Zubin Mukerjee and Uthsav Chitra Advisor: Kirsten Wickelgren, Harvard University PROMYS 2012

Albany Area Math Circle

April 6, 2013

► Any positive integer *n* factors uniquely as

$$n=p_1^{e_1}p_2^{e_2}\cdots p_d^{e_d}$$

where $p_1, p_2, p_3, ..., p_d$ are distinct prime numbers. Let d(n)be the number of distinct prime factors of n.

DISTINCT PRIME DIVISOR FUNCTION

► Any positive integer *n* factors uniquely as

$$n=p_1^{e_1}p_2^{e_2}\cdots p_d^{e_d}$$

where $p_1, p_2, p_3, ..., p_d$ are distinct prime numbers. Let d(n)be the number of distinct prime factors of n.

►
$$d(9) = 1$$

INTRODUCTION

DISTINCT PRIME DIVISOR FUNCTION

► Any positive integer *n* factors uniquely as

$$n=p_1^{e_1}p_2^{e_2}\cdots p_d^{e_d}$$

where $p_1, p_2, p_3, ..., p_d$ are distinct prime numbers. Let d(n)be the number of distinct prime factors of n.

► d(9) = 1

INTRODUCTION

► d(6) = 2

DISTINCT PRIME DIVISOR FUNCTION

► Any positive integer *n* factors uniquely as

$$n=p_1^{e_1}p_2^{e_2}\cdots p_d^{e_d}$$

where $p_1, p_2, p_3, ..., p_d$ are distinct prime numbers. Let d(n)be the number of distinct prime factors of *n*.

► d(9) = 1

INTRODUCTION

- \rightarrow d(6) = 2
- ▶ Our goal in our project was to determine whether a specific relationship could be used to approximate

$$\sum_{n=N+1}^{M} d(n)$$
 (for arbitrary integers *M* and *N*).

▶ Let \mathbb{F}_2 denote the field with 2 elements, so $\mathbb{F}_2 = \mathbb{Z}/2$.

- ▶ Let \mathbb{F}_2 denote the field with 2 elements, so $\mathbb{F}_2 = \mathbb{Z}/2$.
- ► For each n, there exists a modular curve $X_0(n)$ with genus g(n).

INTRODUCTION

- ▶ Let \mathbb{F}_2 denote the field with 2 elements, so $\mathbb{F}_2 = \mathbb{Z}/2$.
- ▶ For each n, there exists a modular curve $X_0(n)$ with genus g(n).
- ► An *involution* is a map *f* such that composing *f* with itself gives the identity map

$$ff = id$$

INTRODUCTION

- ▶ Let \mathbb{F}_2 denote the field with 2 elements, so $\mathbb{F}_2 = \mathbb{Z}/2$.
- ▶ For each n, there exists a modular curve $X_0(n)$ with genus g(n).
- ► An *involution* is a map *f* such that composing *f* with itself gives the identity map

$$ff = id$$

From $X_0(n)$ one can obtain (up to isomorphism) an involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$.

INTRODUCTION

- ► From $X_0(n)$ one can obtain (up to isomorphism) an involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$.
- ► It is known that for *n* odd, there are exactly

$$2^{g(n)+2^{d-1}-1}$$

elements of $\mathbb{F}_2^{2g(n)}$ which are fixed by this involution $\tau(n)$.

INTRODUCTION

- From $X_0(n)$ one can obtain (up to isomorphism) an involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$.
- ▶ It is known that for *n* odd, there are exactly

$$2^{g(n)+2^{d-1}-1}$$

elements of $\mathbb{F}_2^{2g(n)}$ which are fixed by this involution $\tau(n)$.

▶ d(n) is determined by the involution $\tau(n)$ and the genus g(n).

INTRODUCTION

- From $X_0(n)$ one can obtain (up to isomorphism) an involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$.
- ▶ It is known that for *n* odd, there are exactly

$$2^{g(n)+2^{d-1}-1}$$

elements of $\mathbb{F}_2^{2g(n)}$ which are fixed by this involution $\tau(n)$.

