1, 2, \dots$
- (b) Any compact set  $K \subset \Omega$  is contained in  $K_\ell$  for some  $\ell$ . In particular

$$\Omega = \bigcup_{\ell=1}^{\infty} K_\ell.$$

**Lemma 3.4** *Any open set  $\Omega$  in the complex plane has an exhaustion.*

If not bounded, let  
 $\Omega_n = \Omega \cap B(0, n)$   
 $K_n = \{z \in \Omega_n \text{ st } \text{dist}(z, \partial \Omega_n) \geq 1/n\}$



$$K_n = \{z \in \Omega \text{ st } \text{dist}(z, \partial \Omega) \geq 1/n\}$$

Assuming  $\Omega$  is compact (bounded)

## Montel's theorem

**Theorem 3.3** *Suppose  $\mathcal{F}$  is a family of holomorphic functions on  $\Omega$  that is uniformly bounded on compact subsets of  $\Omega$ . Then:*

- (i)  *$\mathcal{F}$  is equicontinuous on every compact subset of  $\Omega$ .*
- (ii)  *$\mathcal{F}$  is a normal family.*

In the next slide there are some mistakes.

At the end of the analysis the result depends on  $r$ , which we defined relative to how far the point we care about,  $z$ , is from the boundary of our compact set  $K$ .

What we want is to fix this. We choose  $r$  first, where  $r$  depends on our compact set  $K$  and our open set  $\Omega$ .

So, given a compact set  $K$ , we choose  $r$  so that if you take any point in  $K$  your distance from the boundary of  $\Omega$  is at least  $3r$ .

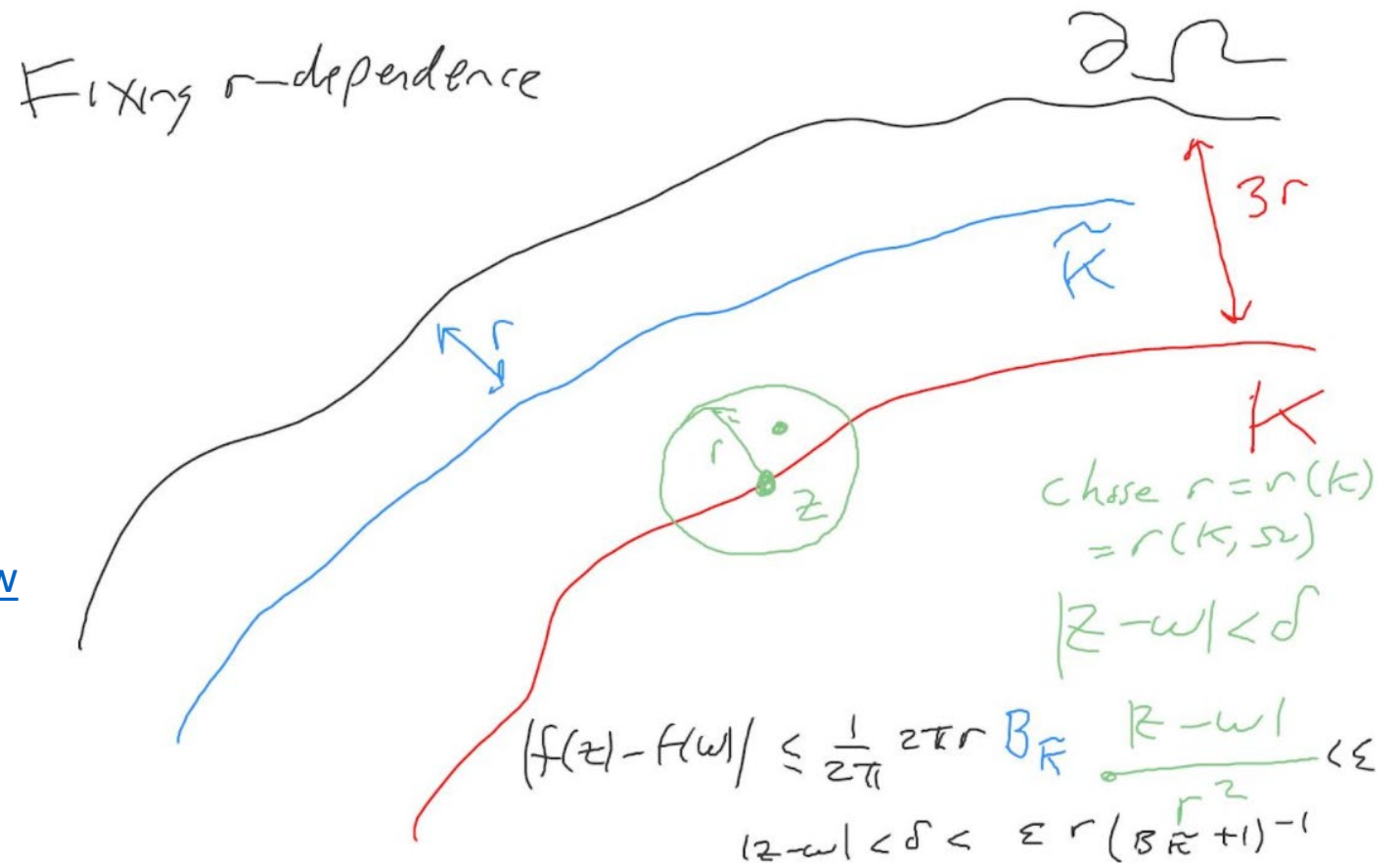
Now the argument follows identically.

I'll paste the corrected picture here.

