# COMPLETENESS OF POSITIVE LINEAR RECURRENCE SEQUENCES

ELŻBIETA BOŁDYRIEW, JOHN HAVILAND, PHÚC LÂM, JOHN LENTFER, STEVEN J. MILLER, AND FERNANDO TREJOS SUÁREZ

ABSTRACT. A sequence of positive integers is complete if every positive integer is a sum of distinct terms. A positive linear recurrence sequence (PLRS) is a sequence defined by a homogeneous linear recurrence relation with nonnegative coefficients of the form  $H_{n+1} = c_1 H_n + \cdots + c_L H_{n-L+1}$  and a particular set of initial conditions.

We seek to classify various PLRS's by completeness. With results on how completeness is affected by modifying the recurrence coefficients of a PLRS, we completely characterize completeness of several families of PLRS's as well as conjecturing criteria for more general families. Our primary method is applying Brown's criterion, which says that an increasing sequence  $\{H_n\}_{n=1}^{\infty}$  is complete if and only if  $H_1 = 1$  and  $H_{n+1} \leq 1 + \sum_{i=1}^{n} H_i$ . A survey of these results can be found in [BHLLMT].

Finally, we adopt previous analytic work on PLRS's to find a more efficient way to check completeness. Specifically, the characteristic polynomial of any PLRS has exactly one positive root; by bounding the size of this root, the majority of sequences may be classified as complete or incomplete. Additionally, we show there exists an indeterminate region where the principal root does not reveal any information on completeness. We have conjectured precise bounds for this region.

#### Contents

| 1. Introduction   | 2  |
|---|----|
| 2. Modifying Sequences                                    | 4  |
| 2.1. Maximal Complete Sequence                            | 4  |
| 2.2. Modifications of Sequences of Arbitrary Coefficients | 6  |
| 3. Families of Sequences                                  | 9  |
| 3.1. Using 1's and 0's as Initial Coefficients            | 9  |
| 3.2. The "2L - 1 Conjecture"                              | 18 |
| 4. An Analytical Approach                                 | 23 |
| 4.1. An Introduction to Principal Roots                   | 23 |
| 4.2. Applications to Completeness                         | 24 |
| 4.3. Denseness of Incomplete Roots                        | 30 |
| 5. Open Questions   | 30 |
| Appendix A. Brown's Criterion and a Corollary             | 31 |
| Appendix B. Lemmas for Section 2                          | 32 |
| Appendix C. Lemmas for Section 3                          | 35 |

Date: August 31, 2021.

<sup>2020</sup> Mathematics Subject Classification. 11B37 (primary), 11B39, 11B83 (secondary).

 $<sup>\</sup>it Key\ words\ and\ phrases.$  Positive linear recurrence sequences, complete sequences, Brown's criterion, characteristic polynomial.

This research was conducted as part of the SMALL 2020 REU at Williams College. The authors were supported by NSF Grants DMS1947438 and DMS1561945, Williams College, Yale University, and the University of Rochester.

Appendix D. Lemmas for Section 4
References
44

#### 1. Introduction

The Fibonacci numbers are one of the most studied integer sequences. One of their many interesting properties is that they can be used to construct a unique decomposition for any positive integer. Zeckendorf proved that every positive integer can be written uniquely as a sum of non-consecutive Fibonacci numbers, when indexing Fibonacci numbers  $\{1, 2, 3, 5, \ldots\}$ ; this unique decomposition is called the *Zeckendorf decomposition* [Ze]. This result, of unique decompositions, has been generalized to a much larger class of linear recurrence relations; the following definitions are from [MW].

**Definition 1.1.** We say a sequence  $\{H_n\}_{n=1}^{\infty}$  of positive integers is a **Positive Linear Recurrence Sequence (PLRS)** if the following properties hold:

(1) Recurrence relation: There are non-negative integers  $L, c_1, \ldots, c_L$  such that

$$H_{n+1} = c_1 H_n + \dots + c_L H_{n+1-L}, \tag{1.1}$$

with  $L, c_1$  and  $c_L$  positive.

(2) Initial conditions:  $H_1 = 1$ , and for  $1 \le n < L$  we have

$$H_{n+1} = c_1 H_n + c_2 H_{n-1} + \dots + c_n H_1 + 1. \tag{1.2}$$

**Definition 1.2** (Legal decompositions). We call a decomposition  $\sum_{i=1}^{m} a_i H_{m+1-i}$  of a positive integer N (and the sequence  $\{a_i\}_{i=1}^m$ ) legal if  $a_1 > 0$ , the other  $a_i \geq 0$ , and one of the following two conditions holds:

- (1) We have m < L and  $a_i = c_i$  for  $1 \le i \le m$ .
- (2) There exists  $s \in \{1, ..., L\}$  such that

$$a_1 = c_1, \ a_2 = c_2, \ \cdots, \ a_{s-1} = c_{s-1} \text{ and } a_s < c_s,$$
 (1.3)

 $a_{s+1},\ldots,a_{s+\ell}=0$  for some  $\ell\geq 0$ , and  $\{b_i\}_{i=1}^{m-s-\ell}$  (with  $b_i=a_{s+\ell+i}$ ) is legal or empty.

The following theorem is due to [GT], and stated in this form in [MW].

**Theorem 1.3** (Generalized Zeckendorf's Theorem for PLRS). Let  $\{H_n\}_{n=1}^{\infty}$  be a Positive Linear Recurrence Sequence. Then there is a unique legal decomposition for each positive integer  $N \geq 0$ .

Next, we introduce *completeness*, as defined by [HK].

**Definition 1.4.** An arbitrary sequence of positive integers  $\{f_i\}_{i=1}^{\infty}$  is **complete** if and only if every positive integer n can be represented in the form  $n = \sum_{i=1}^{\infty} \alpha_i f_i$ , where  $\alpha_i \in \{0, 1\}$ . A sequence that fails to be complete is **incomplete**.

In other words, a sequence of positive integers is complete if and only if each positive integer can be written as a sum of unique terms of the sequence.

**Example 1.5.** The Fibonacci sequence, indexed from  $\{1, 2, ...\}$  is complete. This follows from Zeckendorf's Theorem, which is a stronger statement. Completeness does not require that the decompositions be unique, and that they use nonconsecutive terms.

After seeing this example, it is natural to ask if Theorem 1.3 implies that all PLRS's are complete. Previous work in numeration systems by Gewurz and Merola [GM] has shown that specific classes of recurrences as defined by Fraenkel [Fr] are complete under their greedy expression. However, we cannot generalize this result to all PLRS's. For legal decompositions, the decomposition rule can permit sequence terms to be used more than once. This is not allowed for completeness decompositions, where each unique term from the sequence can be used at most once.

**Example 1.6.** The PLRS  $H_{n+1} = H_n + 3H_{n-1}$  has terms  $\{1, 2, 5, 11, \ldots\}$ . The unique legal decomposition for 9 is  $1 \cdot 5 + 2 \cdot 2$ , where the term 2 is used twice. However, no complete decomposition for 9 exists. Adding all terms from the sequence less than 9 is 1 + 2 + 5 = 8, and to include 11 or any subsequent term surpasses 9.

We also make use of the following criterion for completeness of a sequence, due to Brown [Br].

**Theorem 1.7** (Brown's Criterion). If  $a_n$  is a nondecreasing sequence, then  $a_n$  is complete if and only if  $a_1 = 1$  and for all n > 1,

$$a_{n+1} \le 1 + \sum_{i=1}^{n} a_i. \tag{1.4}$$

An immediate corollary is the following sufficient, though not necessary condition for completeness, which we call the doubling criterion. The proof is left to the appendix, as Corollary A.2.

**Corollary 1.8** (Doubling Criterion). If  $a_n$  is a nondecreasing sequence such that  $a_n \leq 2a_{n-1}$  for all  $n \geq 2$ , then  $a_n$  is complete.

**Remark 1.9.** By considering the special case when  $a_n = 2a_{n-1}$ , this immediately implies that the doubling sequence itself  $\{1, 2, 4, 8, \ldots\}$  is complete.

In this paper, we characterize many types of PLRS by whether they are complete or not complete.

**Notation 1.10.** We use the notation  $[c_1, \ldots, c_L]$ , which is the collection of all L coefficients, to represent the PLRS  $H_{n+1} = c_1H_n + \cdots + c_LH_{n+1-L}$ .

A simple case to consider is when all coefficients in  $[c_1, \ldots, c_L]$  are positive. The following result, proved in Section 2, completely characterizes these sequences are either complete or incomplete.

**Theorem 1.11.** If  $\{H_n\}$  is a PLRS generated by all positive coefficients  $[c_1, \ldots, c_L]$ , then sequence is complete if and only if the coefficients are  $[\underbrace{1, \ldots, 1}_{L}]$  or  $[\underbrace{1, \ldots, 1}_{L-1}, 2]$  for  $L \geq 1$ .

The situation becomes much more complicated when we consider all PLRS's, in particular those that have at least one 0 as a coefficient. In order to be able to make progress on determining completeness of these PLRS's, we develop several tools. The following three theorems are results that allow certain modifications of the coefficients  $[c_1, \ldots, c_L]$  that generate a PLRS that is known to be complete or incomplete, and preserve completeness or incompleteness. They are proved in Section 2.

**Theorem 1.12.** Consider sequences  $\{G_n\} = [c_1, \ldots, c_L]$  and  $\{H_n\} = [c_1, \ldots, c_L, c_{L+1}]$ , where  $c_{L+1}$  is any positive integer. If  $\{G_n\}$  is incomplete, then  $\{H_n\}$  is incomplete as well.

**Theorem 1.13.** Consider sequences  $\{G_n\} = [c_1, \dots, c_{L-1}, c_L]$  and  $\{H_n\} = [c_1, \dots, c_{L-1}, k_L]$ , where  $1 \le k_L \le c_L$ . If  $\{G_n\}$  is complete, then  $\{H_n\}$  is also complete.

**Theorem 1.14.** Consider sequences  $\{G_n\} = [c_1, \ldots, c_{L-1}, c_L]$  and  $\{H_n\} = [c_1, \ldots, c_{L-1} + c_L]$ . If  $\{G_n\}$  is incomplete, then  $\{H_n\}$  is also incomplete.

The next two theorems are results that classify two families of PLRS's as complete or incomplete. They are shown in Section 3.

**Theorem 1.15.** The sequence generated by  $[1, \underbrace{0, \dots, 0}_{k}, N]$  is complete if and only if  $1 \le N \le \frac{1}{2}$  $\lceil (k+2)(k+3)/4 \rceil$ , where  $\lceil \cdot \rceil$  is the ceiling function

**Theorem 1.16.** The sequence generated by  $[1,1,\underbrace{0,\ldots,0}_k,N]$  is complete if and only if  $1 \le N \le \lfloor (F_{k+6}-k-5)/4 \rfloor$ , where  $F_n$  are the Fibonacci numbers with  $F_1=1,F_2=2$  and  $\lfloor \cdot \rfloor$  is

the floor function.

We have a partial extension of these theorems to when there are g initial ones followed by k zeroes in the collection of coefficients.

**Theorem 1.17.** Consider a PLRS generated by coefficients  $[\underbrace{1,\ldots,1}_q,\underbrace{0,\ldots,0}_k,N]$ , with  $g,k\geq 1$ .

- (1) For  $g \ge k + \lceil \log_2 k \rceil$ , the sequence is complete if and only if  $1 \le N \le 2^{k+1} 1$ .
- (2) For  $k \leq g \leq k + \lceil \log_2 k \rceil$ , the sequence is complete if and only if  $1 \leq N \leq 2^{k+1}$  $\lceil k/2^{g-k} \rceil$ .

Finally, in Section 4, we introduce some results and conjectures on completeness based on the principal roots of a PLRS. We determine some criteria for completeness based on the size of the principal root and find that there is a certain indeterminate region where the principal root does not reveal any information.

## 2. Modifying Sequences

A basic question to ask is how far we can tweak the coefficients used to generate a sequence, yet preserve its completeness. The modifying process turns out to be well-behaved and heavily dependent on the location of coefficients that are changed. Before we start looking into implementing any changes to our sequences, we first need to understand the maximal complete sequence.

2.1. Maximal Complete Sequence. We introduce the maximal complete sequence, which serves an important role. First, we look at all complete sequences with only positive coefficients.

Proof of Theorem 1.11. Assume that  $\{H_n\}$  is complete. By the definition of a PLRS and by Brown's criterion, we have

$$c_1 H_{L-1} + c_2 H_{L-2} + \dots + c_{L-1} H_1 + 1 = H_L \le 1 + H_1 + H_2 + \dots + H_{L-1}. \tag{2.1}$$

Since  $c_i \ge 1$  for  $1 \le i \le L$ , this implies that  $c_i = 1$  for  $1 \le i < L$ . By the definition of a PLRS,

$$H_{L+1} = c_1 H_L + c_2 H_{L-1} + \dots + c_L H_1 = H_L + H_{L-1} + \dots + H_2 + c_L H_1.$$
 (2.2)

Combining this with Brown's criterion gives

$$H_{L+1} = H_L + H_{L-1} + \dots + c_L H_1 \le 1 + H_1 + H_2 + \dots + H_{L-1}$$
  
 $c_L H_1 \le 1 + H_1 = 2.$  (2.3)

Hence  $c_L \leq 2$ , which completes the forward direction of the proof.

We know that if the coefficients are just [2], then the sequence is complete by Remark 1.9. So, now assume that  $c_1 = \cdots = c_{L-1} = 1$  and  $1 \le c_L \le 2$ . We argue by strong induction on n that  $H_n$  satisfies Brown's criterion. We can show this explicitly for  $1 \le n < L$ . First, if n = 1, then  $H_n = 1$ , as desired. Next, if  $1 \le n < L$ , then

$$H_{n+1} = c_1 H_n + \dots + c_n H_1 + 1 = H_n + \dots + H_1 + 1, \tag{2.4}$$

so these terms satisfy Brown's criterion. Now assume that for some  $n \geq L$ , for all n' < n,

$$H_{n'+1} \le H_{n'} + \dots + H_1 + 1. \tag{2.5}$$

It follows that

$$H_{n+2} = H_{n+1} + \dots + H_{n+2-L} + c_L H_{n+1-L}$$

$$\leq H_{n+1} + \dots + H_{n+2-L} + 2H_{n+1-L}$$

$$\leq H_{n+1} + \dots + H_{n+2-L} + H_{n+1-L} + (H_{n-L} + \dots + H_1 + 1), \tag{2.6}$$

where the inductive hypothesis was applied to  $H_{n+1-L}$  to obtain (2.6). This completes the induction.

Now that we have found some complete sequences, it turns out that the sequence generated by the coefficient [2], i.e.,  $\{2^{n-1}\}$ , is the maximal complete sequence.

**Lemma 2.1.** The complete sequence with largest span in summands is  $\{2^{n-1}\}$ .

*Proof.* Suppose there exists a complete sequence  $\{H_n\}$  with the largest span in summands. As a complete sequence must satisfy Brown's criterion, it suffices to take  $H_{n+1} = 1 + \sum_{i=1}^{n} H_i$ . Hence,

$$H_{n+1} = 1 + \sum_{i=1}^{n} H_i = 1 + \sum_{i=1}^{n-1} H_i + H_n = 2H_n.$$
 (2.7)

By the intial conditions for a PLRS,  $H_1=1$  and  $H_2=2$ . Thus,  $H_n=2H_{n-1}=2^{n-1}$ .

**Remark 2.2.** Thus  $\{H_k\} = \{2^{k-1}\}$  is an inclusive upper bound for any complete sequence.

As it turns out, this sequence has can be generated by multiple collections of coefficients.

Corollary 2.3. A PLRS with coefficients  $[\underbrace{1,\ldots,1}_{L-1},2]$  generates the sequence  $H_n=2^{n-1}$ .

*Proof.* Consider the sequence  $\{H_n\}$  generated by  $[\underbrace{1,\ldots,1}_{L-1},2]$ . We proceed by induction on L.

Note  $H_1 = 1 = 2^{1-1}$  by the definition of the PLRS. Now, suppose  $H_k = 2^{k-1}$  for  $k \in \{1, \dots, n\}$ . For n < L, note

$$H_{n+1} = c_1 H_n + c_2 H_{n-1} + \dots + c_n H_1 + 1$$

$$= H_n + H_{n-1} + \dots + H_1 + 1$$

$$= 2^{n-1} + 2^{n-2} + \dots + 1 + 1 = 2^n.$$
(2.8)

Hence, the claim holds for all n < L. Now, for  $n \ge L$ , note

$$H_{n+1} = c_1 H_n + c_2 H_{n-1} + \dots + c_L H_{n+1-L}$$

$$= H_n + H_{n-1} + \dots + 2H_{n+1-L}$$

$$= 2^{n-1} + 2^{n-2} + \dots + 2^{n-L+1} + 2 \cdot 2^{n-L} = 2^n.$$
(2.9)

Thus, by induction, the claim holds for all  $n, L \in \mathbb{N}$ .

2.2. Modifications of Sequences of Arbitrary Coefficients. Modifying coefficients in order to preserve completeness proves to be a balancing act. Sometimes increasing a coefficient causes an incomplete sequence to become complete, while other times, increasing a coefficient causes a complete sequence to become incomplete. For example, [1,0,0,0,0,0,15] is incomplete; increasing the second coefficient to 1, i.e., [1,1,0,0,0,0,15] is complete. Further increasing it to 2, i.e., [1,2,0,0,0,0,15] is again incomplete. To study how such modifications preserve completeness or incompleteness, we add a new definition to our toolbox.

**Definition 2.4.** For a sequence  $\{H_n\}$ , we define its **nth Brown's gap** 

$$B_{H,n} := 1 + \sum_{i=1}^{n-1} H_i - H_n. \tag{2.10}$$

Thus, from Brown's criterion,  $\{H_n\}$  is complete if and only if  $B_{H,n} \geq 0$  for all  $n \in \mathbb{N}$ .

Our next questions is: What happens if we append one more coefficient to  $[c_1, \ldots, c_L]$ ? It turns out that if our sequence is already incomplete, appending any new coefficients will never make it complete. This is Theorem 1.12, which using are ready to prove using Brown's gap.