- ▶ d(n) is determined by the involution $\tau(n)$ and the genus g(n).
- ► Can we model the number of prime factors of an integer by a random involution?

NUMBER OF FINITE SETS

▶ It is often useful to count objects X, weighted by $\frac{1}{|\operatorname{Aut}(X)|}$, where $\operatorname{Aut}(X)$ denotes the group of automorphisms of X (isomorphisms from X to itself).

Acknowledgments

- ► It is often useful to count objects X, weighted by $\frac{1}{|Aut(X)|}$, where Aut(X) denotes the group of automorphisms of X(isomorphisms from *X* to itself).
- ► Every nonempty finite set is in bijection with a set of the form $\{1, 2, ..., n\}$, so up to isomorphism, the finite sets are \emptyset , {1}, {1,2}, {1,2,3},...

NUMBER OF FINITE SETS

- ► It is often useful to count objects X, weighted by $\frac{1}{|Aut(X)|}$, where Aut(X) denotes the group of automorphisms of X(isomorphisms from *X* to itself).
- ► Every nonempty finite set is in bijection with a set of the form $\{1, 2, ..., n\}$, so up to isomorphism, the finite sets are \emptyset , {1}, {1,2}, {1,2,3},...
- ▶ For a finite set with *k* elements, the number of automorphisms is precisely the number of permutations of k elements: k! = (k)(k-1)...(2)(1)

NUMBER OF FINITE SETS

INTRODUCTION

- ► It is often useful to count objects X, weighted by $\frac{1}{|\operatorname{Aut}(X)|}$, where Aut(X) denotes the group of automorphisms of X(isomorphisms from *X* to itself).
- ► Every nonempty finite set is in bijection with a set of the form $\{1, 2, ..., n\}$, so up to isomorphism, the finite sets are \emptyset , {1}, {1,2}, {1,2,3},...
- ▶ For a finite set with *k* elements, the number of automorphisms is precisely the number of permutations of k elements: k! = (k)(k-1)...(2)(1)
- ► Thus, the 'number' of random finite sets is $\sum_{k=0}^{\infty} \frac{1}{k!} = e$.

► For any positive integer m, \mathbb{F}_2^m has the structure of an \mathbb{F}_2 -vector space.

Acknowledgments

\mathbb{F}_2^m Vector Spaces

- ► For any positive integer m, \mathbb{F}_2^m has the structure of an \mathbb{F}_2 -vector space.
- ▶ The converse is also true: any \mathbb{F}_2 -vector space is isomorphic to \mathbb{F}_2^m for a positive integer m.

Automorphisms of \mathbb{F}_2^m

▶ The automorphisms of \mathbb{F}_2^m are the elements of $GL_m \mathbb{F}_2$, or the group of $m \times m$ invertible matrices.

► The automorphisms of \mathbb{F}_2^m are the elements of $GL_m \mathbb{F}_2$, or the group of $m \times m$ invertible matrices.

INTRODUCTION

$$|\operatorname{GL}_{m} \mathbb{F}_{2}| = \prod_{n=1}^{m} (2^{m} - 2^{n-1})$$

AUTOMORPHISMS OF $\mathbb{F}_2^{\mathrm{m}}$

► The automorphisms of \mathbb{F}_2^m are the elements of $GL_m \mathbb{F}_2$, or the group of $m \times m$ invertible matrices.

INTRODUCTION

$$|\operatorname{GL}_{m} \mathbb{F}_{2}| = \prod_{n=1}^{m} (2^{m} - 2^{n-1})$$

▶ Thus, the number of \mathbb{F}_2 -vector spaces of dimension m is equal to

$$\sum_{m=1}^{\infty} \prod_{n=1}^{m} \frac{1}{2^m - 2^{n-1}}$$

$\mathbb{F}_2[\mathbb{Z}/2]$ MODULES

INTRODUCTION

- ▶ An \mathbb{F}_2 -vector space with involution is equivalent to a module over the ring $\mathbb{F}_2[\mathbb{Z}/2]$.
- ► This identification is useful in determining the number of automorphisms of \mathbb{F}_2 -vector spaces with involution.

