

Results on $GL(2)$ L -Functions: Biases in Coefficients and Gaps Between Zeros

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Gaps between Critical Zeros

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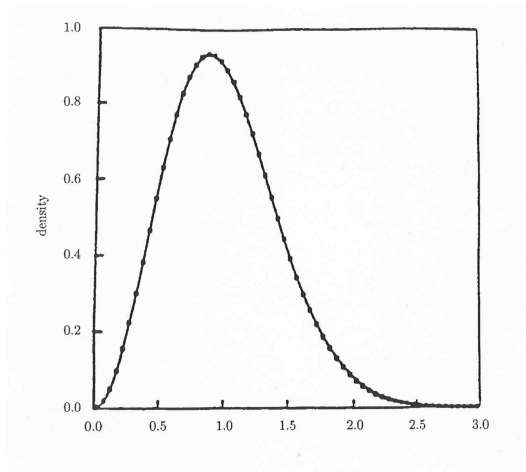
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The Random Matrix Theory Connection

Philosophy: Critical-zero statistics of L -functions agree with eigenvalue statistics of large random matrices.

- Montgomery - pair-correlations of zeros of $\zeta(s)$ and eigenvalues of the Gaussian Unitary Ensemble.
- Hejhal, Rudnick and Sarnak - Higher correlations and automorphic L -functions.
- Odlyzko - further evidence through extensive numerical computations.

Consecutive Zero Spacings



Consecutive zero spacings of $\zeta(s)$ vs. GUE predictions (Odlyzko).

Large Gaps between Zeros

Let $0 \leq \gamma_1 \leq \gamma_2 \leq \cdots \leq \gamma_i \leq \cdots$ be the ordinates of the critical zeros of an L -function.

Conjecture

Gaps between consecutive zeros that are arbitrarily large, relative to the average gap size, appear infinitely often.

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$$\text{Letting } \Lambda = \limsup_{n \rightarrow \infty} \frac{\gamma_{n+1} - \gamma_n}{\text{average spacing}},$$

this conjecture is equivalent to $\Lambda = \infty$.

- Best unconditional result for the Riemann zeta function is $\Lambda > 2.69$.

Degree 2 Case

Higher degree L -functions are mostly unexplored.

Theorem (Turnage-Butterbaugh '14)

Let $T \geq 2$, $\varepsilon > 0$, $\zeta_K(s)$ the Dedekind zeta function attached to a quadratic number field K with discriminant d with $|d| \leq T^\varepsilon$, and $\mathcal{S}_T := \{\gamma_1, \gamma_2, \dots, \gamma_N\}$ be the distinct zeros of $\zeta_K(\frac{1}{2} + it, f)$ in the interval $[T, 2T]$. Let κ_T denote the maximum gap between consecutive zeros in \mathcal{S}_T . Then

$$\kappa_T \geq \sqrt{6} \frac{\pi}{\log \sqrt{|d|} T} (1 + O(d^\varepsilon \log T)^{-1}).$$

- Assuming GRH, this means $\Lambda \geq \sqrt{6} \approx 2.449$.

A Lower Bound on Large Gaps

We proved the following unconditional theorem for an L -function associated to a holomorphic cusp form f on $GL(2)$.

Theorem (BMMRTW '14)

Let $\mathcal{S}_T := \{\gamma_1, \gamma_2, \dots, \gamma_N\}$ be the set of distinct zeros of $L\left(\frac{1}{2} + it, f\right)$ in the interval $[T, 2T]$. Let κ_T denote the maximum gap between consecutive zeros in \mathcal{S}_T . Then

$$\kappa_T \geq \frac{\sqrt{3}\pi}{\log T} \left(1 + O\left(\frac{1}{c_f}(\log T)^{-\delta}\right) \right),$$

where c_f is the residue of the Rankin-Selberg convolution $L(s, f \times \bar{f})$ at $s = 1$.