Proof of Theorem 1.12. By Brown's criterion, it is clear that  $\{G_n\}$  is incomplete if and only if there exists n such that  $B_{G,n} < 0$ . We claim that for all m,  $B_{H,m} \leq B_{G,m}$ . If true, our lemma is proven: suppose  $B_{G,n} < 0$  for some n, we would see  $B_{H,n} \leq B_{G,n} < 0$ , implying  $\{H_n\}$  is incomplete as well.

We proceed by induction. Clearly,  $B_{H,k} = B_{G,k}$  for  $1 \le k \le L$ . Further, for k = L, we see

$$B_{G,L+1} - B_{H,L+1} = 1 + \sum_{i=1}^{L} G_i - G_{L+1} - \left(1 + \sum_{i=1}^{L} H_i - H_{L+1}\right) = H_{L+1} - G_{L+1} = 1 > 0. \quad (2.11)$$

Now, let  $m \geq 2$  be arbitrary, and suppose

$$B_{H, L+m-1} \le B_{G, L+m-1}. \tag{2.12}$$

We wish to show that  $B_{H,L+m} \leq B_{G,L+m}$ . Note that

$$B_{H,L+m} - B_{H,L+m-1} = 2H_{L+m-1} - H_{L+m}. (2.13)$$

Similarly,

$$B_{G, L+m} - B_{G, L+m-1} = 2G_{L+m-1} - G_{L+m}. (2.14)$$

We use Lemma B.1, which states that for all  $k \geq 2$ ,  $H_{L+k} - G_{L+k} \geq 2 (H_{L+k-1} - G_{L+k-1})$ . Applying it to equations (2.13) and (2.14), we see that

 $B_{H, L+m} - B_{H, L+m-1} \leq B_{G, L+m} - B_{G, L+m-1}$ . Summing this inequality to both sides of inequality (2.12), we arrive at  $B_{H,L+m} \leq B_{G,L+m}$ , as desired.

Now, we turn our attention to the behavior when we decrease the last coefficient for any complete sequence. In Theorem 1.13, we find that decreasing the last coefficient for any complete sequence preserves completeness.

Proof of Theorem 1.13. Given that  $\{G_n\}$  is complete, suppose for the sake of contradiction that there exists an incomplete  $\{H_n\}$ . Thus, let m be the least such that

$$H_m > 1 + \sum_{i=1}^{m-1} H_i. (2.15)$$

Simultaneously, as  $\{G_n\}$  is complete, by Brown's criterion,

$$G_m \le 1 + \sum_{i=1}^{m-1} G_i. \tag{2.16}$$

First, suppose  $m \leq L$ . However, for all  $n \leq L$ ,  $G_n = H_n$ , hence

$$H_m = G_m \le 1 + \sum_{i=1}^{m-1} G_i = 1 + \sum_{i=1}^{m-1} H_i, \tag{2.17}$$

which contradicts (2.15). Now, suppose m > L. Therefore,

$$G_m \le 1 + \sum_{i=1}^{m-1} G_i = 1 + \sum_{i=1}^{L} G_i + \sum_{i=L+1}^{m-1} G_i = 1 + \sum_{i=1}^{L} H_i + \sum_{i=L+1}^{m-1} G_i.$$

This implies,

$$1 + \sum_{i=1}^{L} H_i \ge G_m - \sum_{i=L+1}^{m-1} G_i. \tag{2.18}$$

Now, we know that

$$H_m > 1 + \sum_{i=1}^{m-1} H_i = 1 + \sum_{i=1}^{L} H_i + \sum_{i=L+1}^{m-1} H_i \ge G_m - \sum_{i=L+1}^{m-1} G_i + \sum_{i=L+1}^{m-1} H_i,$$
 (2.19)

and thus

$$H_m - \sum_{i=L+1}^{m-1} H_i > G_m - \sum_{i=L+1}^{m-1} G_i.$$
 (2.20)

We claim that the opposite of (2.20) is true, arguing by induction on m. For m = L + 1, we obtain  $G_{L+1} \ge H_{L+1}$  as  $k_L \le c_L$ . Now, assume that

$$G_m - \sum_{i=L+1}^{m-1} G_i \ge H_m - \sum_{i=L+1}^{m-1} H_i$$
 (2.21)

is true for a positive integer m. Using the inductive hypothesis, it then follows that

$$G_{m+1} - \sum_{i=L+1}^{m} G_i = G_{m+1} - \sum_{i=L+1}^{m-1} G_i - G_m \ge G_{m+1} - 2G_m + H_m - \sum_{i=L+1}^{m-1} H_i.$$
 (2.22)

Finally, we use Lemma B.2, proved in Appendix B, which states that for all  $k \in \mathbb{N}$ ,  $H_{L+k+1} - 2H_{L+k} \leq G_{L+k+1} - 2G_{L+k}$ . Note

$$G_{m+1} - 2G_m + H_m - \sum_{i=L+1}^{m-1} H_i \ge H_{m+1} - 2H_m + H_m - \sum_{i=L+1}^{m-1} H_i = H_{m+1} - \sum_{i=L+1}^{m} H_i, (2.23)$$

which does contradict (2.20) for all m > L. Therefore, for all  $m \in \mathbb{N}$ , we have contradicted (2.15). Hence,  $\{H_n\}$  must be complete as well.

The result above is crucial in our characterization of *families* of complete sequences in Section 3; finding one complete sequence allows us to decrease the last coefficient to find more. Next, we prove two lemmas that together prove Theorem 1.14.

**Lemma 2.5.** Let  $\{G_n\}$  be the sequence defined by  $[c_1, \ldots, c_L]$ , and let  $\{H_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1} + 1, c_L - 1]$ . If  $\{G_n\}$  is incomplete, then  $\{H_n\}$  must be incomplete as well.

*Proof.* We claim that for all  $m, B_{H,m} \leq B_{G,m}$ . This lemma is proven using similar reasoning as for Lemma 1.12. We proceed by induction. Clearly,  $B_{H,k} = B_{G,k}$  for  $1 \leq k \leq L - 1$ . Further, for k = L, we see

$$B_{G,L} - B_{H,L} = 1 + \sum_{i=1}^{L-1} G_i - G_L - \left(1 + \sum_{i=1}^{L-1} H_i - H_L\right) = H_L - G_L = 1 > 0.$$
 (2.24)

Now, let  $m \ge 0$  be arbitrary, and suppose

$$B_{H, L+m} \le B_{G, L+m}.$$
 (2.25)

We wish to show that  $B_{H, L+m+1} \leq B_{G, L+m+1}$ . Note that

$$B_{H, L+m+1} - B_{H, L+m} = 2H_{L+m} - H_{L+m+1}, (2.26)$$

and similarly,

$$B_{G, L+m+1} - B_{G, L+m} = 2G_{L+m} - G_{L+m+1}. (2.27)$$

We use Lemma B.3, which says that for all  $k \geq 0$ ,  $H_{L+k+1} - G_{L+k+1} \geq 2 (H_{L+k} - G_{L+k})$ . Applying it to (2.26) and (2.27), we see  $B_{H, L+m+1} - B_{H, L+m} \leq B_{G, L+m+1} - B_{G, L+m}$ . Summing this inequality to both sides of inequality (2.25), we conclude that  $B_{H,L+m+1} \leq B_{G,L+m+1}$ , as desired.

How many times can Lemma 2.5 be applied? The answer is all the way up to  $[c_1, \ldots, c_{L-1} + c_L - 1, 1]$ , as the last coefficient must remain positive to stay a PLRS.

**Lemma 2.6.** Let  $\{G_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1}, 1]$ , and let  $\{H_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1} + 1]$ . If  $\{G_n\}$  is incomplete, then  $\{H_n\}$  must be incomplete as well.

Remark 2.7. Despite the similarities, Lemma 2.6 is not directly implied by Lemma 2.5; both are necessary for the proof Theorem 1.14. Applying Lemma 2.5  $(c_L - 1)$  times proves that if  $[c_1, \ldots, c_{L-1}, c_L]$  is incomplete, then  $[c_1, \ldots, c_{L-1} + c_L - 1, 1]$  is incomplete; at this point, we cannot apply the lemma further while maintaining a positive final coefficient to meet the definition of a PLRS. Hence the case of Lemma 2.6 must be dealt with separately, in order to arrive at the full result of Theorem 1.14.

*Proof.* The proof is similar to that of Lemma 2.5. We aim to show that  $B_{H,m} \leq B_{G,m}$  for all m. Clearly  $B_{H,k} = B_{G,k}$  for  $1 \leq k \leq L$ . Further, for k = L + 1, we see

$$B_{G,L+1} - B_{H,L+1} = \sum_{i=1}^{L} G_i - G_{L+1} - \left(1 + \sum_{i=1}^{L-1} H_L - H_{L+1}\right) = H_{L+1} - G_{L+1} = c_1 > 0. \quad (2.28)$$

Now, let  $m \geq 0$  be arbitrary, and suppose

$$B_{H,L+m} \le B_{G,L+m}.\tag{2.29}$$

We wish to show that  $B_{H,L+m+1} \leq B_{G,L+m+1}$ . Note that

$$B_{H,L+m+1} - B_{H,L+m} = 2H_{L+m} - H_{L+m+1}, (2.30)$$

and similarly

$$B_{G,L+m+1} - B_{G,L+m} = 2G_{L+m} - G_{L+m+1}. (2.31)$$

We use Lemma B.4, which states that for all  $k \ge 0$ ,  $H_{L+k+1} - G_{L+k+1} \ge 2(H_{L+k} - G_{L+k})$ . Applying it to equations (2.30) and (2.31), we see  $B_{H,L+m+1} - B_{H,L+m} \le B_{G,L+m+1} - B_{G,L+m}$ .

Summing this inequality to both sides of Inequality (2.29), we conclude that  $B_{H,L+m+1} \leq B_{G,L+m+1}$ , as desired.

Using these lemmas, we can now prove Theorem 1.14.

Proof of Theorem 1.14. We apply Lemma 2.5  $c_L-1$  times, to conclude that if  $[c_1, \ldots, c_{L-1}, c_L]$  is incomplete, then  $[c_1, \ldots, c_{L-1} + c_L - 1, 1]$  is incomplete. Finally, applying Lemma 2.6, we achieve the desired result.

## 3. Families of Sequences

If we recall Theorem 1.13, it says that given a complete PLRS, decreasing the last coefficient preserves its completeness. This raises a natural question: Given the first L-1 coefficients  $c_1, c_2, \ldots, c_{L-1}$ , what is the maximal N such that  $[c_1, c_2, \ldots, c_{L-1}, N]$  is complete? In this section we explore this question.

# 3.1. Using 1's and 0's as Initial Coefficients.

Proof of Theorem 1.15. First assume that  $\{H_n\}$  is complete. By the definition of a PLRS, we can easily generate the first k+2 terms of the sequence:  $H_i = i$  for all  $1 \le i \le k+2$ . We then have for all n > k+1,

$$H_{n+1} = H_n + NH_{n-k-1}, (3.1)$$

which implies that

$$H_{k+4} = H_{k+3} + NH_2 = H_{k+3} + 2N. (3.2)$$

By Brown's criterion,

$$H_{k+4} \le H_{k+3} + H_{k+2} + \dots + H_1 + 1.$$

By (3.2),

$$H_{k+3} + 2N \le H_{k+3} + H_{k+2} + \dots + H_1 + 1,$$

and we obtain

$$2N \le H_{k+2} + H_{k+1} + \dots + H_1 + 1$$
  
=  $(k+2) + (k+1) + \dots + 1 + 1$   
=  $\frac{(k+2)(k+3)}{2} + 1$ ,

and thus we find

$$N \le \frac{(k+2)(k+3)}{4} + \frac{1}{2}. (3.3)$$

Since N is an integer and  $\lfloor (k+2)(k+3)/4 + 1/2 \rfloor = \lceil (k+2)(k+3)/4 \rceil$ , we may take the floor of the right hand side of equation (3.3), and then  $N \leq \lceil (k+2)(k+3)/4 \rceil$ .

We now prove that if  $N \leq \lceil (k+2)(k+3)/4 \rceil$ , then  $\{H_n\}$  is complete. We first show that if  $N = \lceil (k+2)(k+3)/4 \rceil$ , then  $\{H_n\}$  is complete. Taking the recurrence relation  $H_{n+1} = H_n + NH_{n-k-1}$ , and applying Brown's criterion gives

$$H_{n+1} = H_n + NH_{n-k-1}$$

$$\leq H_n + (N-2)H_{n-k-1} + H_{n-k-1} + H_{n-k-2} + \dots + H_1 + 1.$$

By Lemma C.1, we can expand  $(N-2)H_{n-k-1}$  and find that

$$H_{n+1} \le H_n + H_{n-1} + \dots + H_{n-k} + H_{n-k-1} + H_{n-k-2} + \dots + H_1 + 1.$$
 (3.4)

Hence, by Brown's criterion, the sequence  $\{H_n\}$  is complete. Lastly, by Theorem 1.13, for all positive  $N < \lceil (k+2)(k+3)/4 \rceil$ , the sequence is also complete.

For coefficients as defined in Theorem 1.15, for sufficiently large L, if we switch any one of the coefficients from 0 to 1 except for the final zero, then the bound on N is at least as large, which we prove in the following corollary.

**Corollary 3.1.** For  $L \geq 6$ , given that  $[1,0,\ldots,0,N]$  is complete, with  $N = \lceil L(L+1)/4 \rceil$ , then  $[1,c_2,\ldots,c_{L-2},0,N]$  is complete where  $c_i=1$  for one  $i \in \{2,\ldots,L-2\}$ , and the rest are 0.

*Proof.* We have the recurrence relation for fixed  $i \in \{2, ..., L-2\}$ :

$$H_{n+1} = H_n + H_{n-i+1} + NH_{n-L+1}. (3.5)$$

Applying Brown's criterion yields

$$H_{n+1} \le H_n + H_{n-i+1} + (N-2)H_{n-L+1} + H_{n-L+1} + H_{n-L} + \dots + H_1 + 1. \tag{3.6}$$

We apply the result of Lemma C.3, and see that

$$\leq H_n + H_{n-1} + \dots + H_{n-L+2} + H_{n-L+1} + H_{n-L} + \dots + H_1 + 1. \tag{3.7}$$

Hence, by Brown's criterion, the sequence is complete for all  $L \geq 6$ .

Proof of Theorem 1.16. Suppose that  $\{H_n\}$  is complete. Using the definition of a PLRS, the first k+3 terms of the sequence can be generated in the same way:  $H_i = F_{i+1} - 1$  for all  $1 \le i \le k+3$ , where  $F_n$  is the Fibonacci sequence. Proceeding in a manner similar to the proof of Theorem 1.15, we see that

$$H_{k+4} = H_{k+3} + H_{k+2} + NH_1 = F_{k+5} + N - 2,$$

$$H_{k+5} = H_{k+4} + H_{k+3} + NH_2 = F_{k+6} + 3N - 3,$$

$$H_{k+6} = H_{k+5} + H_{k+4} + NH_3 = F_{k+7} + 8N - 5.$$
(3.8)

By applying Brown's criterion,

$$H_{k+6} \le H_{k+5} + H_{k+4} + \dots + H_1 + 1$$

$$= F_{k+6} + 3N - 3 + F_{k+5} + N - 2 + \sum_{i=1}^{k+3} H_i + 1$$

$$= F_{k+7} + 4N - 5 + \sum_{i=1}^{k+3} (F_{i+1} - 1) + 1. \tag{3.9}$$

Next,

$$F_{k+7} + 8N - 5 \le F_{k+7} + 4N - 5 + \sum_{i=1}^{k+3} (F_{i+1} - 1) + F_1,$$

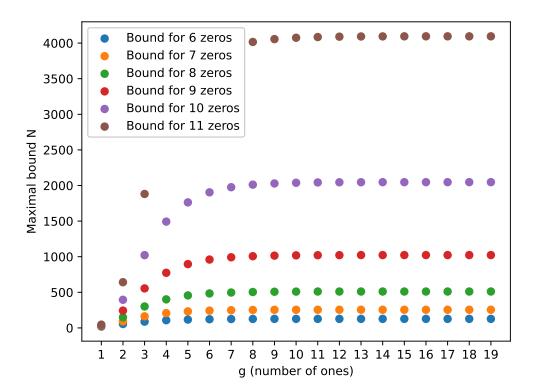
which implies

$$4N \le \sum_{i=1}^{k+3} (F_{i+1} - 1) + F_1 = \sum_{i=1}^{k+4} F_i + (k+3) = F_{k+6} + (k+5). \tag{3.10}$$

Thus

$$N \le \frac{F_{k+6} - k - 5}{4},\tag{3.11}$$

FIGURE 1.  $[\underbrace{1,\dots,1}_g,\underbrace{0,\dots,0}_k,N]$  with k and g varying, where each color represents a fixed k.



and since N is an integer,

$$N \le \left\lfloor \frac{F_{k+6} - k - 5}{4} \right\rfloor. \tag{3.12}$$

Next, we show that if  $N = \lfloor (F_{k+6} - k - 5)/4 \rfloor$ , then  $\{H_n\}$  is complete. The initial conditions can be found easily, and for the later terms we have

$$H_{n+1} = H_n + H_{n-1} + NH_{n-k-2}$$

$$\leq H_n + (N-2)H_{n-k-2} + H_{n-k-2} + H_{n-k-3} + \dots + H_1 + 1.$$

Using Lemma C.4, we expand  $(N-2)H_{n-k-2}$  and obtain

$$\leq H_n + H_{n-1} + H_{n-2} + \dots + H_{n-k-1} + H_{n-k-2} + H_{n-k-3} + \dots + H_1 + 1.$$
 (3.13)

Hence, by Brown's criterion, this sequence is complete. Lastly, by Theorem 1.13, for all positive  $N < \lfloor (F_{k+6} - k - 5)/4 \rfloor$ , the sequence is also complete.

We want to find a more general result for  $[\underbrace{1,\ldots,1}_{a},\underbrace{0,\ldots,0}_{k},N]$ , as seen in Figure 1.

Interestingly, we see that as we keep k fixed and increase g, the bound increases, and then stays constant from some value of g onward. This motivates the following conjecture.

Conjecture 3.2. If 
$$[\underbrace{1,\ldots,1}_q,\underbrace{0,\ldots,0}_k,N]$$
 is complete, then so is  $[\underbrace{1,\ldots,1}_{q+1},\underbrace{0,\ldots,0}_k,N]$ .

We have made some progress towards this conjecture; in fact, we show the precise bound for N for the case where  $g \ge k$  in Theorem 1.17.