$\mathbb{F}_2[\mathbb{Z}/2]$ -MODULES

AUTOMORPHISMS

▶ Since the involution f is acting on \mathbb{F}_2^m , it will be in the form of an $m \times m$ matrix.

$\mathbb{F}_2[\mathbb{Z}/2]\text{-modules}$

► Since the involution f is acting on \mathbb{F}_2^m , it will be in the form of an $m \times m$ matrix.

Theorem

Any $\mathbb{F}_2[\mathbb{Z}/2]$ -module is isomorphic to $\mathbb{F}_2[\mathbb{Z}/2]^a$ x \mathbb{F}_2^b for a unique pair of non-negative integers (a,b).

$\mathbb{F}_2[\mathbb{Z}/2]$ -MODULES

▶ Since the involution f is acting on \mathbb{F}_2^m , it will be in the form of an $m \times m$ matrix.

Theorem

Any $\mathbb{F}_2[\mathbb{Z}/2]$ -module is isomorphic to $\mathbb{F}_2[\mathbb{Z}/2]^a$ $x \mathbb{F}_2^b$ for a unique pair of non-negative integers (a,b).

▶ (Serge Lang's *Algebra*, Ch. 3, Sec. 7)

► Since the involution f is acting on \mathbb{F}_2^m , it will be in the form of an $m \times m$ matrix.

Theorem

Any $\mathbb{F}_2[\mathbb{Z}/2]$ -module is isomorphic to $\mathbb{F}_2[\mathbb{Z}/2]^a$ x \mathbb{F}_2^b for a unique pair of non-negative integers (a,b).

▶ (Serge Lang's *Algebra*, Ch. 3, Sec. 7)

▶ In general, the $\mathbb{F}_2[\mathbb{Z}/2]$ -module $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$ corresponds to the \mathbb{F}_2 -vector space \mathbb{F}_2^{2a+b} together with an involution f whose $(2a+b) \times (2a+b)$ matrix is given by:

$$\begin{pmatrix} \mathbf{0} & \mathbf{1} & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 \\ \mathbf{1} & \mathbf{0} & 0 & 0 & \cdots & 0 & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & \mathbf{0} & \mathbf{1} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & \mathbf{1} & \mathbf{0} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \cdots & 0 & \mathbf{0} & \mathbf{1} & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & 0 & \cdots & 0 & 0 & 0 & \mathbf{0} & \mathbf{1} \end{pmatrix}$$

(where there are *a* copies of $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ matrices diagonally for the upper left $2a \times 2a$ corner of the matrix, followed by *b* copies of 1's along the diagonal for the bottom right $b \times b$ corner).

- ▶ In $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$ there are $(2^a)(2^b) = 2^{a+b}$ fixed points.
- ► Recall that the involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$ has exactly $2^{g(n)+2^{d-1}-1}$ fixed points.

FIXED POINTS IN A \mathbb{F}_2 -VECTOR SPACE

- ▶ In $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$ there are $(2^a)(2^b) = 2^{a+b}$ fixed points.
- ► Recall that the involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$ has exactly $2^{g(n)+2^{d-1}-1}$ fixed points.

$$a + b = g(n) + 2^{d-1} - 1$$

 $2a + b = 2g(n)$

FIXED POINTS IN A \mathbb{F}_2 -VECTOR SPACE

- ▶ In $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$ there are $(2^a)(2^b) = 2^{a+b}$ fixed points.
- ► Recall that the involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$ has exactly $2^{g(n)+2^{d-1}-1}$ fixed points.