Assuming GRH, there are infinitely many normalized gaps between consecutive zeros at least $\sqrt{3}$ times the mean spacing, i.e.,

$$\Lambda \geq \sqrt{3} \approx 1.732.$$

An Upper Bound on Small Gaps

Theorem (BMMRTW '14)

L in Selberg class primitive of degree m_L . Assume GRH for $\log L(s) = \sum_{n=1}^{\infty} b_L(n)/n^s$, $\sum_{n \leq x} |b_L(n) \log n|^2 = (1 + o(1))x \log x$. Have a computable nontrivial upper bound on μ_L (liminf of smallest average gap) depending on m_L .

m_L	upper bound for μ_L
1	0.606894
2	0.822897
3	0.905604
4	0.942914
5	0.962190
\vdots	\vdots

($m_L = 1$ due to Carneiro, Chandee, Littmann and Milinovich).

Key idea: use pair correlation analysis.

Results on Gaps and Shifted Second Moments

Shifted Moment Result

To prove our theorem, use a method due to R.R. Hall and the following shifted moment result.

Theorem (BMMRTW '14)

$$\int_T^{2T} L\left(\frac{1}{2} + it + \alpha, f\right) L\left(\frac{1}{2} - it + \beta, f\right) dt$$

$$= c_f T \sum_{n \geq 0} \frac{(-1)^n 2^{n+1} (\alpha + \beta)^n (\log T)^{n+1}}{(n+1)!} + O\left(T(\log T)^{1-\delta}\right),$$

where $\alpha, \beta \in \mathbb{C}$ and $|\alpha|, |\beta| \ll 1/\log T$.

Key idea: differentiate wrt parameters, yields formulas for integrals of products of derivatives.

Shifted Moments Proof Technique

- Approximate functional equation:

$$L(s + \alpha, f) = \sum_{n \geq 1} \frac{\lambda_f(n)}{n^{s+\alpha}} e^{-\frac{n}{X}} + F(s) \sum_{n \leq X} \frac{\lambda_f(n)}{n^{1-s-\alpha}} + E(s),$$

where $\lambda_f(n)$ are the Fourier coefficients of $L(s, f)$, $F(s)$ is a functional equation term, and $E(s)$ is an error term.

- We have an analogous expression for $L(1 - s + \beta, f)$.

Shifted Moments Proof Technique

- Analyze product

$$L(s + \alpha, f)L(1 - s + \beta, f),$$

where each factor gives rise to four products (so sixteen products to estimate).

- Use a generalization of Montgomery and Vaughan's mean value theorem and contour integration to estimate product and compute the resulting moments.

Shifted Moment Result for Derivatives

- Shifted moment result yields lower order terms and moments of derivatives of L -functions by differentiation and Cauchy's integral formula.
- Derive an expression for

$$\int_T^{2T} L^{(\mu)}\left(\frac{1}{2} + it, f\right) L^{(\nu)}\left(\frac{1}{2} - it, f\right) dt,$$

where $T \geq 2$ and $\mu, \nu \in \mathbb{Z}^+$. Use this in Hall's method to obtain the lower bound stated in our theorem.

- Need $(\mu, \nu) \in \{(0, 0), (1, 0), (1, 1)\}$; other cases previously done (Good did $(0, 0)$ and Yashiro did $\mu = \nu$).

Modified Wirtinger Inequality

Using Hall's method, we bound the gaps between zeroes. This requires the following result, due to Wirtinger and modified by Bredberg.

Lemma (Bredberg)

Let $y : [a, b] \rightarrow \mathbb{C}$ be a continuously differentiable function and suppose that $y(a) = y(b) = 0$. Then

$$\int_a^b |y(x)|^2 dx \leq \left(\frac{b-a}{\pi} \right)^2 \int_a^b |y'(x)|^2 dx.$$

Proving our Result

- For ρ a real parameter to be determined later, define

$$g(t) := e^{i\rho t \log T} L\left(\frac{1}{2} + it, f\right),$$

Fix f and let $\tilde{\gamma}_f(k)$ denote an ordinate zero of $L(s, f)$ on the critical line $\Re(s) = \frac{1}{2}$.

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- $g(t)$ has same zeros as $L(s, f)$ (at $t = \tilde{\gamma}_f(k)$). Use in the modified Wirtinger's inequality.