**Theorem 3.3.** The PLRS  $\{H_n\}$  generated by  $[c_1, c_2, \ldots, c_L]$  is complete if

$$\begin{cases} B_{H,n} \ge 0 & \text{for } n < L \\ B_{H,n} > 0 & \text{for } L \le n \le 2L - 1. \end{cases}$$

$$(3.14)$$

*Proof.* Consider  $L \geq 2$ ; we see that if  $c_1 \geq 2$ , then the sequence is automatically incomplete, so we need only consider  $c_1 = 1$ . For  $B_n := B_{H,n}$ , and we show by induction on n that  $B_n > 0$  when  $n \geq L$ . Suppose  $B_n > 0$  for  $L \leq n \leq m$  (with  $m \geq 2L - 1$ ). Then

$$\begin{split} B_{m+1} &= 1 + \sum_{i=1}^{m} H_i - H_{m+1} \\ &= 1 + \sum_{i=1}^{L} H_i + \sum_{i=L+1}^{m} H_i - \left( H_m + \sum_{j=2}^{L} c_j H_{m+1-j} \right) \\ &= 1 + \sum_{i=1}^{L} H_i + \sum_{i=L+1}^{m} \left( H_{i-1} + \sum_{j=2}^{L} c_j H_{i-j} \right) - \left( H_m + \sum_{j=2}^{L} c_j H_{m+1-j} \right) \\ &= \left( 1 + \sum_{i=1}^{m-1} H_i - H_m + H_L \right) + \sum_{j=2}^{L} c_j \left( \sum_{i=L+1}^{m} H_{i-j} - H_{m+1-j} \right) \\ &= (B_m + H_L) + \sum_{j=2}^{L} c_j \left( 1 + \sum_{i=j+1}^{m} H_{i-j} - H_{m+1-j} - 1 - \sum_{i=j+1}^{L} H_{i-j} \right) \\ &= (B_m + H_L) + \sum_{j=2}^{L} c_j \left( B_{m+1-j} - 1 - \sum_{i=j+1}^{L} H_{i-j} \right) \\ &= B_m + \sum_{j=2}^{L} c_j (B_{m+1-j} - 1) + H_L - \sum_{i=3}^{L} \sum_{j=2}^{i-1} c_j H_{i-j} \\ &= B_m + \sum_{j=2}^{L} c_j (B_{m+1-j} - 1) + H_L - \sum_{i=3}^{L} (H_i - H_{i-1} - 1) \\ &= B_m + \sum_{j=2}^{L} c_j (B_{m+1-j} - 1) + (L - 2) + H_L - \sum_{i=3}^{L} (H_i - H_{i-1}) \\ &= B_m + \sum_{j=2}^{L} c_j (B_{m+1-j} - 1) + L. \end{split} \tag{3.15}$$

The last line is positive since  $B_{m+1-j}-1 \geq 0$  and  $B_m, L > 0$ . Our proof by induction is complete.

**Lemma 3.4.** The PLRS  $\{H_i\}$  generated by  $[\underbrace{1,\ldots,1}_g,\underbrace{0,\ldots,0}_k,2^{k+1}]$  is incomplete for  $g\geq k\geq 1$ .

*Proof.* Suppose this sequence is complete. Note that

$$H_{2q+2} = H_{2q+1} + \dots + H_{q+2} + 2^{k+1} H_{q+1-k}.$$
 (3.16)

By applying Brown's criterion to  $H_{2g+2}$ , we see that

$$2^{k+1}H_{g+1-k} \le \sum_{i=1}^{g+1} H_i + 1. \tag{3.17}$$

Now, note k is positive, so that  $g+1-k \leq g+1$ . Also, by the structure of the sequence,  $H_i=2^{i-1}$  for  $i \leq g+1$ . Hence

$$2^{g+1} = 2^{k+1} H_{g+1-k} = 2^{k+1} 2^{g-k} \le \sum_{i=1}^{g+1} 2^{i-1} + 1 = 2^{g+1}$$
(3.18)

Therefore one may substitute previous inequalities with equalities and obtain

$$H_{2g+2} = \sum_{i=1}^{2g+1} H_i + 1. \tag{3.19}$$

It follows immediately from (3.19) that

$$\sum_{i=1}^{2g+2} H_i + 1 = 2H_{2g+2}. (3.20)$$

Now, consider

$$H_{2g+3} = H_{2g+2} + H_{2g+1} + \dots + H_{g+3} + 2^{k+1} H_{g+2-k}.$$
(3.21)

Since  $g + 2 - k \le g + 1$  as  $k \ge 1$ , one gets

$$H_{q+2-k}M = 2^{g+1-k}2^{k+1} = 2^{g+2} = 2(2^{g+1}) = 2H_{q+2}.$$
 (3.22)

Hence

$$H_{2g+3} = H_{2g+2} + H_{2g+1} + \dots + H_{g+3} + 2H_{g+2}$$

$$= H_{2g+2} + (H_{2g+1} + \dots + H_{g+3} + H_{g+2} + H_{g+2})$$

$$> H_{2g+2} + (H_{2g+1} + \dots + H_{g+3} + H_{g+2} + H_{g+1-k})$$

$$= 2H_{2g+2} = \sum_{i=1}^{2g+2} H_i + 1.$$
(3.23)

So  $H_{2g+3}$  causes Brown's criterion to fail, rendering whole sequence incomplete.

We now show the stabilizing behavior of the bound mentioned above.

**Lemma 3.5.** If 
$$g \ge k + \lceil \log_2 k \rceil$$
, then  $[\underbrace{1, \dots, 1}_{q}, \underbrace{0, \dots, 0}_{k}, 2^{k+1} - 1]$  is complete.

*Proof.* Define  $\{F_n\} = [\underbrace{1, \dots, 1}_g]$ , and  $\{H_n\} = [\underbrace{1, \dots, 1}_g, \underbrace{0, \dots, 0}_k, 2^{k+1} - 1]$ . We can calculate the terms of  $\{F_n\}$  and  $\{H_n\}$  up to 2g + 1. Namely,

$$H_{n} = F_{n} = 2^{n-1} \qquad \text{when } 1 \le n \le g$$

$$H_{g+n} = F_{g+n} + 2^{n-1} \qquad \text{when } 1 \le n \le k+1$$

$$H_{g+k+1+n} = F_{g+k+1+n} + \left(2^{k+1} - 1\right) \left(2^{n} + 2^{n-2} (n-1)\right) \qquad \text{when } 1 \le n \le g - k$$

$$F_{g+n} = 2^{g+n-1} - 2^{n-2} (n+1) \qquad \text{when } 1 \le n \le g \qquad (3.24)$$

The third and fourth lines are verified in Lemmas C.6 and C.7, respectively. We show that the conditions in Theorem 3.3 hold for  $\{H_n\}$ . We can verify directly that Brown's criterion holds for the first (2g+1) terms of  $\{H_n\}$ ; in fact, for  $B_n := B_{H,n}$ , we get

$$\begin{cases}
B_n \ge 0 & 1 \le n \le g + k \\
B_n > 0 & g + k + 1 \le n \le 2g + 1.
\end{cases}$$
(3.25)

Thus, it remains to show that  $B_n > 0$  for  $2g + 2 \le n \le 2(g + k) - 1$ .

Case 1:  $2g + 2 \le n \le 2g + k + 1$ 

Define  $b(n) := H_n - F_n$ . Note that  $b(n) \ge 0$ , and by induction, b(n) > 0 for all  $n \ge g + 1$ . For  $n \ge g + k + 2$ ,

$$F_n + b(n) = H_n$$

$$= H_{n-1} + H_{n-2} + \dots + H_{n-g} + \left(2^{k+1} - 1\right) H_{n-(g+k+1)}$$

$$= \sum_{i=1}^g F_{n-i} + \sum_{i=1}^g b(n-i) + \left(2^{k+1} - 1\right) H_{n-(g+k+1)}.$$

Since  $F_n = \sum_{i=1}^g F_{n-i}$ ,

$$b(n) = \sum_{i=1}^{g} b(n-i) + (2^{k+1} - 1) H_{n-(g+k+1)}.$$
 (3.26)

Thus, for any  $n \ge 2g + 2$ ,

$$B_{n} = 1 + \sum_{i=1}^{n-1} H_{i} - H_{n}$$

$$= 1 + \sum_{i=1}^{n-1} (F_{i} + b(i)) - (F_{n} + b(n))$$

$$= \left(1 + \sum_{i=1}^{n-1} F_{i} - F_{n}\right) - \left(2^{k+1} - 1\right) H_{n-(g+k+1)} + \sum_{i=g+1}^{n-(g+1)} b(i)$$

$$> \left(1 + \sum_{i=1}^{n-1} F_{i} - F_{n}\right) - \left(2^{k+1} - 1\right) H_{n-(g+k+1)}.$$
(3.27)

We are to show that the last term is nonnegative. As  $n - (g + k + 1) \le g$ ,

$$\begin{split} &1 + \sum_{i=1}^{n-1} F_i - F_n - \left(2^{k+1} - 1\right) H_{n-(g+k+1)} \\ &= 1 + \sum_{i=1}^{n-(g+1)} F_i - \left(2^{k+1} - 1\right) H_{n-(g+k+1)} \\ &= 1 + \sum_{i=1}^{g} F_i + \sum_{i=1}^{n-(2g+1)} F_{g+i} - \left(2^{k+1} - 1\right) \cdot 2^{n-(g+k+1)-1} \\ &= 1 + \sum_{i=1}^{g} 2^{i-1} + \sum_{i=1}^{n-(2g+1)} \left(2^{g+i-1} - 2^{i-2} \left(i + 1\right)\right) - 2^{n-g-1} + 2^{n-(g+k+1)-1} \\ &= 2^{n-(g+k+1)-1} - \sum_{i=1}^{n-(2g+1)} 2^{i-2} \left(i - 1\right) - \sum_{i=1}^{n-(2g+1)} 2^{i-1} \\ &= 2^{n-(g+k+1)-1} - \left(2^{n-(2g+2)} \left(n - \left(2g + 3\right)\right) + 1\right) - \left(2^{n-(2g+2)} - 1\right) \\ &= 2^{n-(2g+2)} \left(2^{g-k} - \left(n - \left(2g + 2\right)\right)\right) \\ &\geq 2^{n-(2g+2)} \left(2^{g-k} - \left(k - 1\right)\right) \\ &> 0. \end{split} \tag{3.28}$$

Note that the last line comes from  $g \ge k + \log_2 k$ , which implies  $2^{g-k} \ge k > k-1$ . Case 2:  $2g + k + 2 \le n \le 2g + 2k + 1$ 

We show that  $B_{n+1} \ge B_n$  for  $2g + k + 2 \le n < 2g + 2k + 1$ , and that  $B_{2g+k+2} > 0$ .

$$\begin{split} B_{n+1} - B_n &= 2H_n - H_{n+1} \\ &= 2H_n - \left(\sum_{i=n-g+1}^n H_i + (2^{k+1} - 1)H_{n-(g+k)}\right) \\ &= \left(H_n - \sum_{i=n-g+1}^n H_i\right) + (2^{k+1} - 1)H_{n-(g+k)} \\ &= H_{n-g} - (2^{k+1} - 1)(H_{n-(g+k)} - H_{n-(g+k+1)}) \end{split}$$

Replace n by 2g + k + 1 + m, with  $1 \le m \le k$ 

$$= H_{(g+k+1)+m} - (2^{k+1} - 1)(H_{g+m+1} - H_{g+m})$$
  
=  $H_{(g+k+1)+m} - (2^{k+1} - 1)(2^{g+m-1} - 2^{m-2}(m+1)).$  (3.29)

For  $1 \leq m \leq g-k$ , we have an explicit formula for  $H_{(g+k+1)+m}$ , so we can substitute directly to show that (3.29) is nonnegative. Thus, if  $g-k \geq k$  (i.e.  $g \geq 2k$ ), then this holds for all  $1 \leq m \leq k$ . If g-k < k (i.e. g < 2k), then from Lemma C.9, (3.29) is nonnegative. Thus,  $B_{n+1} \geq B_n$  for all  $2g+k+2 \leq n \leq 2g+k+1$ . It remains to show that  $B_{2g+k+2} > 0$ , which we can do by directly substituting the explicit formulas.  $\square$ 

Combining these lemmas, we can prove the first part of Theorem 1.17.

Proof of Theorem 1.17.1. From Lemmas 3.4 and 3.5, the bound for N is precisely  $2^{k+1} - 1$  when  $g \ge k + \lceil \log_2 k \rceil$ .

Next, we consider when  $k \leq g \leq k + \lceil \log_2(k) \rceil$ , and prove the second part of Theorem 1.17 using similar methods.

Proof of Theorem 1.17.2. First, we show that for  $N > 2^{k+1} - \lceil k/2^{g-k} \rceil$ ,  $\{H_i\}$  is incomplete, and suppose  $k \geq 2$ . Let us calculate the initial L = g + k + 1 terms of the sequence. Note

$$H_n = 2^{n-1}$$
 for all  $1 \le n \le g+1$  
$$H_{g+n} = 2^{g+n-1} - 2^{n-2}(n-1)$$
 for all  $1 \le n \le k+1$ . (3.30)

Let  $B_i := B_{H,i}$ . Then, we consider Brown's gap  $B_{2g+k+2}$ ,

$$B_{2g+k+2} = \left(1 + \sum_{i=1}^{2g+k+1} H_i\right) - H_{2g+k+2}$$

$$= \left(1 + \sum_{i=1}^{2g+k+1} H_i\right) - \left(\sum_{i=g+k+2}^{2g+k+1} H_i + NH_{g+1}\right)$$

$$= \left(1 + \sum_{i=1}^{g+k+1} H_i\right) - NH_{g+1}$$

$$= 1 + \sum_{i=1}^{g} H_i + \sum_{i=g+1}^{g+k+1} H_i - NH_{g+1}$$

$$= 1 + \sum_{i=1}^{g} 2^{i-1} + \sum_{i=1}^{k+1} (2^{g+i-1} - 2^{i-2}(i-1)) - 2^g N$$

$$= 2^{g+k+1} - \sum_{i=1}^{k} 2^{i-1}i - 2^g N$$

$$= 2^{g+k+1} - 2^k(k-1) - 1 - 2^g N.$$

Now,  $N > 2^{k+1} - \left \lceil k/2^{g-k} \right \rceil$  by assumption so it follows that  $N \ge 2^{k+1} - k/2^{g-k} + 1$ , hence

$$\leq 2^{g+k+1} - 2^k(k-1) - 1 - 2^g \left(2^{k+1} - \frac{k}{2^{g-k}} + 1\right)$$

$$= 2^k - 2^g - 1,$$
(3.31)

which must be negative as  $g \ge k$ . So  $\{H_n\}$  fails Brown's criterion at the (2g + k + 1)st term, rendering the sequence incomplete.

Now we can show that for  $N=2^{k+1}-\lceil k/2^{g-k}\rceil$ ,  $\{H_i\}$  is complete by Theorem 3.3. We can easily verify that  $B_n \geq 0$  for all  $1 \leq n \leq g+k+1$  and  $B_{g+k+1} > 0$ ; it remains to show that  $B_n > 0$  for  $g+k+2 \leq n \leq 2g+2k+1$ . We consider two cases.

Case 1:  $2 \le n - (g + k) \le g + 1$ .

We want to show that  $B_{n+1} \geq B_n$  for all  $2 \leq n - (g+k) \leq g+1$  and that  $B_{g+k+2} > 0$ .

Now,

$$B_{n} = 1 + \sum_{i=1}^{n-1} H_{i} - H_{n}$$

$$= 1 + \sum_{i=1}^{n-1} H_{i} - \left(\sum_{i=n-g}^{n-1} H_{i} + NH_{n-(g+k+1)}\right)$$

$$= 1 + \sum_{i=1}^{n-g-1} H_{i} - NH_{n-(g+k+1)}.$$
(3.32)

Then, note that

$$B_{n+1} - B_n = H_{n-g} - N(H_{n-(g+k)} - H_{n-(g+k+1)})$$
  
=  $H_{n-g} - N(2^{n-(g+k+1)} - 2^{n-(g+k+2)}),$ 

and by assumption,

$$= H_{n-g} - \left(2^{k+1} - \left\lceil \frac{k}{2^{g-k}} \right\rceil \right) 2^{n-(g+k+2)}$$

$$= 2^{n-(g+k+2)} \left\lceil \frac{k}{2^{g-k}} \right\rceil - \left(2^{n-g-1} - H_{n-g}\right). \tag{3.33}$$

If  $n-g \le g+1$ , then  $2^{n-g-1}-H_{n-g}=0$ , so  $B_{n+1}-B_n>0$ . If  $g+2 \le n-g \le g+k+1$ , then

$$2^{n-g-1} - H_{n-g} = 2^{n-2g-2}(n-2g-1) \le 2^{n-(g+k+2)} \frac{k}{2^{g-k}} \le 2^{n-(g+k+2)} \left\lceil \frac{k}{2^{g-k}} \right\rceil, \quad (3.34)$$

so that  $B_{n+1} - B_n \ge 0$ . In any case,  $B_{n+1} \ge B_n$ . We can verify directly that  $B_{g+k+2} > 0$ , completing this case.

Case 2:  $g \le n - (g + k) \le g + k + 1$ .

From the previous case,  $B_{2q+k+2} \ge B_{2q+k+1} > 0$ . Now,

$$B_n = 1 + \sum_{i=1}^{n-g-1} H_i - NH_{n-(g+k+1)}$$

$$= 1 + \sum_{i=1}^{n-2g-1} H_i + \sum_{i=n-2g}^{n-g-1} H_i - NH_{n-(g+k+1)}$$

$$= 1 + \sum_{i=1}^{n-2g-1} H_i + H_{n-g} - NH_{n-(2g+k+1)} - NH_{n-(g+k+1)}.$$

Substituting n = 2g + k + 1 + m for  $1 \le m \le k$ ,

$$= 1 + \sum_{i=1}^{k+m} H_i + H_{g+k+1+m} - N(H_m + H_{g+m})$$

$$\geq H_{k+m+1} + H_{g+k+1+m} - N(2^{m-1} + 2^{g+m-1} - 2^{m-2}(m-1)). \tag{3.35}$$

Let  $C_m := H_{k+m+1} + H_{g+k+1+m} - N(2^{m-1} + 2^{g+m-1} - 2^{m-2}(m-1))$ , from equation (3.35). We show by strong induction that  $C_m > 0$ . By direct computation,  $C_1 > 0$ .