$$a + b = g(n) + 2^{d-1} - 1$$

 $2a + b = 2g(n)$

Solving this system yields

$$a = g(n) - 2^{d-1} + 1$$

and

INTRODUCTION

$$b = 2(2^{d-1} - 1)$$

- ▶ In $\mathbb{F}_2[\mathbb{Z}/2]^a$ x \mathbb{F}_2^b there are $(2^a)(2^b) = 2^{a+b}$ fixed points.
- ► Recall that the involution $\tau(n)$ on $\mathbb{F}_2^{2g(n)}$ has exactly $2^{g(n)+2^{d-1}-1}$ fixed points.

$$a + b = g(n) + 2^{d-1} - 1$$

 $2a + b = 2g(n)$

► Solving this system yields

$$a = g(n) - 2^{d-1} + 1$$

and

$$b = 2(2^{d-1} - 1)$$

► Rearranged:

$$d = \log_2\left(g - a + 1\right)$$

Theorem

Let (a,b) be a pair of non-negative integers. The number of automorphisms of the $\mathbb{F}_2[\mathbb{Z}/2]$ -module $\mathbb{F}_2[\mathbb{Z}/2]^a$ x \mathbb{F}_2^b is exactly

$$|\operatorname{GL}_{\operatorname{a}}\mathbb{F}_{2}||\operatorname{GL}_{\operatorname{b}}\mathbb{F}_{2}||\operatorname{Mat}_{\operatorname{bxa}}\mathbb{F}_{2}|^{2}|\operatorname{Mat}_{\operatorname{axa}}\mathbb{F}_{2}|.$$

AUTOMORPHISMS OF $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$

Theorem

INTRODUCTION

Let (a,b) be a pair of non-negative integers. The number of automorphisms of the $\mathbb{F}_2[\mathbb{Z}/2]$ -module $\mathbb{F}_2[\mathbb{Z}/2]^a$ $x \mathbb{F}_2^b$ is exactly

$$|\operatorname{GL}_a\mathbb{F}_2||\operatorname{GL}_b\mathbb{F}_2||\operatorname{Mat}_{bxa}\mathbb{F}_2|^2|\operatorname{Mat}_{axa}\mathbb{F}_2|.$$

▶ Using the expressions for $|GL_m \mathbb{F}_2|$ that we developed earlier, we can simplify the automorphism equation to the following:

$$|\operatorname{Aut}(a,b)| = \left(\prod_{x=1}^{a} (2^{a} - 2^{x-1})\right) \left(\prod_{y=1}^{b} (2^{b} - 2^{y-1})\right) (2^{ab})^{2} (2^{a^{2}})$$

AUTOMORPHISMS OF $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$

 $|\operatorname{Aut}(a,b)| \approx C \cdot 2^{a^2 + (a+b)^2}$

for a certain constant *C*. 350 000 300 000 250 000 200 000 150 000 100 000 50 000

Counting and Probabilities with \mathbb{F}_2 -vector spaces

▶ Given a natural number n and a pair (a, b) of non-negative integers such that 2a + b = n, the probability that an involution on \mathbb{F}_2^n is isomorphic to the involution corresponding to $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$ is:

$$\frac{1/|\operatorname{Aut}(a,b)|}{\sum_{a',b'}(1/|\operatorname{Aut}(a,b)|)} = \frac{\frac{1}{2^{a^2+(a+b)^2}}}{\sum_{a=0}^{n} \frac{1}{2^{a^2+(a+(n-2a))^2}}}$$

where the sum is taken over all pairs of non-negative integers (a', b') such that 2a' + b' = n.

Counting and Probabilities with \mathbb{F}_2 -vector spaces

▶ For $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$, the number of fixed points is 2^{a+b} . Therefore, the expected number of fixed points of an involution on \mathbb{F}_2 is:

$$\sum_{a',b'} f(a',b') \cdot 2^{a+b}$$

where f(a,b) is fraction from the previous slide which represents the probability of an involution on \mathbb{F}_2^n being isomorphic to $\mathbb{F}_2[\mathbb{Z}/2]^a \times \mathbb{F}_2^b$, and the sum is being taken over all (a',b') such that 2a'+b'=n.