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- $g(t)$ has same zeros as $L(s, f)$ (at $t = \tilde{\gamma}_f(k)$). Use in the modified Wirtinger's inequality.
- For adjacent zeros have

$$\sum_{n=1}^{N-1} \int_{\tilde{\gamma}_f(n)}^{\tilde{\gamma}_f(n+1)} |g(t)|^2 dt \leq \sum_{n=1}^{N-1} \frac{\kappa_T^2}{\pi^2} \int_{\tilde{\gamma}_f(n)}^{\tilde{\gamma}_f(n+1)} |g'(t)|^2 dt.$$

- Summing over zeros with $n \in \{1, \dots, N\}$ and trivial estimation yields integrals from T to $2T$.

Proving our Result

- $|g(t)|^2 = |L(1/2 + it, f)|^2$ and

$$|g'(t)|^2 = |L'(1/2 + it, f)|^2 + \rho^2 \log^2 T \cdot |L(1/2 + it, f)|^2 \\ + 2\rho \log T \cdot \operatorname{Re} \left(L'(1/2 + it, f) \overline{L(1/2 + it, f)} \right).$$

- Apply sub-convexity bounds to $L(1/2 + it, f)$:

$$\int_T^{2T} |g(t)|^2 dt \leq \frac{\kappa_T^2}{\pi^2} \int_T^{2T} |g'(t)|^2 dt + O \left(T^{\frac{2}{3}} (\log T)^{\frac{5}{6}} \right).$$

- As $g(t)$ and $g'(t)$ may be expressed in terms of $L(\frac{1}{2} + it, f)$ and its derivatives, can write our inequality explicitly in terms of formula given by our mixed moment theorem.

Finishing the Proof

- After substituting our formula, we have

$$\frac{\kappa_T^2}{\pi^2} \geq \frac{3}{3\rho^2 - 6\rho + 4} (\log T)^{-2} (1 + O(\log T)^{-\delta}).$$

- The polynomial in ρ is minimized at $\rho = 1$, yielding

$$\kappa_T \geq \frac{\sqrt{3}\pi}{\log T} \left(1 + O\left(\frac{1}{c_f} (\log T)^{-\delta}\right) \right).$$

Essential GL(2) properties

Properties

For primitive f on $GL(2)$ over \mathbb{Q} (Hecke or Maass) with

$$L(s, f) = \sum_{n=1}^{\infty} \frac{a_f(n)}{n^s}, \quad \Re(s) > 1,$$

we isolate needed crucial properties (all are known).

- 1 $L(s, f)$ has an analytic continuation to an entire function of order 1.
- 2 $L(s, f)$ satisfies a function equation of the form

$$\Lambda(s, f) := L(s, f_{\infty}) L(s, f) = \epsilon_f \Lambda(1-s, \bar{f})$$

with $L(s, f_{\infty}) = Q^s \Gamma\left(\frac{s}{2} + \mu_1\right) \Gamma\left(\frac{s}{2} + \mu_2\right).$

Properties (continued)

- 3 Convolution L -function $L(s, f \times \bar{f})$,

$$\sum_{n=1}^{\infty} \frac{|a_f(n)|^2}{n^s}, \quad \Re(s) > 1,$$

is entire except for a simple pole at $s = 1$.

- 4 The Dirichlet coefficients (normalized so that the critical strip is $0 \leq \Re(s) \leq 1$) satisfy

$$\sum_{n \leq x} |a_f(n)|^2 \ll x.$$

- 5 For some small $\delta > 0$, we have a subconvexity bound

$$\left| L\left(\frac{1}{2} + it, f\right) \right| \ll |t|^{\frac{1}{2} - \delta}.$$

Properties (status)

- Mœglin and Waldspurger prove the needed properties of $L(s, f \times \bar{f})$ (in greater generality).
- Dirichlet coefficient asymptotics follow for Hecke forms essentially from the work of Rankin and Selberg, and for Maass by spectral theory.
- Michel and Venkatesh proved a subconvexity bound for primitive $GL(2)$ L -functions over \mathbb{Q} .
- Other properties are standard and are valid for $GL(2)$.

Bias Conjecture for Moments of Fourier Coefficients of Elliptic Curve L -functions

Joint with students Blake Mackall (Williams), Christina Rapti (Bard) and Karl Winsor (Michigan)

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Families and Moments

A *one-parameter family* of elliptic curves is given by

$$\mathcal{E} : y^2 = x^3 + A(T)x + B(T)$$

where $A(T), B(T)$ are polynomials in $\mathbb{Z}[T]$.