Suppose it holds for all values from 1 to m-1 for  $m \geq 2$ . Then by the induction hypothesis,

$$H_{g+k+1+m} = (H_{g+k+m} + \dots + H_{g+k+2}) + (H_{g+k+1} + \dots + H_{m+k+1}) + NH_m$$

$$> \sum_{i=1}^{m-1} \left( N \left( 2^{i-1} + 2^{g+1-i} - 2^{i-2} (i-1) \right) - H_{k+i+1} \right) +$$

$$+ \left( 2^{g+k} + \dots + 2^{m+k} - \sum_{i=1}^{k+1} 2^{i-2} (i-1) \right) + 2^{m-1} N$$

$$= N \left( 2^m - 1 + 2^{g+m+1} - 2^g - 2^{m-2} (m-3) - 1 \right) -$$

$$- \sum_{i=k+2}^{k+m} H_i + \left( 2^{g+k+1} - 2^{m+k} - 2^k (k-1) - 1 \right)$$

$$\ge N \left( 2^{m-1} + 2^{g+m-1} - 2^{m-2} (m-1) \right) - \left( 2^g + 2 - 2^m \right) N -$$

$$- \sum_{i=k+m-g}^{k+m} H_i + \left( 2^{g+k+1} - 2^{m+k} - 2^k (k-1) - 1 \right), \tag{3.36}$$

where  $H_i = 0$  for nonpositive i. Hence,

$$C_{m} = H_{g+k+1+m} - N(2^{m-1} + 2^{g+m-1} - 2^{m-2}(m-1)) + H_{k+m+1}$$

$$> \left(H_{k+m+1} - \sum_{i=k+m-g}^{k+m} H_{i}\right) + \left(2^{g+k+1} - 2^{m+k} - 2^{k}(k-1) - 1\right) - \left(2^{g} + 2 - 2^{m}\right)N$$

$$= 1 + \left(2^{g+k+1} - 2^{m+k} - 2^{k}(k-1) - 1\right) - \left(2^{g} + 2 - 2^{m}\right) \left(2^{k+1} - \left\lceil \frac{k}{2^{g-k}} \right\rceil\right)$$

$$= 2^{m+k} - 2^{k}(k+3) + \left(2^{g} + 2 - 2^{m}\right) \left\lceil \frac{k}{2^{g-k}} \right\rceil$$

$$\ge 2^{m+k} - 2^{k}(k+3) + \left(2^{g} + 2 - 2^{m}\right) \frac{k}{2^{g-k}}$$

$$= 2^{m+k} - 3 \cdot 2^{k} - \left(2^{m} - 2\right) \frac{k}{2^{g-k}}$$

$$= \left(2^{m} - 3\right) \left(2^{k} - \frac{k}{2^{g-k}}\right) - \frac{k}{2^{g-k}}$$

$$\ge 2^{k} - \frac{2k}{2^{g-k}} \ge 2^{k} - 2k \ge 0.$$

$$(3.37)$$

This completes the induction, so  $B_n \geq C_m > 0$ .

Since both cases are satisfied,  $\{H_i\}$  is complete.

**Remark 3.6.** The case k = 1 is characterized in Lemma 3.8.

3.2. **The "2L - 1 Conjecture".** We conjecture a strengthened version of Theorem 3.3 as follows.

**Conjecture 3.7.** The PLRS  $\{H_n\}$  defined by  $[c_1, \ldots, c_L]$  is complete if  $B_{H,n} \geq 0$  for all  $n \leq 2L-1$ , i.e., Brown's criterion holds for the first 2L-1 terms.

When using Brown's criterion, it would be very helpful to be able to know how many terms must be checked to be sure that a PLRS is complete. This conjecture, if true, would be a powerful tool to do so. We do not know yet if such a threshold exists for each L; however, if it does, then it is at least 2L-1, as shown by the following example, where k+2=L.

**Lemma 3.8.**  $[1, \ldots, 1, 0, 4]$ , with  $k \geq 1$  ones, is always incomplete. Moreover, it first fails Brown's criterion on the (2k+3)rd term.

*Proof.* We have the recurrence relation  $H_{n+1} = H_n + \cdots + H_{n-k+1} + 4H_{n-k-1}$ . We show that the term in the (2k+3)rd position in the sequence fails Brown's criterion. First,

$$H_{2k+3} = H_{2k+2} + \dots + H_{k+3} + 4H_{k+1}. \tag{3.38}$$

Next, we observe that for  $1 \leq j \leq k+1$ , then  $H_j = 2^{j-1}$ . Additionally,  $H_{k+2} = 2^{k+1} - 1$ . Thus,

$$2H_{k+1} = 2^{k+1} > 2^{k+1} - 1 = H_{k+2}. (3.39)$$

We also note that  $H_{k+1} = H_k + \cdots + H_1 + 1$ . Putting everything together,

$$H_{2k+3} = H_{2k+2} + \dots + H_{k+3} + 4H_{k+1}$$

$$= H_{2k+2} + \dots + H_{k+3} + 3H_{k+1} + H_k + \dots + H_1 + 1$$

$$> H_{2k+2} + \dots + H_{k+3} + H_{k+2} + H_{k+1} + H_k + \dots + H_1 + 1.$$
(3.40)

Hence, we have shown that  $[1, \ldots, 1, 0, 4]$ , with  $k \ge 1$  ones, is incomplete, as it fails Brown's criterion on the (2k+3)rd term.

We now show that Brown's criterion holds for the first (2k+2) terms. For  $1 \le j \le k+1$ , we have  $H_j = 2^{j-1}$ , which satisfies the equality  $H_{j+1} = H_j + \cdots + H_1 + 1$ .

We consider when  $k+2 \le j \le 2k+2$ , that

$$H_{i+1} = H_i + \dots + H_{i-k+1} + 4H_{i-k-1}.$$

Note that  $H_{i-k-1} = H_{i-k+2} + \cdots + H_1 + 1$  as  $1 \le j - k - 1 \le k + 1$ ,

$$H_{j+1} = H_j + \dots + H_{j-k+1} + 2H_{j-k-1} + H_{j-k-1} + H_{j-k-2} + \dots + H_1 + 1.$$

And as  $2H_{j-k-1} = 2^{j-k-1} = H_{j-k}$ , we see

$$H_{j+1} = H_j + \dots + H_{j-k+1} + H_{j-k} + H_{j-k-1} + H_{j-k-1} + H_{j-k-2} + \dots + H_1 + 1.$$
 (3.41)

Hence, this equality satisfies Brown's criterion for terms  $k+2 \le j \le 2k+2$ .

Assuming this conjecture, we can further explore sequences of the form [1, 0, ..., 0, 1, ..., 1, N]In Theorems 3.10 and 3.11, we show that the bound on N for  $[1, \underbrace{0, ..., 0}_{L-m-2}, \underbrace{1, ..., 1}_{m}, N]$  strictly

increases if we keep L fixed and increase m from 0 to L-3, i.e., switching the coefficients from 0 to 1 gradually from the end so that at least one 0 remains. We first state a following powerful lemma that is contingent on this conjecture.

**Lemma 3.9** (Conditional). Let  $\{H_n\}$  defined by  $[1,0,\ldots,0,1,\ldots,1,N]$  be a sequence of length L with m ones. Then, if the sequence is incomplete, it must fail Brown's criterion at the (L+1)st or (L+2)nd term. In other words, if  $H_{L+1} \leq 1 + \sum_{i=1}^{L} H_i$  and  $H_{L+2} \leq 1 + \sum_{i=1}^{L+1} H_i$ , then  $\{H_n\}$  is complete.

The proof of this lemma is deferred to C.11 of Appendix C.

**Theorem 3.10.** Let  $\{H_n\}$  be a PLRS with L coefficients defined by  $[1,0,\ldots,0,\underbrace{1,\ldots,1},N]$ ,

where  $L \geq 2m + 2$ . Then  $\{H_n\}$  is complete if and only if

$$N \le \left| \frac{(L-m)(L+m+1)}{4} + \frac{1}{48}m(m+1)(m+2)(m+3) + \frac{1-2m}{2} \right|. \tag{3.42}$$

*Proof.* First, note for all  $1 \le n \le L - m$ , that  $H_n = n$ .

Now, we claim that for all  $1 \le k \le m$ ,

$$H_{L-m+k} = L - m + \frac{1}{6}k(k+1)(k+2) + k. \tag{3.43}$$

We use induction, appealing to the identity that  $\sum_{a=1}^{n} a(a+1)/2 = n(n+1)(n+2)/6$ . We first see that

$$H_{L-m+1} = H_{L-m} + H_1 + 1 = L - m + 2 = L - m + \sum_{a=1}^{1} \frac{a(a+1)}{2} + 1.$$
 (3.44)

Additionally,

$$H_{L-m+2} = H_{L-m+1} + H_2 + H_1 + 1 = (L-m+2) + 2 + 1 + 1 = L - m + \sum_{a=1}^{2} \frac{a(a+1)}{2} + 2. \quad (3.45)$$

Now, suppose  $H_{L-m+k} = L - m + \sum_{a=1}^{k} a(a+1)/2 + k$  for some k < m. Note that

$$H_{L-m+k+1} = H_{L-m+k} + H_{k+1} + \dots + H_1 + 1. \tag{3.46}$$

Since we supposed  $L \ge 2m+2$ , we see  $k+1 \le m+1 \le L-m$ , and thus for all  $1 \le i \le k$ ,  $H_i = i$ . Thus,

$$H_{L-m+k+1} = \left(L - m + \sum_{a=1}^{k} \frac{a(a+1)}{2} + k\right) + \frac{(k+1)(k+2)}{2} + 1$$

$$= L - m + \sum_{a=1}^{k+1} \frac{a(a+1)}{2} + k + 1.$$
(3.47)

Thus, we have an explicit formula for  $H_i$ , for  $1 \le i \le L$ .

Note that  $\{H_n\}$  is complete if and only if it fulfills Brown's criterion for the (L+1)st and (L+2)nd term. We show that  $\{H_n\}$  fulfills the criterion for L+2 if and only if the bound above holds; it is not difficult to show that the bound for L+1 is less strict.

Indeed, we wish to reduce the inequality

$$H_{L+2} = H_{L+1} + H_{m+2} + \dots + H_3 + 2N \le 1 + \sum_{i=1}^{L+1} H_i$$
 (3.48)

$$\iff H_{m+2} + \dots + H_3 + 2N \le 1 + \sum_{i=1}^{L} H_i.$$
 (3.49)

Simplifying the left hand side of inequality (3.49),

$$H_{m+2} + \dots + H_3 + 2N = H_{m+2} + \dots + H_3 + (H_2 + H_1 - H_2 - H_1) + 2N$$
$$= \frac{(m+2)(m+3)}{2} - 3 + 2N. \tag{3.50}$$

Additionally,

$$1 + \sum_{n=1}^{L} H_n = 1 + \sum_{n=1}^{L-m} H_n + \sum_{n=L-m+1}^{L} H_n$$

$$= 1 + \frac{(L-m)(L-m+1)}{2} + \sum_{n=1}^{m} \left(\frac{1}{6}n(n+1)(n+2) + n + L - m\right). \quad (3.51)$$

We use the fact that  $\sum_{n=1}^{m} n(n+1)(n+2) = m(m+1)(m+2)(m+3)/4$  to simplify equation 3.51 as follows

$$1 + \frac{(L-m)(L-m+1)}{2} + \frac{m(m+1)}{2} + mL - m^2 + \frac{1}{6} \sum_{n=1}^{m} n(n+1)(n+2)$$

$$= 1 + \frac{(L-m)(L-m+1)}{2} + \frac{m(m+1)}{2} + mL - m^2 + \frac{1}{24}m(m+1)(m+2)(m+3). \quad (3.52)$$

Hence the inequality is equivalent to

$$\frac{(m+2)(m+3)}{2} - 3 + 2N \le 1 + \frac{(L-m)(L-m+1)}{2} + \frac{m(m+1)}{2} + mL - m^2 + \frac{1}{24}m(m+1)(m+2)(m+3). \quad (3.53)$$

Simplifying, this gives us

$$N \le \left\lfloor \frac{(L-m)(L+m+1)}{4} + \frac{1}{48}m(m+1)(m+2)(m+3) + \frac{1-2m}{2} \right\rfloor.$$
 (3.54)

**Theorem 3.11.** Let  $\{G_n\}$  and  $\{H_n\}$  be PLRS's with L coefficients defined by  $[1,0,\ldots,0,\underbrace{1,\ldots,1},N]$  and  $[1,0,\ldots,0,\underbrace{1,\ldots,1},N+1]$  respectively. Suppose  $L-m\geq 4$  (so that at least one zero is present in  $\{H_n\}$ ),  $m\geq (L-1)/2$ , and  $\{G_n\}$  is complete. Then  $\{H_n\}$  is also complete. Proof. As  $\{G_n\}$  is complete, from Brown's criterion, we obtain

$$G_{L+2} = G_{L+1} + \sum_{i=1}^{m+2} G_i + NG_2 \le 1 + \sum_{i=1}^{m+1} G_i,$$
 (3.55)

which is equivalent to

$$2N \le \sum_{i=m+3}^{L} G_i + 4. \tag{3.56}$$

From Lemma 3.9, it suffices to show that

$$\begin{cases}
H_{L+1} \le 1 + \sum_{i=1}^{L} H_i \\
H_{L+2} \le 1 + \sum_{i=1}^{L+1} H_i,
\end{cases}$$
(3.57)

or equivalently,

$$N \le \sum_{i=m+3}^{L-1} H_i \tag{3.58}$$

and

$$2N \le \sum_{i=m+4}^{L} H_i + 2. \tag{3.59}$$

We first show equation (3.58). Combining with equation (3.56), it suffices to show that

$$\sum_{i=m+3}^{L} G_i + 4 \le 2 \sum_{i=m+4}^{L} H_i. \tag{3.60}$$

From Lemma C.12,

$$\begin{cases}
G_i \le H_i & m+3 \le i \le L \\
G_i \le H_{i-1} - 1 & 2(L-m) < i \le L.
\end{cases}$$
(3.61)

Thus,

$$\sum_{i=m+3}^{L} G_i + 4 = \sum_{i=m+3}^{2(L-m)} G_i + \sum_{i=2(L-m)+1}^{L} G_i + 4$$

$$\leq \sum_{i=m+3}^{2(L-m)} H_i + \sum_{i=2(L-m)}^{L-1} H_i + (2m - L + 4)$$

$$\leq 2 \sum_{i=m+4}^{L} H_i, \tag{3.62}$$

the last inequality can be taken crudely. We then show (3.59). Similarly, combining with (3.56), it suffices to show that

$$\sum_{i=m+3}^{L} G_i + 2 \le \sum_{i=m+4}^{L} H_i. \tag{3.63}$$

If  $m+3 \geq 2(L-m)$ , then

$$\sum_{i=m+4}^{L} H_{i} = \sum_{i=m+4}^{L} \left( H_{i-1} + \sum_{j=1}^{i-L+m+1} H_{j} + 1 \right)$$

$$\geq \sum_{i=m+4}^{L} (H_{i-1} + H_{i-L+m+2}) \text{ (Brown's criterion for the first terms)}$$

$$= \sum_{i=m+3}^{L-1} H_{i} + \sum_{i=2m+6-L}^{m+2} H_{i} \geq \sum_{i=m+3}^{L-1} (G_{i+1} + 1) + H_{m+2}$$

$$\geq \sum_{i=m+3}^{L} G_{i} + 2. \tag{3.64}$$

If m + 3 < 2(L - m), then

$$\sum_{i=m+3}^{L} G_i = \sum_{i=m+3}^{2(L-m)-1} G_i + G_{2(L-m)} + \sum_{i=2(L-m)+1}^{L} G_i$$

$$= \sum_{i=m+3}^{2(L-m)-1} (H_{i-1}+1) + H_{2(L-m)-1} + \sum_{i=2(L-m)+1}^{L} G_i$$

$$= \sum_{i=m+2}^{2(L-m)-1} H_i + (2L - 3(m+1)) + \sum_{i=2(L-m)+1}^{L} G_i.$$
(3.65)

Thus, our original inequality, equation (3.59), holds if we can show that

$$H_{m+2} + H_{m+3} + (2L - 3(m+1)) + \sum_{i=2(L-m)+1}^{L} G_i \le \sum_{i=2(L-m)}^{L} H_i.$$
 (3.66)

Similarly to the previous case,

$$\sum_{i=2(L-m)}^{L} H_i \ge \sum_{i=2(L-m)}^{L} (H_{i-1} + H_{i-L+m+2})$$

$$= \sum_{i=2(L-m)-1}^{L-1} H_i + \sum_{i=L-m+2}^{m+2} H_i$$

$$= \sum_{i=2(L-m)}^{L-1} H_i + H_{2(L-m)-1} + H_{m+2} + \sum_{i=L-m+2}^{m+1} H_i.$$
(3.67)

As  $2(L-m)-1 \ge m+3$  and  $H_i \ge i$ 

$$\sum_{i=2(L-m)}^{L} H_i \ge \sum_{i=2(L-m)}^{L-1} (G_{i+1}+1) + H_{m+3} + H_{m+2} + \sum_{L-m+2}^{m+1} i$$

$$= \sum_{i=2(L-m)+1}^{L} G_i + H_{m+3} + H_{m+2} + (2m - L + \sum_{i=L-m+2}^{m+1} i). \tag{3.68}$$

From Lemma C.13,

$$\sum_{i=2(L-m)}^{L} H_i \ge \sum_{i=2(L-m)+1}^{L} G_i + H_{m+3} + H_{m+2} + (2L - 3(m+1)).$$
(3.69)

4. An Analytical Approach

# 4.1. **An Introduction to Principal Roots.** We begin by restating some results from [MMMMS].

**Lemma 4.1.** Let P(x) be the characteristic polynomial of a recurrence relation with nonnegative coefficients and at least one positive coefficient, and let  $S = \{m \mid c_m \neq 0\}$ . Then

- (1) there exists exactly one positive root r, and this root has multiplicity 1,
- (2) every root  $z \in \mathbb{C}$  satisfies  $|z| \leq r$ , and

AUGUST 2021 23

(3) if gcd(S) = 1, then r is the unique root of greatest magnitude.

*Proof.* This is Lemma 2.1 from [MMMMS].