This is how you should view things.

We have our set  $K$  and then an expanded  $K$ .

Video on this fix here: <https://youtu.be/A2E5fVKyKXw>



**Theorem 3.3** Suppose  $\mathcal{F}$  is a family of holomorphic functions on  $\Omega$  that is uniformly bounded on compact subsets of  $\Omega$ . Then:

(i)  $\mathcal{F}$  is equicontinuous on every compact subset of  $\Omega$ .

**Proof:** Cauchy integral formula

$$f(z) - f(w) = \frac{1}{2\pi i} \int_{\gamma} f(\zeta) \left[ \frac{1}{\zeta - z} - \frac{1}{\zeta - w} \right] d\zeta$$

Given  $\epsilon$  find  $\delta = \delta(\epsilon)$  st integral is small

$$\left| \frac{1}{\zeta - z} - \frac{1}{\zeta - w} \right| = \frac{|z - w|}{|\zeta - z| |\zeta - w|}$$

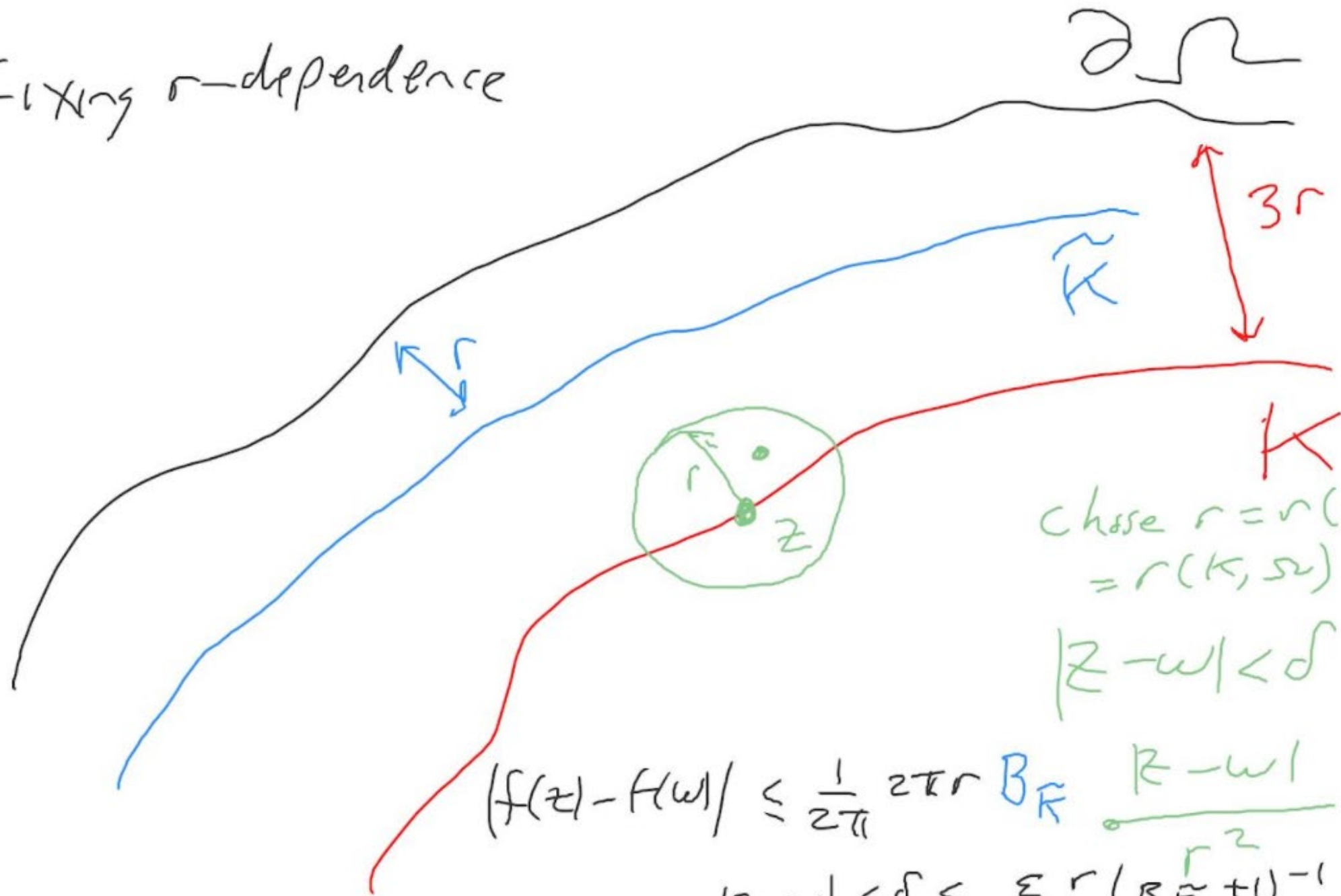
$|\zeta - z| \geq r$      $|\zeta - w| \geq r$

By assumption  $|z - w| < \delta$

$$|f(z) - f(w)| \leq \frac{\delta}{2\pi} \frac{2\pi \cdot 2r}{r^2} B_{2r}$$

Let  $\delta < \frac{\epsilon r}{2 B_{2r} + 1}$

Fixing  $r$ -dependence







**Proposition 3.5** *If  $\Omega$  is a connected open subset of  $\mathbb{C}$  and  $\{f_n\}$  a sequence of injective holomorphic functions on  $\Omega$  that converges uniformly on every compact subset of  $\Omega$  to a holomorphic function  $f$ , then  $f$  is either injective or constant.*



