- Each specialization of T to an integer t gives an elliptic curve $\mathcal{E}(t)$ over \mathbb{Q} .
- The r^{th} *moment* of the Fourier coefficients is

$$A_{r,\mathcal{E}}(p) = \sum_{t \bmod p} a_{\mathcal{E}(t)}(p)^r.$$

Tate's Conjecture

Tate's Conjecture for Elliptic Surfaces

Let \mathcal{E}/\mathbb{Q} be an elliptic surface and $L_2(\mathcal{E}, s)$ be the L -series attached to $H_{\text{ét}}^2(\mathcal{E}/\overline{\mathbb{Q}}, \mathbb{Q}_l)$. Then $L_2(\mathcal{E}, s)$ has a meromorphic continuation to \mathbb{C} and satisfies

$$-\text{ord}_{s=2} L_2(\mathcal{E}, s) = \text{rank } NS(\mathcal{E}/\mathbb{Q}),$$

where $NS(\mathcal{E}/\mathbb{Q})$ is the \mathbb{Q} -rational part of the Néron-Severi group of \mathcal{E} . Further, $L_2(\mathcal{E}, s)$ does not vanish on the line $\text{Re}(s) = 2$.

Tate's conjecture is known for rational surfaces: An elliptic surface $y^2 = x^3 + A(T)x + B(T)$ is rational iff one of the following is true:

- $0 < \max\{3\deg A, 2\deg B\} < 12$;
- $3\deg A = 2\deg B = 12$ and $\text{ord}_{T=0} T^{12} \Delta(T^{-1}) = 0$.

Negative Bias in the First Moment

$A_{1,\mathcal{E}}(p)$ and Family Rank (Rosen-Silverman)

If Tate's Conjecture holds for \mathcal{E} then

$$\lim_{X \rightarrow \infty} \frac{1}{X} \sum_{p \leq X} \frac{A_{1,\mathcal{E}}(p) \log p}{p} = -\text{rank}(\mathcal{E}/\mathbb{Q}).$$

- By the Prime Number Theorem,
 $A_{1,\mathcal{E}}(p) = -rp + O(1)$ implies $\text{rank}(\mathcal{E}/\mathbb{Q}) = r$.

Bias Conjecture

Second Moment Asymptotic (Michel)

For families \mathcal{E} with $j(T)$ non-constant, the second moment is

$$A_{2,\mathcal{E}}(p) = p^2 + O(p^{3/2}).$$

- The lower order terms are of sizes $p^{3/2}$, p , $p^{1/2}$, and 1.

Bias Conjecture

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- The lower order terms are of sizes $p^{3/2}$, p , $p^{1/2}$, and 1.

In every family we have studied, we have observed:

Bias Conjecture

The largest lower term in the second moment expansion which does not average to 0 is on average **negative**.

Preliminary Evidence and Patterns

Let $n_{3,2,p}$ equal the number of cube roots of 2 modulo p ,
 and set $c_0(p) = \left[\left(\frac{-3}{p} \right) + \left(\frac{3}{p} \right) \right] p$, $c_1(p) = \left[\sum_{x \bmod p} \left(\frac{x^3 - x}{p} \right) \right]^2$,
 $c_{3/2}(p) = p \sum_{x(p)} \left(\frac{4x^3 + 1}{p} \right)$.

Family	$A_{1,\varepsilon}(p)$	$A_{2,\varepsilon}(p)$
$y^2 = x^3 + Sx + T$	0	$p^3 - p^2$
$y^2 = x^3 + 2^4(-3)^3(9T + 1)^2$	0	$\begin{cases} 2p^2 - 2p & p \equiv 2 \pmod{3} \\ 0 & p \equiv 1 \pmod{3} \end{cases}$
$y^2 = x^3 \pm 4(4T + 2)x$	0	$\begin{cases} 2p^2 - 2p & p \equiv 1 \pmod{4} \\ 0 & p \equiv 3 \pmod{4} \end{cases}$
$y^2 = x^3 + (T + 1)x^2 + Tx$	0	$p^2 - 2p - 1$
$y^2 = x^3 + x^2 + 2T + 1$	0	$p^2 - 2p - \left(\frac{-3}{p} \right)$
$y^2 = x^3 + Tx^2 + 1$	$-p$	$p^2 - n_{3,2,p}p - 1 + c_{3/2}(p)$
$y^2 = x^3 - T^2x + T^2$	$-2p$	$p^2 - p - c_1(p) - c_0(p)$
$y^2 = x^3 - T^2x + T^4$	$-2p$	$p^2 - p - c_1(p) - c_0(p)$