**Remark 4.2.** We refer to the unique positive root from Lemma 4.1 as the principal root of the recurrence sequence and corresponding characteristic polynomial.

**Lemma 4.3.** Let P(x) be the characteristic PLRS  $\{H_n\}$  and let  $r_1$  be its principal root. Then

$$\lim_{n \to \infty} \frac{H_n}{r_1^n} = C \tag{4.1}$$

for some constant C > 0.

*Proof.* Corollary 2.3 from [MMMMS] proves a stronger result than this, which immediately implies this lemma.  $\Box$ 

**Lemma 4.4.** Let P(x) be the characteristic polynomial of a PLRS  $\{H_n\}$  with roots  $r_i$ , each of multiplicity  $m_i$ , where  $r_1$  is the principal root. If

$$H_n = a_1 r_1^n + \sum_{i=2}^k q_i(n) r_i^n, \tag{4.2}$$

where  $q_i(x)$  is a polynomial of degree at most  $m_i - 1$ , then  $a_1 > 0$ .

*Proof.* First, note that the set S of Lemma 4.1 contains 1 because  $c_1 > 0$  in a PLRS. Therefore gcd(S) = 1, and  $r_1$  is the unique root of greatest magnitude. If  $a_1 < 0$ , then this implies that  $H_n < 0$  for some n because the behavior of  $a_1r_1^n$  eventually dominates the expression for  $H_n$  in (4.2). If  $a_1 = 0$ , then

$$\lim_{n \to \infty} \frac{H_n}{r_1^n} = 0 \tag{4.3}$$

because  $r_1$  is the unique root of greatest magnitude, so if  $a_1 = 0$  then the behavior of  $H_n$  is bounded by geometric growth of the root of next greatest magnitude, which is necessarily smaller than  $r_1^n$ . Thus,  $a_1 > 0$ .

4.2. **Applications to Completeness.** Given these results, we see that the principal root of a PLRS serves as a measure for the rate of that sequence's growth. Guided by the simple heuristic that, generally, a sequence which grows slowly is more likely to be complete than a sequence which grows rapidly, we find bounds for the potential roots of a complete or incomplete PLRS. We aim to answer these questions: For any given L, what is the fastest-growing complete PLRS with L coefficients? What is the slowest-growing incomplete PLRS with L coefficients?

**Lemma 4.5.** If  $\{H_n\}$  is a complete PLRS and  $r_1$  is its principal root, then  $|r_1| \leq 2$ .

*Proof.* Suppose that  $|r_1| > 2$ . Set

$$H_n = a_1 r_1^n + q_2(n) r_2^n + \dots + q_r(n) r_k^n.$$
(4.4)

Since  $r_1$  is the unique root of largest magnitude by Lemma 4.1, the behavior of  $a_1r_1^n$  dominates in the limit. By Lemma 4.4,  $a_1 > 0$ , so if  $|r_1| > 2$ , then eventually  $|a_1r_1^n| > 2^{n-1}$ , and so there exists a large n for which  $H_n > 2^{n-1}$ . As the sequence  $\{2^{n-1}\}$  is the complete PLRS with maximal terms by Theorem 2.1, we see  $\{H_n\}$  must be incomplete.

<sup>&</sup>lt;sup>1</sup>While the principal root of a PLRS has not been related to completeness before, there is previous work on bounding the principal root of other linear recurrence sequences in [GM].

**Remark 4.6.** The converse to this lemma does not hold. A counterexample is [1, 1, 1, 0, 4], which has principal root 2 but is not complete.

While the proof is simple, this lemma gives us an effective upper bound for the roots of a complete PLRS, regardless of length. Recall from Theorem 1.11 that for any L, the PLRS  $\{H_n\}$  generated by the coefficients  $[\underbrace{1,\ldots,1}_{L-1},2]$  satisfies  $H_n=2^{n-1}$ . This sequence naturally

has a principal root of 2, and is complete. Similarly, for any  $L \ge 1$ , the sequence  $[1, \ldots, 1]$  is

complete, and its principal root asymptotically approaches 2 as L grows.

We now focus on finding a lower bound for the roots of an incomplete sequence, which proves to be a more difficult problem.

**Lemma 4.7.** For any  $L \in \mathbb{Z}^+$ , there exists a constant  $B_L$ , with  $1 < B_L < 2$  such that if  $\{H_n\}$  is a PLRS with principal root  $r_1$  and  $r_1 < B_L$ , then  $\{H_n\}$  is complete.

**Remark 4.8.** This means that for any L, there exists a lower bound  $B_L$  on possible values of the principal root of an incomplete PLRS generated by  $[c_1, \ldots, c_L]$ .

*Proof.* In order to show that such a  $B_L$  exists, it suffices to show that for any given L, there exists only finitely many incomplete positive linear recurrence sequences generated by  $[c_1, \ldots, c_L]$  with principal root  $r_1 < 2$ .

Recall that the principal root  $r_1$  of a PLRS is the single positive root of the characteristic polynomial  $p(x) = x^L - \sum_{i=1}^L c_i x^{L-i}$ .

As  $\lim_{x\to\infty} p(x) = +\infty$ , the fact that  $r_1$  is the unique positive root of p(x) implies that  $r_1 < 2 \iff p(2) > 0$ , by Intermediate Value Theorem. Note that

$$p(2) = 2^{L} - \sum_{i=1}^{L} c_{i} 2^{L-i} > 0 \iff \sum_{i=1}^{L} c_{i} 2^{L-i} < 2^{L}.$$

$$(4.5)$$

As for all  $i, c_i \geq 0$ , so the inequality above cannot hold if there exists i such that  $c_i \geq 2^i$ . As the set  $\{[c_1, \ldots, c_L] : 0 \leq c_i \leq 2^i \text{ for all } i\}$  of such sequences is finite, we are done.

The remainder of this section is a series of lemmas which build towards the following conjecture:

Conjecture 4.9. Let  $N_L = \lceil L(L+1)/4 \rceil$ , and let  $\lambda_L$  be the principal root of the sequence generated by  $[1, \underbrace{0, \dots, 0}_{L-2}, N_L + 1]$ , i.e., the sole principal root of

$$p_L(x) = x^L - x^{L-1} - \left\lceil \frac{L(L+1)}{4} \right\rceil - 1.$$
 (4.6)

If  $[c_1, \ldots, c_L]$  generates an incomplete sequence, then its principal root is at least  $\lambda_L$ .

**Remark 4.10.** This conjecture is equivalent to stating  $B_L = \lambda_L$  for all  $L \geq 2$ , where  $B_L$  is the bound proposed in Lemma 4.7.

**Remark 4.11.** Using Theorem 1.15, it is easy to see that the sequence generated by  $[1,0,\ldots,0,N_L+1]$  is incomplete; in fact, the value  $N_L+1$  is the minimal positive integer such that a sequence of this form is incomplete.

As a first step towards a proof of Conjecture 4.9, we prove Lemma 4.15, which addresses the case of sequences with a large sum in coefficients.

AUGUST 2021 25

**Definition 4.12.** For positive integers S, L, we define the set of positive linear recurrence sequences

$$P_{L,S} := \left\{ \{H_n\} \text{ generated by } [c_1, \cdots, c_L] \mid \sum_{i=1}^{L} c_i = S+1 \right\}.$$
 (4.7)

**Lemma 4.13.** The sequence in  $P_{L,S}$  with the minimal principal root is  $[1,0,\ldots,0,S]$ .

*Proof.* Consider a sequence generated by  $s = [c_1, \ldots, c_L] \in P_{L,S}$ , and let  $r_1, \ldots, r_L$  be its roots, with  $r_1 > 0$  the principal root. Since  $|c_L| = |\prod_{i=1}^L r_L|$  is a positive integer, we know  $r_1 > 1$ .

Now, for any  $1 \leq m \leq L$  consider a sequence generated by  $s_m \in P_{L,S}$  of the form

$$[c_1, \dots, c_{m-1}, c_m - 1, c_{m+1}, \dots, c_L + 1].$$
 (4.8)

We claim that the principal root  $q_1$  of  $s_m$  fulfills  $q_1 < r_1$ .

Define the characteristic polynomials f(x) and g(x) for s and  $s_m$  respectively, so that

$$f(x) = x^{L} - \sum_{i=1}^{L} c_{i} x^{L-i}, \tag{4.9}$$

and

$$g(x) = x^{L} - \sum_{i=1}^{m-1} c_{i} x^{L-i} - (c_{m} - 1) x^{m} - \sum_{i=m+1}^{L-1} c_{i} c_{i} x^{L-i} - (c_{L} + 1)$$

$$= x^{L} - \sum_{i=1}^{L} c_{i} x^{L-i} + x^{m} - 1.$$
(4.10)

As  $q_1$  is the sole positive root of g(x), and g(x) is eventually positive, we notice that  $q_1 < r_1$  if and only if  $g(r_1) > 0$ , which is equivalent to  $g(r_1) > f(r_1)$ . Now,

$$g(r_{1}) > f(r_{1}) \iff r_{1}^{L} - \sum_{i=1}^{L} c_{i} r_{1}^{L-i} + r_{1}^{m} - 1 > r_{1}^{L} - \sum_{i=1}^{L} c_{i} r_{1}^{L-1} \\ \iff r_{1}^{m} - 1 > 0 \\ \iff r_{1} > 1.$$

$$(4.11)$$

As  $r_1 > 1$ , the principal root  $q_1$  of g(x) is strictly less than that of f(x).

As s was chosen arbitrarily, we see that the principal root of any sequence  $s \in P_{L,S}$  can be strictly decreased by using the transformation  $s \to s_m$  for any  $1 \le m \le L$ . Applying this transformation iteratively for all values of m, we inevitably end up with the minimal possible values of  $c_1, \ldots, c_{L-1}$ , namely  $c_1 = 1$ ,  $c_2 = c_3 = \cdots = c_{L-1} = 0$ , and the maximal possible value of  $c_L$ , namely  $c_L = S$ .

Thus, as the principal root under these iterated transformations is strictly decreasing, we conclude that  $[1,0,\ldots,0,S]$  has the smallest principal root of any element of  $P_{L,S}$ .

**Lemma 4.14.** For any S > 0, the principal root of [1, 0, ..., 0, S] is strictly less than that of [1, 0, ..., 0, S + 1].

*Proof.* Let S be an arbitrary positive integer, and denote by f(x), g(x) and  $r_1$ ,  $q_1$  the characteristic polynomials and principal roots of [1, 0, ..., 0, S + 1] and [1, 0, ..., 0, S], respectively.

As before,  $q_1 < r_1$  if and only if  $g(r_1) > 0 = f(r_1)$ . Note that

$$g(r_1) > f(r_1) \iff r_1^L - r_1^{L-1} - S > r_1^L - r_1^{L-1} - (S+1)$$
 $\iff S+1 > S.$  (4.12)

Thus,  $q_1 < r_1$ , for any value of S.

**Lemma 4.15.** Any sequence fulfilling  $\sum_{i=1}^{L} c_i \geq N_L + 2$  has a principal root greater than or equal to that of

$$[1, 0, \dots, 0, N_L + 1]. \tag{4.13}$$

*Proof.* Recall from Theorem 1.15 that the sequence  $[1,0,\ldots,0,N]$  is complete if and only if  $N \leq N_L$ , for  $N_L = \lceil L(L+1)/4 \rceil$ . Thus, an immediate corollary to this theorem is that the incomplete sequence of the form  $[1,0,\ldots,0,N]$  with the minimal possible principal root is  $[1,0,\ldots,0,N_L+1]$ .

Furthermore, if we have a sequence generated by  $[c_1, \ldots, c_L]$  which fulfills  $\sum_{i=1}^L c_i \geq N_L + 2$ , Lemmas 4.13 and 4.14 present a sequence of algorithms which allow us to transform this sequence into the sequence generated by  $[1, 0, \ldots, 0, N_L + 1]$ , in such a way that each transformation strictly lowers the magnitude of the principal root.

Thus, any sequence satisfying  $\sum_{i=1}^{L} c_i \geq N_L + 2$  has a principal root strictly greater than the principal root of  $[1, 0, \dots, 0, N_L + 1]$ .

The following lemmas are working towards proving Conjecture 4.21, which addresses the second case of Conjecture 4.9, which addresses the roots of sequences  $[c_1, \ldots, c_L]$  which fulfill  $\sum_{i=1}^{L} c_i \leq N_L + 2$ .

**Lemma 4.16.** Suppose the sequence generated by  $[c_1, \ldots, c_L]$  has principal root r, then for any  $c_{L+1} \in \mathbb{Z}^+$ , the sequence generated by  $[c_1, \ldots, c_L, c_{L+1}]$  (in which we add an additional positive coefficient) and principal root q fulfills r < q.

*Proof.* Let f(x), g(x) be the characteristic polynomials of the two sequences, so that

$$f(x) = x^{L} - \sum_{i=1}^{L} c_{i} x^{L-i}$$
, and  $g(x) = x^{L+1} - \sum_{i=1}^{L} c_{i} x^{L+1-i} - c_{L+1}$ . (4.14)

Similar to previous arguments, by the Intermediate Value Theorem, r < q if and only if g(r) < f(r) = 0. Note that

$$g(r) < f(r) \iff r^{L+1} - \sum_{i=1}^{L} c_i x^{L+1-i} - c_{L+1} < r^{L} - \sum_{i=1}^{L} c_i r^{L-i}$$

$$\iff c_{L+1} > r^{L+1} - r^{L} + \sum_{i=1}^{L} c_i r^{L-i} - \sum_{i=1}^{L} c_i r^{L+1-i}$$

$$\iff c_{L+1} > r^{L} (r-1) + \sum_{i=1}^{L} c_i r^{L-i} (1-r)$$

$$\iff c_{L+1} > (1-r) \left( r^{L} - \sum_{i=1}^{L} c_i r^{L-i} \right) = (1-r) \cdot f(r)$$

$$\iff c_{L+1} > (1-r) f(r) = (1-r) \cdot 0 = 0.$$

$$(4.15)$$

Since  $c_{L+1} \in \mathbb{Z}^+$  the last line holds, and so r < q.

**Lemma 4.17.** Let  $\lambda_L$  be the principal root of

$$x^{L} - x^{L-1} - N_L - 1. (4.16)$$

Then, for any  $L \geq 2$ ,  $\lambda_L > \lambda_{L+1}$ .

*Proof.* Define f(x) and g(x) to be the characteristic polynomials of  $[1, 0, ..., 0, N_L + 1]$  and  $[1, 0, ..., 0, N_{L+1} + 1]$ , of length L and L + 1, respectively, so that

$$f(x) = x^{L} - x^{L-1} - N_{L} - 1, \quad g(x) = x^{L+1} - x^{L} - N_{L+1} - 1.$$
 (4.17)

As in previous proofs, we see that  $\lambda_L > \lambda_{L+1} \iff g(\lambda_L) > f(\lambda_L) = 0$ .

$$g(\lambda) > f(\lambda) \iff \lambda^{L+1} - \lambda^{L} - N_{L+1} - 1 > \lambda^{L} - \lambda^{L-1} - N_{L} - 1 \iff \lambda^{L+1} - 2\lambda^{L} + \lambda^{L-1} > N_{L+1} - N_{L} \iff \lambda^{L-1} (\lambda - 1)^{2} > N_{L+1} - N_{L}.$$
(4.18)

Note that as  $f(\lambda) = 0$ , we have  $\lambda^{L-1}(\lambda - 1) = N_L + 1$ . Note that  $N_{L+1} - N_L \le (L+2)/2$ , which can be shown by using the definition of  $N_L$  and checking all cases modulo 4. Thus it suffices to show that

$$(N_L + 1)(\lambda_L - 1) \ge \frac{L+2}{2}.$$
 (4.19)

Using the value of  $N_L$ , it suffices to show

$$(\lambda_L - 1) \ge \frac{L + 2}{L^2 + L + 4}. (4.20)$$

The proof of equation 4.20 is just algebra, and is left to Appendix D, as Lemma D.1.

**Lemma 4.18.** For any  $L \in \mathbb{N}$ , let  $\lambda_L$  be the sole positive root of the polynomial

$$p_L(x) = x^L - x^{L-1} - \left\lceil \frac{L(L+1)}{4} \right\rceil - 1.$$
 (4.21)

Then  $\lim_{L\to\infty} \lambda_L = 1$ .

*Proof.* We show that for any  $\varepsilon > 0$ , there exists an M large enough so that for all L > M,  $p_L(1+\varepsilon) > 0$ . As  $p_L(x)$  has only one positive root  $\lambda_L$  and p(x) is positive as  $x \to \infty$ , we see  $p_L(1+\varepsilon) > 0$  implies  $\lambda_L < 1+\varepsilon$ . If this is possible for arbitrary  $\varepsilon$ , then  $\lambda_L \to 1$ , as desired.

Fix an  $\varepsilon > 0$ . For any L, we may write

$$p_L(1+\varepsilon) = (1+\varepsilon)^L - (1+\varepsilon)^{L-1} - \left\lceil \frac{L(L+1)}{4} \right\rceil - 1$$
$$= \sum_{n=0}^L \varepsilon^n \left( \binom{L}{n} - \binom{L-1}{n} \right) - \left\lceil \frac{L(L+1)}{4} \right\rceil - 1, \tag{4.22}$$

where  $\binom{L-1}{L}$  is 0. Using Pascal's rule, we can reduce (4.22) to

$$p_L(1+\varepsilon) = \sum_{n=1}^{L} \varepsilon^n \binom{L-1}{n-1} - \lceil L(L+1)/4 \rceil - 1.$$
(4.23)

This quantity can easily be shown to be positive (and in fact tends towards infinity) for large enough L. For example, we can take the trivial bound

$$\sum_{n=1}^{L} \varepsilon^n \binom{L-1}{n-1} > \varepsilon^4 \binom{L-1}{3},\tag{4.24}$$

as the full sum must be larger than only its fourth summand.

Since  $\varepsilon^4$  is simply a positive constant and  $L(L+1) \ll {L-1 \choose 3}$ , then for large enough L,

$$p_L(1+\varepsilon) > \varepsilon^4 \binom{L-1}{3} - \lceil L(L+1)/4 \rceil - 1 > 0. \tag{4.25}$$

Remark 4.19. Even in the event that Conjecture 4.9 is false, this gives us conclusive proof that we may find incomplete sequences whose roots are arbitrarily close to 1; since 1 is the minimum possible size for the root of a PLRS, this may be interpreted as proof that we may find arbitrarily slow-growing incomplete sequences, with coefficients of any length L.