Counting and probabilities with \mathbb{F}_2 -vector **SPACES**

▶ The total number of \mathbb{F}_2 -vector spaces with involution is given by the sum

$$\sum_{n=1}^{\infty} \sum_{a',b'} \frac{1}{\operatorname{Aut}(a',b')} = \sum_{n=1}^{\infty} \sum_{a=0}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{\operatorname{Aut}(a,n-2a)}$$

▶ The total number of \mathbb{F}_2 -vector spaces with involution is given by the sum

$$\sum_{n=1}^{\infty} \sum_{a',b'} \frac{1}{\operatorname{Aut}(a',b')} = \sum_{n=1}^{\infty} \sum_{a=0}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{\operatorname{Aut}(a,n-2a)}$$

▶ Let the above sum be D. Then the probability that a randomly chosen \mathbb{F}_2 -vector space with involution will have dimension n is

$$\frac{\sum\limits_{a',b'} \frac{1}{\operatorname{Aut}(a',b')}}{\sum\limits_{n=1}^{\infty} \sum\limits_{a=0}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{\operatorname{Aut}(a,n-2a)}} = \frac{\sum\limits_{a=0}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{\operatorname{Aut}(a,n-2a)}}{\sum\limits_{n=1}^{\infty} \sum\limits_{a=0}^{\lfloor \frac{n}{2} \rfloor} \frac{1}{\operatorname{Aut}(a,n-2a)}}$$

▶ Goal: compare random involutions with $\tau(n)$ (and thereby the approximations for d(n)) for odd values of n.

RANDOM INVOLUTIONS AND $\tau(n)$

- ▶ Goal: compare random involutions with $\tau(n)$ (and thereby the approximations for d(n) for odd values of n.
- \blacktriangleright Computing the expected value of d(n) using the involution $\tau(n)$ gives the following formula:

$$\sum_{a=1}^{g(n)} \frac{\log_2 (g(n) - a + 1)}{\operatorname{Aut}(a, 2g(n) - a)}$$

$$\sum_{n=1}^{g(n)} \frac{1}{\operatorname{Aut}(a, 2g(n) - a)}$$

RANDOM INVOLUTIONS AND $\tau(n)$

- ▶ Goal: compare random involutions with $\tau(n)$ (and thereby the approximations for d(n)) for odd values of n.
- ► Computing the expected value of d(n) using the involution $\tau(n)$ gives the following formula:

$$\sum_{a=1}^{g(n)} \frac{\log_2 (g(n) - a + 1)}{\operatorname{Aut}(a, 2g(n) - a)}$$

$$\sum_{n=1}^{g(n)} \frac{1}{\operatorname{Aut}(a, 2g(n) - a)}$$

► Analysis with Mathematica suggests that this value tends to a constant.

WORKS CITED/ACKNOWLEDGEMENTS

► Thanks to the Program in Mathematics for Young Scientists (PROMYS) and the Clay Mathematics Institute.

WORKS CITED/ACKNOWLEDGEMENTS

- ► Thanks to the Program in Mathematics for Young Scientists (PROMYS) and the Clay Mathematics Institute.
- Lang, Serge. Algebra, third ed. Graduate Texts in Mathematics, vol. 211, Springer-Verlag. New York, 2002.

WORKS CITED/ACKNOWLEDGEMENTS

- ► Thanks to the Program in Mathematics for Young Scientists (PROMYS) and the Clay Mathematics Institute.
- ► Lang, Serge. *Algebra*, third ed. Graduate Texts in Mathematics, vol. 211, Springer-Verlag. New York, 2002.
- ► A full bibliography is available from the authors upon request.