$y^2 = x^3 + Tx^2 - (T + 3)x + 1$ $-2c_{p,1;4}p$ $p^2 - 4c_{p,1;6}p - 1$
 where $c_{p,a;m} = 1$ if $p \equiv a \pmod{m}$ and otherwise is 0.

Preliminary Evidence and Patterns

The first family is the family of all elliptic curves; it is a two parameter family and we expect the main term of its second moment to be p^3 .

Note that except for our family $y^2 = x^3 + Tx^2 + 1$, all the families \mathcal{E} have $A_{2,\mathcal{E}}(p) = p^2 - h(p)p + O(1)$, where $h(p)$ is non-negative. Further, many of the families have $h(p) = m_{\mathcal{E}} > 0$.

Note $c_1(p)$ is the square of the coefficients from an elliptic curve with complex multiplication. It is non-negative and of size p for $p \not\equiv 3 \pmod{4}$, and zero for $p \equiv 3 \pmod{4}$ (send $x \mapsto -x \pmod{p}$ and note $\left(\frac{-1}{p}\right) = -1$).

It is somewhat remarkable that all these families have a correction to the main term in Michel's theorem in the same direction, and we analyze the consequence this has on the average rank. For our family which has a $p^{3/2}$ term, note that on average this term is zero and the p term is negative.

Lower order terms and average rank

$$\begin{aligned} \frac{1}{N} \sum_{t=N}^{2N} \sum_{\gamma_t} \phi \left(\gamma_t \frac{\log R}{2\pi} \right) &= \hat{\phi}(0) + \phi(0) - \frac{2}{N} \sum_{t=N}^{2N} \sum_p \frac{\log p}{\log R} \frac{1}{p} \hat{\phi} \left(\frac{\log p}{\log R} \right) a_t(p) \\ &\quad - \frac{2}{N} \sum_{t=N}^{2N} \sum_p \frac{\log p}{\log R} \frac{1}{p^2} \hat{\phi} \left(\frac{2 \log p}{\log R} \right) a_t(p)^2 + O \left(\frac{\log \log R}{\log R} \right). \end{aligned}$$

If ϕ is non-negative, we obtain a bound for the average rank in the family by restricting the sum to be only over zeros at the central point. The error $O \left(\frac{\log \log R}{\log R} \right)$ comes from trivial estimation and ignores probable cancellation, and we expect $O \left(\frac{1}{\log R} \right)$ or smaller to be the correct magnitude. For most families $\log R \sim \log N^a$ for some integer a .

Lower order terms and average rank (cont)

The main term of the first and second moments of the $a_t(p)$ give $r\phi(0)$ and $-\frac{1}{2}\phi(0)$.

Assume the second moment of $a_t(p)^2$ is $p^2 - m_\varepsilon p + O(1)$, $m_\varepsilon > 0$.

We have already handled the contribution from p^2 , and $-m_\varepsilon p$ contributes

$$\begin{aligned} S_2 &\sim \frac{-2}{N} \sum_p \frac{\log p}{\log R} \hat{\phi} \left(2 \frac{\log p}{\log R} \right) \frac{1}{p^2} \frac{N}{p} (-m_\varepsilon p) \\ &= \frac{2m_\varepsilon}{\log R} \sum_p \hat{\phi} \left(2 \frac{\log p}{\log R} \right) \frac{\log p}{p^2}. \end{aligned}$$

Thus there is a contribution of size $\frac{1}{\log R}$.