**Lemma 4.20.** Consider the sequence generated by  $[c_1, \ldots, c_L]$ . For any value  $m \in \mathbb{Z}^+$ , the principal root of  $[c_1, \ldots, c_L + m]$  is greater than that of  $[c_1, \ldots, c_L, m]$ .

*Proof.* Let f(x), g(x), and r, q be the principal roots of  $[c_1, \ldots, c_L + m]$  and  $[c_1, \ldots, c_L, m]$ , respectively. Since f, g each have a unique positive root, we see that  $r > q \iff g(r) > f(r) = 0$ . Note that

$$g(r) > 0 \iff 0 = rf(r) < g(r)$$

$$\iff r \left( r^{L} - \sum_{i=1}^{L} c_{i} r^{L-i} - m \right) < r^{L+1} - \sum_{i=1}^{L} c_{i} r^{L+1-i} - m$$

$$\iff m < rm$$

$$\iff r > 1.$$

$$(4.26)$$

Thus, the inequality always holds, and so r > q, as desired.

**Conjecture 4.21.** Let  $\lambda_L$  be the principal root of  $x^L - x^{L-1} - N_L - 1$ . If the sequence generated by  $[c_1, \ldots, c_L]$  is incomplete with  $\sum_{i=1}^L c_i \leq \lceil L(L+1)/4 \rceil + 2$ , then its principal root is at least  $\lambda_L$ .

We present a partial proof, which addresses all cases except what is denoted as Subcase 2. Partial proof. We use induction.

For L=2,  $N_L=\lceil 2\cdot 3/4\rceil=2$ , and so the coefficients  $[c_1,c_2]$  fulfilling the requirement are of the form  $c_1+c_2\leq 4$ . The incomplete sequences of this form have coefficients [2,1], [2,2], [1,3], and [3,1]. Checking each case directly, we see that their principal roots are approximately 2.414, 2.731, 2.303, and 3.303, respectively. Of these, the root of  $[1,3]=[1,N_2+1]$  is the minimum; thus, the lemma holds for the base case.

Now, suppose the Lemma holds for some value of  $L \geq 2$ ; we show that it holds for L+1. Let  $[c_1, \ldots, c_L, c_{L+1}]$  be an incomplete sequence with  $\sum_{i=1}^{L+1} c_i \leq \lceil (L+1)(L+2)/4 \rceil + 2$ . Case 1:  $\sum_{i=1}^{L} c_i < N_L + 2$ 

If this is the case, the following two subcases arise.

- Subcase 1:  $[c_1, \ldots, c_L]$  is incomplete.
  - If this is the case, then by our inductive hypothesis, since  $\sum_{i=1}^{L} c_i \leq N_L + 2$ , we must have that the principal root r of  $[c_1, \ldots, c_L]$  is greater than or equal to  $\lambda_L$ . Hence, by Lemma 4.16, since the principal root q of  $[c_1, \ldots, c_{L+1}]$  satisfies q > r, we have that  $[c_1, \ldots, c_{L+1}]$  has principal root  $q > \lambda_L$ . Finally, by Lemma 4.17, we know  $\lambda_L > \lambda_{L+1}$ ; thus, we have  $q > r \geq \lambda_L > \lambda_{L+1}$ , and the statement holds in this case.
- Subcase 2:  $[c_1, \ldots, c_L]$  is complete: The proof of this subcase has not been found yet, hence why the statement remains a conjecture.

Case 2:  $\sum_{i=1}^{L} c_i \ge N_L + 2$ : If this inequality holds, then as we have shown using the transformations developed in Lemmas 4.13 and 4.14, this implies that  $[c_1, \ldots, c_L]$  has principal root at least  $\lambda$ . Applying Lemma 4.16, we see the principal root of  $[c_1, \ldots, c_{L+1}]$  is strictly greater, and thus the statement holds in this case.

The results in this section provide us with an efficient way to verify completeness for PLRS's. Namely, for a sequence  $[c_1, \ldots, c_L]$ , we may evaluate its characteristic polynomials at the points  $B_L$  and 2, which provides the following information:

- If p(2) < 0, the sequence is incomplete.
- If  $p(B_L) > 0$ , the sequence is complete.
- If  $p(2) \ge 0$  and  $p(B_L) \le 0$ , then the principal root of the sequence lies in the interval  $[B_L, 2]$ , and so further inquiry is necessary to determine whether it is complete.

Computationally, evaluating a polynomial of degree L is an  $\mathcal{O}(L^2)$  problem; generating a minimum of 2L terms of the sequences and checking Brown's criterion for each, on the other

hand, is a  $\mathcal{O}(2^L)$  problem. Thus, this method—even if inconclusive—provides a fast and efficient method to categorize sequences, and narrows our search to the interesting interval  $[B_L, 2]$ , in which both complete and incomplete sequences arise.

4.3. Denseness of Incomplete Roots. Having narrowed our search for principal roots of complete and incomplete sequences to the interval  $[B_L, 2]$ , it is only natural to ask how the roots of these sequences are distributed throughout the interval.

**Lemma 4.22.** For fixed L > 2 and k > 0, define the three polynomials  $f(x) = x^L - x^{L-1} - k$ ,  $g(x) = x^L - x^{L-1} - (k+1)$ , and  $h(x) = x^L - x^{L-1} - (k+2)$ . Let q, r, s be the sole positive roots of f, g, h respectively, so that 1 < q < r < s. Then,

$$r - q > s - r. \tag{4.27}$$

*Proof.* From the definition, we see that

$$q^{L} - q^{L-1} = k,$$
  
 $r^{L} - r^{L-1} = k + 1,$   
 $s^{L} - s^{L-1} = k + 2.$  (4.28)

Now, define the polynomial  $p(x) = x^L - x^{L-1}$ . Taking first and second derivatives of p, we see  $p'(x) = Lx^{L-1} - (L-1)x^{L-2}$ , and  $p''(x) = L(L-1)x^{L-2} - (L-1)(L-2)x^{L-3}$ . In particular, for all  $x \ge 1$ ,  $p(x) \ge 0$ , p'(x) > 0, and p''(x) > 0.

Thus, p(x) is increasing and convex on  $(1, \infty)$ . By equation (4.28), we have p(r) - p(q) = p(s) - p(r). Thus, as s > r > q > 1, we conclude r - q > s - r, as desired.

**Theorem 4.23.** For any  $L \geq 2$ , let  $R_L$  be the set of roots of all incomplete PLRS's generated by L coefficients. Then, for any  $\varepsilon > 0$ , there exists an M such that for all L > M and for any  $\varepsilon$ -ball  $B_{\varepsilon} \subset (1,2)$ ,  $B_{\varepsilon} \cap R_L \neq \emptyset$ .

*Proof.* Let  $\varepsilon > 0$  be arbitrary. By Lemma 4.18, we may fix an M such that for all L > M,  $1 < \lambda_L < 1 + \varepsilon$ .

From previous work, we know the sequence of length L with coefficients  $[1, 0, ..., 0, \lceil L(L+1)/4 \rceil + 1]$  is incomplete, as is any sequence of the form [1, 0, ..., 0, k], with  $k \ge \lceil L(L+1)/4 \rceil + 1$ .

Note that  $\lambda_L$  is the root of  $[1, 0, \dots, 0, \lceil L(L+1)/4 \rceil + 1]$ . Since  $\lambda_L < 1 + \varepsilon$ , it is clear that the root  $\alpha$  of  $[1, 0, \dots, 0, \lceil L(L+1)/4 \rceil]$  fulfills  $1 < \alpha < \lambda_L$ , and so  $\lambda - \alpha < \varepsilon$ .

Now, we know the sequence  $[1,0,\ldots,0,2^{L-1}]$  has a root of size exactly 2. Applying Lemma 4.22 iteratively, any two sequences  $[1,0,\ldots,0,k]$ ,  $[1,0,\ldots,0,k+1]$  with  $k \geq \lceil L(L+1)/4 \rceil$  and roots q,r must fulfill  $r-q < \lambda_L - \alpha < \varepsilon$ . Thus, any two consecutive sequences  $[1,0,\ldots,0,k]$ ,  $[1,0,\ldots,0,k+1]$  with  $k \geq \lceil L(L+1)/4 \rceil + 1$  have roots with separation less than  $\varepsilon$ , and so the set of roots of sequences of the form  $[1,0,\ldots,0,k]$  with  $\lceil L(L+1)/4 \rceil + 1 \leq k \leq 2^{L-1}$  intercepts any  $\varepsilon$ -ball of (1,2). As this is a subset of  $R_L$ , we are done.

Corollary 4.24. The set of principal roots of incomplete sequences  $R = \bigcup_{L=2}^{\infty} R_L$  is dense in (1,2).

We conjecture that a similar result can be shown about complete roots; however, this proof has proven more difficult, as examples of families of complete sequences are more fragile.

### 5. Open Questions

Here are conjectures and several other questions that future researches could investigate.

- Our results often focus on the final coefficient, such as in Theorems 1.13 and 1.14. Do these results have any analogues for coefficients that are not the last?
- Can Theorem 1.17 be extended to address when q < k?
- Are there other interesting families of PLRS's that can be fully characterized that have entries other than 0 and 1 as coefficients that are not the final coefficient?
- Are Conjectures 3.2 and 3.7 true?
- Is the missing component of the proof of Conjecture 4.9, i.e., Conjecture 4.21 true?

### Appendix A. Brown's Criterion and a Corollary

Here are several proofs of important results for our paper. All results will be restated for the reader's convenience.

**Theorem A.1.** (Brown [Br]) If  $a_n$  is a non-decreasing sequence, then  $a_n$  is complete if and only if  $a_1 = 1$  and for all n > 1,

$$a_{n+1} \le 1 + \sum_{i=1}^{n} a_i. \tag{A.1}$$

*Proof.* Let  $\{a_n\}_{n=1}^{\infty}$  be a sequence of positive integers, not necessarily distinct, such that  $a_1 = 1$  and

$$a_{n+1} \le 1 + \sum_{i=1}^{n} a_i \tag{A.2}$$

for  $n \in \{1, 2, \ldots\}$ . Then for  $0 < n < 1 + \sum_{i=1}^k a_i$  there exists  $\{b_i\}_{i=1}^k$ ,  $b_i \in \{0, 1\}$  such that  $n = \sum_{i=1}^k b_i a_i$ . We proceed by induction on k. The claim obviously holds for k = 1, so one may assume that it holds for k = N. Hence, we must show that  $0 < n < 1 + \sum_{i=1}^{N+1} a_i$  implies the existence of  $\{\gamma_i\}_{i=1}^{N+1}$ ,  $\gamma_i \in \{0, 1\}$  such that  $n = \sum_{i=1}^{N+1} \gamma_i a_i$ . Due to the inductive hypothesis, we only consider values satisfying

$$1 + \sum_{i=1}^{N} a_i \le n < 1 + \sum_{i=1}^{N+1} a_i. \tag{A.3}$$

Note that by assumption,

$$n - a_{N+1} \ge 1 + \sum_{i=1}^{N} a_i - a_{N+1} \ge 0.$$
 (A.4)

Now, if  $n - a_{N+1} = 0$ , the conclusion follows. Otherwise,

$$0 < n - a_{N+1} < 1 + \sum_{i=1}^{N} a_i \tag{A.5}$$

implies the existence of  $\{b_i\}_{i=1}^N$  such that  $n - a_{N+1} = \sum_{i=1}^N b_i a_i$ . Then the result is immediate on transposing  $a_{N+1}$  and identifying  $\gamma_i = b_i$  for  $i \in \{1, ..., N\}$  and  $\gamma_{N+1} = 1$ . This completes the sufficiency part of the proof.

For the necessity, assume that there exists  $n_0 \ge 1$  such that  $a_{n_0+1} \ge 1 + \sum_{i=1}^{n_0} a_i$ . Then, however,

$$a_{n_0+1} > a_{n_0+1} - 1 > \sum_{i=1}^{n_0} a_i,$$
 (A.6)

which implies that the positive integer  $a_{n_0+1}-1$  cannot be represented in the form  $\sum_{i=1}^k b_i a_i$ . This leads to a contradiction and completes the proof.

**Corollary A.2.** If  $a_n$  is a nondecreasing sequence such that  $a_1 = 1$  and  $a_n \leq 2a_{n-1}$  for all  $n \geq 2$ , then  $a_n$  is complete.

*Proof.* We argue by induction on n that  $a_n$  satisfies Brown's criterion when  $n \ge 2$ . As  $a_1 = 1$ , for the base case we have

$$a_2 \le 2a_1 = 2 = a_1 + 1. \tag{A.7}$$

Now assume for inductive hypothesis that for some  $n \geq 2$ ,

$$a_n \le a_{n-1} + \dots + a_1 + 1.$$
 (A.8)

Then

$$a_{n+1} \le 2a_n = a_n + a_n \le a_n + a_{n-1} + \dots + a_1 + 1,$$
 (A.9)

completing the induction.

**Example A.3.** The converse does not hold. A sequence may be complete and have some terms that are larger than the double of the previous term. One such example is the sequence generated by [1,0,1,4], whose terms are  $\{1,2,3,5,11,\ldots\}$ . Here, 11 is more than twice 5, yet the sequence is still complete.

#### APPENDIX B. LEMMAS FOR SECTION 2

**Lemma B.1.** Let  $\{G_n\}$ ,  $\{H_n\}$  be the sequences defined by  $[c_1, \ldots, c_L]$ ,  $[c_1, \ldots, c_L, c_{L+1}]$ , respectively, where  $c_{L+1}$  is any positive integer. For all  $k \geq 2$ ,

$$H_{L+k} - G_{L+k} \ge 2(H_{L+k-1} - G_{L+k-1}).$$
 (B.1)

*Proof.* We use strong induction.

We begin with the base case. First, recall that for all n such that  $1 \leq n \leq L$ , we know  $H_n = G_n$ . Further, note that

$$H_{L+1} = c_1 H_L + \dots + c_L H_1 + 1 = c_1 G_L + \dots + c_L G_1 + 1 = G_{L+1} + 1.$$
 (B.2)

Using this fact, we compute

$$H_{L+2} = c_1 H_{L+1} + c_2 H_L + \dots + c_L H_2 + c_{L+1} H_1$$

$$= c_1 (G_{L+1} + 1) + c_2 G_L + \dots + c_L G_2 + c_{L+1}$$

$$= G_{L+1} + c_1 + c_{L+1}.$$
(B.3)

Thus, we have that

$$H_{L+2} - G_{L+2} = c_1 + c_{L+1} \ge 2 = 2(1) = 2(H_{L+1} - G_{L+1}).$$
 (B.4)

For the inductive step, suppose for some m, the lemma holds for all  $2 \le k \le m-1$ . We wish to show it holds for m, i.e.,

$$H_{L+m} - G_{L+m} \ge 2(H_{L+m-1} - G_{L+m-1}).$$
 (B.5)

Expanding the terms using the recurrence definition, we see

$$H_{L+m} - G_{L+m} \ge 2 (H_{L+m-1} - G_{L+m-1})$$
 (B.6)

which holds if and only if

$$\sum_{i=1}^{L} c_i H_{L+m-i} - \sum_{i=1}^{L} c_i G_{L+m-i} \ge 2 \left( \sum_{i=1}^{L} c_i H_{L+m-1-i} - \sum_{i=1}^{L} c_i G_{L+m-1-i} \right).$$
(B.7)

Note that for all  $i \geq m$ ,  $H_{L+m-i} - G_{L+m-i} = 0$ . We cancel out any such terms on both sides of the inequality above, simplifying to

$$\sum_{i=1}^{\min(m-1,L)} c_i \left( H_{L+m-i} - G_{L+m-i} \right) \ge \sum_{i=1}^{\min(m-1,L)} 2c_i \left( H_{L+m-1-i} - G_{L+m-1-i} \right). \tag{B.8}$$

We encourage the reader to note that for  $m-1 \leq L$  we preserve the term  $2c_{m-1}(H_L - G_L) = 0$  in the right hand side sum, so that both sides of the inequality have the same number of summands.

By our inductive hypothesis, we see that for all i,

$$c_i(H_{L+m-i} - G_{L+m-i}) \ge 2c_i(H_{L+m-1-i} - G_{L+m-1-i}).$$
 (B.9)

Thus, inequality B.8 holds, which completes the proof.

**Lemma B.2.** Consider sequences  $\{G_n\} = [c_1, c_2, \dots, c_L]$  and  $\{H_n\} = [c_1, c_2, \dots, k_L]$ , where  $1 \leq k_L \leq c_L$ . For all  $k \in \mathbb{N}$ ,

$$H_{L+k+1} - 2H_{L+k} \le G_{L+k+1} - 2G_{L+k}. \tag{B.10}$$

*Proof.* We proceed by strong induction on k. For k = 1, we have

$$H_{L+2} - 2H_{L+1} = (c_1 H_{L+1} + c_2 H_L + \dots + k_L H_2) - 2 (c_1 H_L + c_2 H_{L-1} + \dots + k_L H_1)$$

$$= (c_1 H_{L+1} + c_2 G_L + \dots + k_L G_2) - 2 (c_1 G_L + c_2 G_{L-1} + \dots + k_L G_1)$$

$$= G_{L+2} - (G_{L+1} - H_{L+1}) - (2c_L - 2k_L) - 2G_{L+1} - 2 (c_L - k_L)$$

$$\leq G_{L+2} - 2G_{L+1}.$$
(B.11)

Assume the statement holds true for a natural number k. Now, note

$$\begin{split} H_{L+k+2} - 2H_{L+k+1} &= \left(c_1 H_{L+k+1} + c_2 H_{L+k} + \dots + k_L H_{k+2}\right) - 2 \left(c_1 H_{L+k} + c_2 H_{L+k-1} + \dots + k_L H_{k+1}\right) \\ &= c_1 \left(H_{L+k+1} - 2H_{L+k}\right) + c_2 \left(H_{L+k} - 2H_{L+k-1}\right) + \dots + k_L \left(H_{k+2} - 2H_{k+1}\right) \\ &\leq c_1 \left(H_{L+k+1} - 2H_{L+k}\right) + c_2 \left(H_{L+k} - 2H_{L+k-1}\right) + \dots + c_L \left(H_{k+2} - 2H_{k+1}\right). \end{split}$$

By the inductive hypothesis,

$$\leq c_1 \left( G_{L+k+1} - 2G_{L+k} \right) + c_2 \left( G_{L+k} - 2G_{L+k-1} \right) + \dots + c_L \left( G_{k+2} - 2G_{k+1} \right)$$

$$= G_{L+k+2} - 2G_{L+k+1}. \tag{B.12}$$

Therefore, the statement holds by induction.

**Lemma B.3.** Let  $\{G_n\}$  be the sequence defined by  $[c_1, \ldots, c_L]$ , and let  $\{H_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1} + 1, c_L - 1]$ . Then, for all  $k \geq 0$ ,

$$H_{L+k+1} - G_{L+k+1} \ge 2(H_{L+k} - G_{L+k}).$$
 (B.13)

*Proof.* We use strong induction. We begin with the base case. First, since the first L-2 coefficients of  $\{G_n\}, \{H_n\}$  are equivalent, we have that for all  $1 \le n \le L-1$ ,  $G_n = H_n$ . We also see that

$$H_L = c_1 H_{L-1} + \dots + (c_{L-1} + 1) H_1 + 1 = c_1 G_{L-1} + \dots + (c_{L-1} + 1) G_1 + 1 = G_L + G_1 = G_L + 1.$$
(B.14)

Moreover,

$$H_{L+1} = c_1 H_L + \dots + (c_{L-1} + 1) H_2 + (c_L - 1) H_1$$

$$= c_1 (G_L + 1) + \dots + (c_{L-1} + 1) G_2 + (c_L - 1) G_1$$

$$= c_1 + G_2 - G_1 + \sum_{i=1}^{L} c_i G_{L+1-i}$$

$$= c_1 + c_1 + G_{L+1}$$

$$= 2c_1 + G_{L+1}.$$
(B.15)

Thus, we see that

$$H_{L+1} - G_{L+1} = 2c_1 \ge 2 = 2(1) = 2(H_L - G_L),$$
 (B.16)

and so the base case holds.

For the induction step, suppose our lemma holds for all  $0 \le k \le m$ . We wish to show this holds for m+1, so that  $H_{L+m+1} - G_{L+m+1} \ge 2(H_{L+m} - G_{L+m})$ .

Since  $\{G_n\}$  and  $\{H_n\}$  are PLRS, we expand the terms in questions using their respective recurrence relations to see that  $H_{L+m+1} - G_{L+m+1} \ge 2(H_{L+m} - G_{L+m})$  if and only if

$$\sum_{i=1}^{L} c_i H_{L+m+1-i} + H_{m+2} - H_{m+1} - \sum_{i=1}^{L} c_i G_{L+m+1-i}$$

$$\geq 2 \left( \sum_{i=1}^{L} c_i H_{L+m-1} + H_{m+1} - H_m - \sum_{i=1}^{L} c_i G_{L+m-i} \right). \quad (B.17)$$

We note that by the induction hypothesis, we have that for all i,

$$c_i(H_{L+m+1-i} - G_{L+m+1-i}) \ge 2c_i(H_{L+m-i} - G_{L+m-i}).$$
 (B.18)

Moreover, we have that  $H_{m+2} - H_{m+1} \ge H_{m+1} - H_m$ , simply because we know that gaps in a PLRS grow. Combining these two statements, we have that inequality B.17 holds, and so our inductive step is complete.

**Lemma B.4.** Let  $\{G_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1}, 1]$ , and let  $\{H_n\}$  be the sequence defined by  $[c_1, \ldots, c_{L-1} + 1]$ . Then, for all  $k \ge 1$ ,

$$H_{L+k+1} - G_{L+k+1} \ge 2(H_{L+k} - G_{L+k}).$$
 (B.19)

*Proof.* The proof is similar to that of Lemma B.3 and so we repeat our use of strong induction. We begin with the base case. First, since first L-2 coefficients of  $\{G_n\}$ ,  $\{H_n\}$  are equivalent, we have that for all  $1 \le n \le L-1$ ,  $G_n = H_n$ . In fact, even more can be said.  $G_L = H_L$ , as

$$H_L = c_1 H_{L-1} + \dots + (c_{L-1} + 1) H_1 = c_1 G_{L-1} + \dots + (c_{L-1} + 1) G_1 = (G_L - 1) + G_1 = G_L.$$
(B.20)

Hence,

$$H_{L+1} = c_1 H_L + \dots + (c_{L-1} + 1) H_2 = c_1 G_L + \dots + (c_{L-1} + 1) G_2$$
  
=  $G_{L+1} - G_1 + G_2 = G_{L+1} - (1) + (c_1 + 1) = G_{L+1} + c_1$ . (B.21)

And so we see that

$$H_{L+1} - G_{L+1} = c_1 > 0 = 2(H_L - G_L).$$
 (B.22)

For the induction step, suppose for some m that our lemma holds for all  $0 \le k \le m$ . We wish to show this holds for m+1, so that  $H_{L+m+1} - G_{L+m+1} \ge 2(H_{L+m} - G_{L+m})$ .

Since  $\{G_n\}$  and  $\{H_n\}$  are PLRS, we expand the terms in question using their respective recurrence relations. On this basis, we can claim that  $H_{L+m+1} - G_{L+m+1} \ge 2(H_{L+m} - G_{L+m})$ 

if and only if

$$\sum_{i=1}^{L-1} c_i H_{L+m+1-i} + H_{m+2} - \sum_{i=1}^{L-1} c_i G_{L+m+1-i} - G_{m+1}$$

$$\geq 2 \left( \sum_{i=1}^{L-1} c_i H_{L+m-i} + H_m - \sum_{i=1}^{L} c_i G_{L+m-i} - G_{m-1} \right). \quad (B.23)$$

By the induction hypothesis, we have that for all i,

$$c_i H_{L+m+1-i} - c_i G_{L+m+1-i} \ge 2 \left( c_i H_{L+m-i} - G_{L+m-i} \right).$$
 (B.24)

However, we can also show that  $H_{m+2} - G_{m+1} \ge 2 (H_{m+1} - G_m)$ . By rewriting this as  $H_{m+2} - 2H_{m+1} \ge G_{m+1} - 2G_m$ , we see that for  $m \le L - 1$ , both sides are equal. For  $m \ge L + 1$ , it suffices to note that  $\{H_n\}$  grows faster, and thus so must the gaps between consecutive terms. By combining these two observations, the inequality (B.23) holds, which completes the proof.

#### APPENDIX C. LEMMAS FOR SECTION 3

**Lemma C.1.** For the PLRS  $H_{n+1} = H_n + NH_{n-k-1}$ , with  $N = \lceil (k+2)(k+3)/4 \rceil$ , then

$$(N-2)H_{n-k-1} \le H_{n-1} + \dots + H_{n-k}. \tag{C.1}$$

*Proof.* By induction on n. Consider the base case, for n = k + 2:  $H_{n-k-1} = H_1 = 1, H_{n-k} = H_2 = 2, \ldots, H_{n-1} = H_{k+1} = k + 1$ .

$$(N-2) H_{n-k-1} \le H_{n-1} + \dots + H_{n-k} \iff (N-2) \le 2 + 3 + \dots + k + (k+1)$$

$$\iff \left\lfloor \frac{(k+2)(k+3)}{4} + \frac{1}{2} \right\rfloor \le \frac{(k+1)(k+2)}{2} + 1$$

$$\iff \frac{(k+2)(k+3) + 2}{4} \le \frac{k^2 + 3k + 2}{2} + 1$$

$$\iff k^2 + 5k + 8 \le 2k^2 + 6k + 8$$

$$\iff 0 \le k^2 - k. \tag{C.2}$$

Hence, the base case holds for  $k \geq 0$ .

For the induction hypothesis, assume the following holds for arbitrary, fixed n:

$$(N-2)H_{n-k-1} \le H_{n-1} + \dots + H_{n-k}. \tag{C.3}$$

For the induction step, we wish to show the following:

$$(N-2)H_{n-k} \le H_n + \dots + H_{n-k+1}.$$
 (C.4)

We write, using the recurrence relation, that

$$(N-2)H_{n-k+1} = (N-2)H_{n-k} + N(N-2)H_{n-2k-2}.$$

And by the induction hypothesis,

$$(N-2)H_{n-k+1} \le H_{n-1} + \dots + H_{n-k} + N(H_{n-k-2} + \dots + H_{n-2k-1})$$

$$= \sum_{i=1}^{k} H_{n-i} + NH_{n-k-1-i}$$

$$= \sum_{i=1}^{k} H_{n-i+1}.$$
(C.5)

Hence, the claim is true for all  $n \ge k + 1, k \ge 0$ .

**Lemma C.2.** Let  $N = \lceil L(L+1)/4 \rceil$ , and consider the recurrence relation  $[1, c_2, \ldots, c_{L-2}, 0, N]$  where  $c_i = 1$  for one  $i \in \{2, \ldots, L-2\}$ , and the rest are 0. For fixed  $i \in \{2, \ldots, L-2\}$ , and  $L \geq 6$  then  $H_{L-i+1} + (N-2)H_1 \leq H_{L-1} + \cdots + H_2$ .

*Proof.* This is equivalent to showing that

$$H_{L-i+1} + \left\lceil \frac{L(L+1)}{4} \right\rceil \le H_{L-1} + \dots + H_2 + H_1 + 1.$$
 (C.6)

Note that  $i \in \{2, ..., L-2\}$  and recall that each term in the sequence must increase from the previous. So  $H_{L-i+1} \in \{H_{L-1}, ..., H_3\}$ , and the largest possibility is  $H_{L-i+1} = H_{L-1}$  when i = 2. Thus,

$$H_{L-i+1} + \left\lceil \frac{L(L+1)}{4} \right\rceil \le H_{L-1} + \left\lceil \frac{L(L+1)}{4} \right\rceil.$$
 (C.7)

So we need only show that

$$H_{L-1} + \left\lceil \frac{L(L+1)}{4} \right\rceil \le H_{L-1} + \dots + H_2 + H_1 + 1,$$
 (C.8)

which is equivalent to

$$\left\lceil \frac{L(L+1)}{4} \right\rceil \le H_{L-2} + \dots + H_2 + H_1 + 1.$$
 (C.9)

Note that  $H_i \geq i$ , so for  $L \geq 6$ ,

$$H_{L-2} + \dots + H_1 + 1 \ge L - 2 + \dots + 1 + 1$$

$$= \frac{(L-2)(L-1)}{2} + 1$$

$$= \frac{(L-2)(L-1) + 1}{2} + \frac{1}{2}$$

$$\ge \frac{L(L+1)}{4} + \frac{1}{2}$$

$$\ge \left\lceil \frac{L(L+1)}{4} \right\rceil. \tag{C.10}$$

Thus, we have shown equation (C.9), and the proof is complete.

**Lemma C.3.** Let  $N = \lceil L(L+1)/4 \rceil$ , and consider the recurrence relation  $[1, c_2, \ldots, c_{L-2}, 0, N]$  where  $c_i = 1$  for one  $i \in \{2, \ldots, L-2\}$ , and the rest are 0. For fixed  $i \in \{2, \ldots, L-2\}$ , and  $L \geq 6$ , then for any  $n \geq L$ ,  $H_{n-i+1} + (N-2)H_{n-L+1} \leq H_{n-1} + \cdots + H_{n-L+2}$ .

*Proof.* By induction on n. The base case, n = L, was shown in Lemma C.2. For the induction hypothesis, assume the claim in true for fixed n. We wish to show that the claim holds for n + 1.

$$H_{n-i+2} + (N-2)H_{n-L+2} = H_{n-i+1} + H_{n-2i+2} + NH_{n-L-i+2} + (N-2)(H_{n-L+1} + H_{n-L-i+2} + NH_{n-2L+2}).$$

By applying the induction hypothesis.

$$H_{n-i+2} + (N-2)H_{n-L+2} \le (H_{n-1} + \dots + H_{n-L+2}) + (H_{n-i} + \dots + H_{n-L-i+3})$$

$$+ N(H_{n-L} + \dots + H_{n-2L+3})$$

$$= H_n + \dots + H_{n-L+3}.$$
(C.11)

Hence, the claim is true for all  $n \geq L$ .

**Lemma C.4.** For the PLRS  $\{H_n\}$  generated by [1, 1, 0, ..., 0, N], if k is the number of zeros and  $N = \lfloor (F_{k+6} - (k+5))/4 \rfloor$ , then

$$(N-2)H_{n-k-2} \le H_{n-2} + \dots + H_{n-k-1}.$$
 (C.12)

*Proof.* In a similar manner to Lemma C.1, the statement is proved by induction on n.

For the base case, n = k+3, so that  $H_{n-k-2} = H_1$  and  $\{H_{n-1}, \dots, H_{n-k-1}\} = \{H_{k+2}, \dots, H_2\}$ . Hence

$$(N-2)H_{1} \leq H_{k+2} + \dots + H_{2} \iff (N-2) \leq (F_{k+3} - 1) + \dots + (F_{3} - 1)$$

$$\iff (N-2) \leq \sum_{i=1}^{k+3} F_{i} - (F_{1} + F_{2} + (k+1))$$

$$\iff \left\lfloor \frac{F_{k+6} - (k+5)}{4} \right\rfloor \leq \frac{F_{k+6} - (k+5)}{4} \leq F_{k+5} - (k+4)$$

$$\iff F_{k+6} - (k+5) \leq 4(F_{k+5} - (k+4))$$

$$\iff F_{k+5} + F_{k+4} \leq 4F_{k+5} - 3k + 11$$

$$\iff 3k + 11 \leq 3F_{k+5} - F_{k+4}$$

$$\iff 3k + 11 \leq 2F_{k+4} + 3F_{k+3}, \tag{C.13}$$

where the last line is true for all  $k \geq 0$  by induction on k. Now, suppose the statement is true for some  $n \geq k+3$ , so that  $(N-2)H_{n-k-2} \leq H_{n-2} + \cdots + H_{n-k-1}$ . Thus, for the inductive step, note using the recursive definition that

$$(N-2)H_{n-k-1} = (N-2)H_{n-k-2} + (N-2)H_{n-k-3} + N(N-2)H_{n-2k-4}.$$
 (C.14)

By the inductive hypothesis,

$$(N-2)H_{n-k-1} \le H_{n-2} + \dots + H_{n-k-1} + (N-2)H_{n-k-3} + N(N-2)H_{n-2k-4}$$

$$\le \sum_{i=n-k}^{n-2} H_i + H_{n-k-1} + (N-2)H_{n-k-3} + N(N-2)H_{n-2k-4}. \tag{C.15}$$

Note for all positive k we have that  $n-k-1 \le n-2$  as well as n-k-3 < n-3 and n-2k-4 < n-k-3. Since the sequence is non-decreasing and N is a positive integer,

$$(N-2)H_{n-k-1} \le \sum_{i=n-k+1}^{n-2} H_i + NH_{n-2} + NH_{n-3} + N(N-2)H_{n-k-3} = \sum_{i=n-k}^{n-1} H_i, \quad (C.16)$$

which completes the induction on n.

**Lemma C.5.**  $\sum_{i=1}^{n} 2^{i-1}i = 2^{n}(n-1) + 1$ .

*Proof.* By induction on n.

**Lemma C.6.** Define  $\{F_n\} = [\underbrace{1, \dots, 1}_g]$  and  $\{H_n\} = [\underbrace{1, \dots, 1}_g, \underbrace{0, \dots, 0}_k, 2^{k+1} - 1]$ . Then  $H_{g+k+1+n} = F_{g+k+1+n} + (2^{k+1} - 1)(2^n + 2^{n-2}(n-1))$  when  $1 \le n \le g - k$ .

*Proof.* Define a(n) so that  $H_{g+k+1+n} = F_{g+k+1+n} + a(n)$  for  $1 \le n \le g-k$  Now,

$$H_{g+k+1+n} = H_{g+k+n} + \dots + H_{k+1+n} + (2^{k+1} - 1)H_n$$

$$= \sum_{i=k+1+n}^{g+k+n} F_i + \sum_{i=1}^{n-1} a(i) + \sum_{i=1}^{k+1} 2^{i-1} + (2^{k+1} - 1)2^{n-1}$$
(C.17)

(since  $k+1+n \leq g+1$ ,  $(H_i-F_i)$  spans all the indices from  $k+1+n \leq g+1$  to g+k+n)

$$= F_{g+k+n+1} + \sum_{i=1}^{n-1} a(i) + (2^{k+1} - 1)(2^{n-1} + 1)$$
 (C.18)

Therefore,

$$a(n) = \sum_{i=1}^{n-1} a(i) + (2^{k+1} - 1)(2^{n-1} + 1)$$
 (C.19)

and

$$a(n-1) = \sum_{i=1}^{n-2} a(i) + (2^{k+1} - 1)(2^{n-2} + 1).$$
 (C.20)

Hence

$$a(n) = 2a(n-1) + (2^{k+1} - 1)(2^{n-2}).$$
 (C.21)

Since  $a(1) = 2(2^{k+1} - 1)$ , by induction we have

$$a(n) = (2^{k+1} - 1)(2^n + 2^{n-2}(n-1)).$$
 (C.22)

**Lemma C.7.** Define  $\{F_n\} = [\underbrace{1, \dots, 1}_q]$  and  $\{H_n\} = [\underbrace{1, \dots, 1}_q, \underbrace{0, \dots, 0}_k, 2^{k+1} - 1]$ . Then  $F_{g+n} = [\underbrace{1, \dots, 1}_q]$ 

 $2^{g+n-1} - 2^{n-2}(n+1)$  when  $1 \le n \le g$ .

*Proof.* Set  $F_{g+n} = 2^{g+n-1} - a(n)$  for  $1 \le n \le g$ . Then

$$F_{g+n} = F_{g+n-1} + \dots + F_n$$

$$= 2^{g+n-2} + 2^{g+n-3} + \dots + 2^{n-1} - (a(n-1) + \dots + a(1))$$

$$= 2^{g+n-1} - \left(2^{n-1} + \sum_{i=1}^{n-1} a(i)\right)$$
(C.23)

Therefore,

$$a(n) = 2^{n-1} + \sum_{i=1}^{n-1} a(i)$$
 (C.24)

and

$$a(n-1) = 2^{n-2} + \sum_{i=1}^{n-2} a(i).$$
 (C.25)

Hence

$$a(n) = 2^{n-1} + 2a(n-1) - 2^{n-2} = 2a(n-1) + 2^{n-2}.$$
 (C.26)

Since a(1) = 1, by induction, we have  $a(n) = 2^{n-2}(n+1)$ .