Lower order terms and average rank (cont)

A good choice of test functions (see Appendix A of [ILS]) is the Fourier pair

$$\phi(x) = \frac{\sin^2(2\pi \frac{\sigma}{2} x)}{(2\pi x)^2}, \quad \hat{\phi}(u) = \begin{cases} \frac{\sigma - |u|}{4} & \text{if } |u| \leq \sigma \\ 0 & \text{otherwise.} \end{cases}$$

Note $\phi(0) = \frac{\sigma^2}{4}$, $\hat{\phi}(0) = \frac{\sigma}{4} = \frac{\phi(0)}{\sigma}$, and evaluating the prime sum gives

$$S_2 \sim \left(\frac{.986}{\sigma} - \frac{2.966}{\sigma^2 \log R} \right) \frac{m_{\mathcal{E}}}{\log R} \phi(0).$$

Lower order terms and average rank (cont)

Let r_t denote the number of zeros of E_t at the central point (i.e., the analytic rank). Then up to our $O\left(\frac{\log \log R}{\log R}\right)$ errors (which we think should be smaller), we have

$$\frac{1}{N} \sum_{t=N}^{2N} r_t \phi(0) \leq \frac{\phi(0)}{\sigma} + \left(r + \frac{1}{2}\right) \phi(0) + \left(\frac{.986}{\sigma} - \frac{2.966}{\sigma^2 \log R}\right) \frac{m_{\mathcal{E}}}{\log R} \phi(0)$$

$$\text{Ave Rank}_{[N, 2N]}(\mathcal{E}) \leq \frac{1}{\sigma} + r + \frac{1}{2} + \left(\frac{.986}{\sigma} - \frac{2.966}{\sigma^2 \log R}\right) \frac{m_{\mathcal{E}}}{\log R}.$$

$\sigma = 1$, $m_{\mathcal{E}} = 1$: for conductors of size 10^{12} , the average rank is bounded by $1 + r + \frac{1}{2} + .03 = r + \frac{1}{2} + 1.03$. This is significantly higher than Fermigier's observed $r + \frac{1}{2} + .40$.

$\sigma = 2$: lower order correction contributes .02 for conductors of size 10^{12} , the average rank bounded by $\frac{1}{2} + r + \frac{1}{2} + .02 = r + \frac{1}{2} + .52$. Now in the ballpark of Fermigier's bound (already there without the potential correction term!).

Interpretation: Approaching semicircle 2nd moment from below

Sato-Tate Law for Families without CM

For large primes p , the distribution of $a_{\mathcal{E}(t)}(p)/\sqrt{p}$, $t \in \{0, 1, \dots, p-1\}$, approaches a semicircle on $[-2, 2]$.

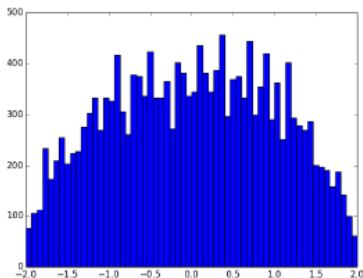


Figure: $a_{\mathcal{E}(t)}(p)$ for $y^2 = x^3 + Tx + 1$ at the 2014th prime.

Implications for Excess Rank

- Katz-Sarnak's one-level density statistic is used to measure the average rank of curves over a family.
- More curves with rank than expected have been observed, though this excess average rank vanishes in the limit.
- Lower-order biases in the moments of families explain a small fraction of this excess rank phenomenon.

Theoretical Evidence

Methods for Obtaining Explicit Formulas

For a family $\mathcal{E} : y^2 = x^3 + A(T)x + B(T)$, we can write

$$a_{\mathcal{E}(t)}(p) = - \sum_{x \bmod p} \left(\frac{x^3 + A(t)x + B(t)}{p} \right)$$

where $\left(\frac{\cdot}{p} \right)$ is the Legendre symbol mod p given by

$$\left(\frac{x}{p} \right) = \begin{cases} 1 & \text{if } x \text{ is a non-zero square modulo } p \\ 0 & \text{if } x \equiv 0 \bmod p \\ -1 & \text{otherwise.} \end{cases}$$

Lemmas on Legendre Symbols

Linear and Quadratic Legendre Sums

$$\sum_{x \bmod p} \left(\frac{ax + b}{p} \right) = 0 \quad \text{if } p \nmid a$$

$$\sum_{x \bmod p} \left(\frac{ax^2 + bx + c}{p} \right) = \begin{cases} -\left(\frac{a}{p}\right) & \text{if } p \nmid b^2 - 4ac \\ (p-1) \left(\frac{a}{p}\right) & \text{if } p \mid b^2 - 4ac \end{cases}$$

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Average Values of Legendre Symbols

The value of $\left(\frac{x}{p}\right)$ for $x \in \mathbb{Z}$, when averaged over all primes p , is 1 if x is a non-zero square, and 0 otherwise.