**Lemma C.8.** For  $k + \lceil \log_2 k \rceil \le g < 2k$ ,  $\{H_n\}$  defined as  $[\underbrace{1, \ldots, 1}_q, \underbrace{0, \ldots, 0}_q, 2^{k+1} - 1]$ , we have

$$2^{g+k+1} - \sum_{i=k+n+2}^{g+k+1} H_i \le 2^g + 2^{k+n+2} - 2^{n+1}$$
(C.27)

for all  $g - k \le n \le k$ .

*Proof.* By induction on n. Suppose it holds for some  $n \geq g - k$ . Then

$$2^{g+k+1} - \sum_{i=k+n+3}^{g+k+1} H_i = 2^{g+k+1} - \sum_{i=k+n+2}^{g+k+1} H_i + H_{k+n+2}.$$

By the induction hypothesis,

$$2^{g+k+1} - \sum_{i=k+n+3}^{g+k+1} H_i \le 2^g + 2^{k+n+2} - 2^{n+1} + H_{k+n+2}.$$

As we can check explicitly that  $H_{k+n+2} \leq 2^{k+n+1} \leq 2^{k+n+2} - 2^{n+1}$ , we see

$$2^{g+k+1} - \sum_{i=k+n+3}^{g+k+1} H_i \le 2^g + 2^{k+n+2} - 2^{n+1} + (2^{k+n+2} - 2^{n+1})$$

$$= 2^g + 2^{k+n+3} - 2^{n+2}.$$
(C.28)

It remains to show for the base case n = g - k. This can be shown directly from the given formulas in Theorem 3.5.

**Lemma C.9.** For  $\{H_n\}$  defined as in Lemma C.8 and the same conditions on g and k, we have

$$H_{(g+k+1)+n} \ge (2^{k+1} - 1)(2^{g+n-1} - 2^{n-2}(n+1))$$
 (C.29)

for all  $1 \le n \le k$ .

*Proof.* This is equivalent to showing that

$$2^{g+k+n} - H_{(g+k+1)+n} \le 2^{g+n-1} + 2^{k+n-1}(n+1) - 2^{n-2}(n+1) \text{ for all } 1 \le n \le k, \quad \text{(C.30)}$$

which we proceed by strong induction on n. The case  $1 \le n \le g - k$  has been established in Theorem 3.5, so we suppose this holds for all  $n \le m$  for some  $g - k \le m < k$ . Then

$$\begin{split} 2^{g+k+(m+1)} - H_{(g+k+1)+(m+1)} \\ &= 2^{g+k+m+1} - \left(\sum_{i=1}^m H_{(g+k+1)+i} + \sum_{i=k+m+2}^{g+k+1} H_i + (2^{k+1} - 1)H_{m+1}\right) \\ &= \sum_{i=1}^m \left(2^{g+k+i} - H_{(g+k+1)+i}\right) + \left(2^{g+k+1} - \sum_{i=k+m+2}^{g+k+1} H_i\right) - (2^{k+1} - 1)2^m. \end{split}$$

By the inductive hypothesis and Lemma C.8,

$$\leq \sum_{i=1}^{m} \left( 2^{g+i-1} + 2^{k+i-1}(i+1) - 2^{i-2}(i+1) \right) + \left( 2^{g} + 2^{k+m+2} - 2^{m+1} \right) - (2^{k+1} - 1)2^{m} \\
= 2^{g+(m+1)-1} + 2^{k+(m+1)-1}((m+1)+1) - 2^{(m+1)-2}((m+1)+1).$$
(C.31)

Our proof by induction is complete.

**Lemma C.10.** The sequence generated by  $[1, \ldots, 1, 0, 3]$ , with  $k \geq 1$  ones, is always complete.

*Proof.* By strong induction on n.

For the base case, with n = 1, we have  $H_2 = H_1 + 1$ .

For the induction hypothesis, assume that for some n, Brown's Criterion holds for all m < n, i.e., assume  $H_{m+1} \le 1 + H_1 + \cdots + H_m$  for all m < n.

For the induction step, we start with the recurrence relation and apply the induction hypothesis:

$$H_{n+1} = H_n + \dots + H_{n-k+1} + 3H_{n-k-1}$$

$$\leq H_n + \dots + H_{n-k+1} + H_{n-k} + 2H_{n-k-1}$$

$$\leq H_n + \dots + H_{n-k+1} + H_{n-k} + H_{n-k-1} + H_{n-k-2} + \dots + H_1 + 1. \tag{C.32}$$

Hence, by Brown's Criterion, the sequence is complete. By strong induction, the lemma is proved.  $\Box$ 

**Lemma C.11.** Let  $\{H_n\}$  defined by  $[1,0,\ldots,0,\underbrace{1,\ldots,1}_m,N]$  be a PLRS with L coefficients.

Then, if the sequence is incomplete, it must fail Brown's criterion at the L+1-th or L+2-th term. In other words, if  $H_{L+1} \leq 1 + \sum_{i=1}^{L} H_i$  and  $H_{L+2} \leq 1 + \sum_{i=1}^{L+1} H_i$ , then  $\{H_n\}$  is complete.

*Proof.* Let  $\{H_n\}$  be defined as above; it is clear that the first L terms pass Brown's criterion. Now suppose the sequence passes Brown's criterion at the L+1-ist and L+2-nd term, so that

$$H_{L+1} \le \sum_{i=1}^{L} H_i + 1, \quad H_{L+2} \le \sum_{i=1}^{L+1} H_i + 1.$$
 (C.33)

We show that  $\{H_n\}$  is complete.

We show by induction that if  $\{H_n\}$  satisfies Brown's criterion at the L+2nd term, then it satisfies Brown's criterion at the L+kth term, for any  $2 \le k \le L-1$ . We assume our base case of k=2 by hypothesis, so only the induction step remains to be shown.

Suppose for some k that

$$H_{L+k} = H_{L+k-1} + H_{k+m} + \dots + H_{k+1} + NH_k \le \sum_{i=1}^{L+k-1} H_i + 1.$$
 (C.34)

We wish to show that

$$H_{L+k+1} = H_{L+k} + H_{k+m+1} + \dots + H_{k+2} + NH_{k+1} \le \sum_{i=1}^{L+k} H_i + 1.$$
 (C.35)

Looking at the difference between equations (C.34) and (C.35), we see it suffices to show that

$$(H_{L+k} - H_{L+k-1}) + N(H_{k+1} - H_k) + H_{k+m+1} - H_{k+1} \le H_{L+k}.$$
 (C.36)

Or equivalently,

$$N(H_{k+1} - H_k) + H_{k+m+1} - H_{k+1} \le H_{L+k-1}.$$
 (C.37)

Expanding  $H_{L+k-1}$ ,

$$H_{L+k-1} = H_{L+k-2} + H_{k+m-1} + \dots + H_k + NH_{k-1}. \tag{C.38}$$

We can repeatedly expand the largest term of expression (C.38), giving us longer partial sums. In particular, applying the process k times, we see:

$$H_{L+k-1} = H_{L+k-2} + \sum_{i=k}^{k+m-1} H_i + NH_{k-1}$$

$$= \left(H_{L+k-3} + \sum_{i=k-1}^{k+m-2} H_i + NH_{k-2}\right) + \sum_{i=k}^{k+m-1} H_i + NH_{k-1}$$

$$= \left(H_{L+k-3} + \sum_{i=k-2}^{k+m-3} H_i + NH_{k-3}\right) + \sum_{i=k-1}^{k+m-2} H_i + \sum_{i=k}^{k+m-1} H_i + N(H_{k-1} + H_{k-2})$$

$$\vdots$$

$$= H_{L-1} + \sum_{i=1}^{m} H_i + \dots + \sum_{i=k}^{k+m-1} H_i + N(H_{k-1} + H_{k-2} + \dots + H_1)$$

$$= H_{L-1} + \sum_{i=1}^{k} \sum_{i=k-1}^{a+m-1} H_i + N\left(\sum_{i=k-1}^{k-1} H_i\right). \tag{C.39}$$

Thus inequality (C.37) becomes

$$N(H_{k+1} - H_k - H_{k-1} - \dots - H_2 - H_1) + H_{k+m+1} \le H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i. \quad (C.40)$$

Assuming k < L, we can write  $H_{k+1} = H_k + H_{k-L+m+1} + \cdots + H_{k-L+2}$ , where for the sake of notation we define  $H_0 = 1$ , and  $H_j = 0$  for all j < 0. Thus,

$$N(H_{k+1} - H_k - H_{k-1} - \dots - H_2 - H_1) = -N(H_{k-1} + H_{k-2} + \dots + H_{k-L+m+2})$$

$$< -NH_{k-1},$$
(C.41)

and so for inequality (C.40) it suffices to show

$$H_{k+m+1} \le H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i + NH_{k-1}.$$
 (C.42)

Expanding the left hand side, we wish to show

$$H_{k+m+1} = H_{k+m} + H_{k-L+2m+1} + \dots + H_{k-L+1} + NH_{k-L+m}$$

$$\leq H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i + NH_{k-1}. \tag{C.43}$$

As  $k - L + m \le k - 3 < k - 1$ , we see  $NH_{k-L+m} < NH_{k-1}$ , and so we need only show

$$H_{k+m} + H_{k-L+2m+1} + \dots + H_{k-L+1} \le H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i.$$
 (C.44)

As  $k \geq 2$ , we note that in the double sum  $\sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i$ , the summands  $H_1, H_{k+m-1}$  are present exactly once, and for any 1 < i < k+m-1, the summand  $H_i$  is present at least twice. Thus we can take the crude bound

$$\sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i \ge \sum_{i=1}^{k+m-1} H_i + \sum_{i=2}^{k+m-2} H_i.$$
 (C.45)

Applying this bound on the right hand side of inequality (C.44), and taking the trivial bounds  $H_{L-1} > H_1 + 1$ ,  $H_{k+1} > 1$ , we see

$$H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i \ge \left(\sum_{i=1}^{k+m-1} H_i + 1\right) + \left(\sum_{i=1}^{k+m-2} H_i + 1\right). \tag{C.46}$$

As we assumed  $\{H_n\}$  fulfills Brown's criterion for terms below L+k, we know

$$H_{k+m} \le \sum_{i=1}^{k+m-1} H_i + 1.$$
 (C.47)

Finally, it is clear that

$$H_{k-L+2m+1} + \dots + H_{k-L+1} \le \sum_{i=1}^{k+m-2} H_i + 1,$$
 (C.48)

as no indices on the left sum are repeated. Combining these two facts, we have

$$H_{k+m} + H_{k-L+2m+1} + \dots + H_{k-L+1} \le \left(\sum_{i=1}^{k+m-1} H_i + 1\right) + \left(\sum_{i=1}^{k+m-2} H_i + 1\right)$$

$$\le H_{L-1} + H_{k+1} + \sum_{a=1}^{k} \sum_{i=a}^{a+m-1} H_i. \tag{C.49}$$

Thus inequality (C.44) holds, and we are done.

**Lemma C.12.** Let  $\{G_n\}$  and  $\{H_n\}$  be PLRS with L coefficients defined by  $[1, 0, \dots, 0, \underbrace{1, \dots, 1}_{m}, M]$  and  $[1, 0, \dots, 0, \underbrace{1, \dots, 1}_{m+1}, N]$  respectively. For  $(L-1)/2 \le m \le L-4$ ,

$$\begin{cases}
H_{i-1} = G_i - 1 & 2 \le i < 2(L - m) \\
H_{i-1} = G_i & i = 2(L - m) \\
H_{i-1} > G_i & 2(L - m) < i \le L.
\end{cases}$$
(C.50)

*Proof.* From the proof of Lemma 3.10, we get

$$\begin{cases}
H_n = n, H_{(L-m-1)+n} = L - m - 1 + n + \frac{n(n+1)(n+2)}{6} & 1 \le n \le L - m - 1 \\
G_n = n, G_{L-m+n} = L - m + n + \frac{n(n+1)(n+2)}{6} & 1 \le n \le L - m.
\end{cases}$$
(C.51)

From these explicit formulas,  $H_{i-1} = G_i - 1$  for all  $2 \le i \le 2(L-m) - 1$ . Now,

$$H_{2(L-m)-1} = H_{2(L-m-1)} + H_{L-m} + \sum_{i=1}^{L-m-1} H_i + 1$$

$$= 2(L-m-1) + \frac{(L-m-1)(L-m)(L-m+1)}{6} + 1 + \sum_{i=1}^{L-m-i} G_i + 1$$

$$= 2(L-m) - 1 + \frac{(L-m-1)(L-m)(L-m+1)}{6} + \sum_{i=1}^{L-m-i} G_i + 1$$

$$= G_{2(L-m)-1} + \sum_{i=1}^{L-m-i} G_i + 1$$

$$= G_{2(L-m)}. \tag{C.52}$$

Similarly, by writing out explicit formulas, one can show that  $H_{2(L-m)} > G_{2(L-m)+1}$ . Also, it is clear that  $H_i \geq G_i$  for all i. Therefore, for any  $2(L-m)+1 < k \leq L$ ,

$$H_{k-1} - G_k = (H_{k-2} - G_{k-1}) + \sum_{i=1}^{k-(L-m)} (H_i - G_i)$$

$$\geq H_{k-2} - G_{k-1}, \tag{C.53}$$

and the last inequality follows by induction on k.

**Lemma C.13.** If m + 3 < 2(L - m) and  $m \ge (L - 1)/2$ , then

$$2m - L + \sum_{i=L-m+2}^{m+1} i \ge 2L - 3(m+1) + 2. \tag{C.54}$$

*Proof.* This is equivalent to

$$\frac{(m+1)(m+2)}{2} - \frac{(L-m+1)(L-m+2)}{2} \ge 3L - 5m - 1,\tag{C.55}$$

which simplifies to

$$L(2m-L) + 16m + 2 \ge 8L,$$
 (C.56)

which is true since L(2m-L) > 6 and  $2m+1 \ge L$ .

#### APPENDIX D. LEMMAS FOR SECTION 4

**Lemma D.1.** For any  $L \in \mathbb{Z}^+$ , let  $\lambda_L$  be the principal root of  $x^L - x^{L-1} - N_L - 1$ . Then

$$(\lambda_L - 1) \ge \frac{L+2}{L^2 + L + 4}.$$
 (D.1)

*Proof.* Since  $1/(L^2 + L + 4) > 1/(L^2 + 4L + 4) = 1/(L + 2)^2$ , it suffices to show

$$(\lambda_L - 1) \ge \frac{L+2}{L^2 + 4L + 4} = \frac{1}{L+2},$$
 (D.2)

or equivalently,  $\lambda_L \geq (L+3)/(L+2)$ . This inequality holds if and only if  $f((L+3)/(L+2)) \leq 0$ , i.e.,

$$\left(\frac{L+3}{L+2}\right)^{L} - \left(\frac{L+3}{L+2}\right)^{L-1} - \left\lceil \frac{L(L+1)}{4} \right\rceil - 1 \le 0,$$
(D.3)

or,

$$\frac{(L+3)^{L-1}}{(L+2)^L} \le \left\lceil \frac{L(L+1)}{4} \right\rceil + 1. \tag{D.4}$$

It can be checked that for all  $L \geq 1$ , a stronger condition holds, that

$$\frac{(L+3)^{L-1}}{(L+2)^L} < 1,\tag{D.5}$$

completing the proof.

### References

- [BBGILMT] Olivia Beckwith, Amanda Bower, Louis Gaudet, Rachel Insoft, Shiyu Li, Steven J. Miller, and Philip Tosteson. The Average Gap Distribution for Generalized Zeckendorf Decompositions, Fibonacci Quarterly 51 (2013), 13–27.
- [BHLLMT] Elżbieta Bołdyriew, John Haviland, Phúc Lâm, John Lentfer, Steven J. Miller, and Fernando Trejos Suárez. *Introduction to Completeness of Positive Linear Recurrence Sequences*, Fibonacci Quarterly, to appear (2020).
- [Br] J. L. Brown. Note on complete sequences of integers, American Mathematical Monthly 68 (1961), no. 6, 557.
- [Fr] Aviezri S. Fraenkel, Systems of numeration, American Mathematical Monthly 92 (1985), no. 2, 105-114.
- [GT] P. J. Grabner and R. F. Tichy, Contributions to digit expansions with respect to linear recurrences, J. Number Theory 36 (1990), no. 2, 160-169.
- [GM] Daniele A. Gewurz and Francesca Merola, Numeration and enumeration, European Journal of Combinatorics 32 (2012), no. 7, 1547–1556.
- [HK] V. E. Hoggatt and C. King, problem E 1424, American Mathematical Monthly **67** (1960), no. 6, 593.
- [MMMMS] Thomas C. Martinez, Steven J. Miller, Clay Mizgerd, Jack Murphy, and Chenyang Sun. Generalizing Zeckendorf's Theorem to Homogeneous Linear Recurrences II, Fibonacci Quarterly, to appear (2020).
- [MW] S. J. Miller and Y. Wang, From Fibonacci numbers to Central Limit Type Theorems, Journal of Combinatorial Theory, Series A 119 (2012), no. 7, 1398–1413.
- [Ze] E. Zeckendorf, Représentation des nombres naturels par une somme des nombres de Fibonacci ou de nombres de Lucas, Bulletin de la Société Royale des Sciences de Liège 41 (1972), 179-182.

MSC2020: 11B39

### COMPLETENESS OF PLRS

Email address: eboldyriew@colgate.edu

Department of Mathematics, Colgate University, Hamilton, NY 13346

Email address: havijw@umich.edu

Department of Mathematics, University of Michigan, Ann Arbor, MI 48109

 $Email\ address: {\tt plam6@u.rochester.edu}$ 

Department of Mathematics, University of Rochester, Rochester, NY 14627

Email address: jlentfer@hmc.edu

DEPARTMENT OF MATHEMATICS, HARVEY MUDD COLLEGE, CLAREMONT, CA 91711

 ${\it Email~address} : \verb|sjm1@williams.edu|, Steven.Miller.MC.96@aya.yale.edu|$ 

Department of Mathematics and Statistics, Williams College, Williamstown, MA 01267

 $Email\ address {\tt : fernando.trejos@yale.edu}$ 

DEPARTMENT OF MATHEMATICS, YALE UNIVERSITY, NEW HAVEN, CT 06520