Rank 0 Families

Theorem (MMRW'14): Rank 0 Families Obeying the Bias Conjecture

For families of the form $\mathcal{E} : y^2 = x^3 + ax^2 + bx + cT + d$,

$$A_{2,\mathcal{E}}(p) = p^2 - p \left(1 + \left(\frac{-3}{p} \right) + \left(\frac{a^2 - 3b}{p} \right) \right).$$

- The average bias in the size p term is -2 or -1 , according to whether $a^2 - 3b \in \mathbb{Z}$ is a non-zero square.

Families with Rank

Theorem (MMRW'14): Families with Rank

For families of the form $\mathcal{E} : y^2 = x^3 + aT^2x + bT^2$,

$$A_{2,\mathcal{E}}(p) = p^2 - p \left(1 + \left(\frac{-3}{p} \right) + \left(\frac{-3a}{p} \right) \right) - \left(\sum_{x(p)} \left(\frac{x^3 + ax}{p} \right) \right)^2.$$

- These include families of rank 0, 1, and 2.
- The average bias in the size p terms is -3 or -2 , according to whether $-3a \in \mathbb{Z}$ is a non-zero square.

Families with Complex Multiplication

Theorem (MMRW'14): Families with Complex Multiplication

For families of the form $\mathcal{E} : y^2 = x^3 + (aT + b)x$,

$$A_{2,\mathcal{E}}(p) = (p^2 - p) \left(1 + \left(\frac{-1}{p} \right) \right).$$

- The average bias in the size p term is -1 .
- The size p^2 term is not constant, but is on average p^2 , and an analogous Bias Conjecture holds.

Families with Unusual Distributions of Signs

Theorem (MMRW'14): Families with Unusual Signs

For the family $\mathcal{E} : y^2 = x^3 + Tx^2 - (T + 3)x + 1$,

$$A_{2,\mathcal{E}}(p) = p^2 - p \left(2 + 2 \left(\frac{-3}{p} \right) \right) - 1.$$

- The average bias in the size p term is -2 .
- The family has an usual distribution of signs in the functional equations of the corresponding L -functions.

The Size $p^{3/2}$ Term

Theorem (MMRW'14): Families with a Large Error

For families of the form

$$\mathcal{E} : y^2 = x^3 + (T + a)x^2 + (bT + b^2 - ab + c)x - bc,$$

$$A_{2,\mathcal{E}}(p) = p^2 - 3p - 1 + p \sum_{x \bmod p} \left(\frac{-cx(x+b)(bx-c)}{p} \right)$$

- The size $p^{3/2}$ term is given by an elliptic curve coefficient and is thus on average 0.
- The average bias in the size p term is -3 .

General Structure of the Lower Order Terms

The lower order terms appear to always

- have no size $p^{3/2}$ term or a size $p^{3/2}$ term that is on average 0;
- exhibit their negative bias in the size p term;
- be determined by polynomials in p , elliptic curve coefficients, and congruence classes of p (i.e., values of Legendre symbols).

Numerical Investigations

Numerical Methods

- As complexity of coefficients increases, it is much harder to find an explicit formula.
- We can always just calculate the second moment from the explicit formula; if $\mathcal{E}: y^2 = f(x)$, we have

$$A_{2,\mathcal{E}}(p) = \sum_{t(p)} \left(\sum_{x(p)} \left(\frac{f(x)}{p} \right) \right)^2.$$

- Takes an hour for the first 500 primes. Optimizations?

Numerical Methods

Consider the family $y^2 = f(x) = ax^3 + (bT + c)x^2 + (dT + e)x + f$. By similar arguments used to prove special cases,

$$A_{2,\varepsilon}(p) = p^2 - 2p + pC_0(p) - pC_1(p) - 1 + \#_1,$$

where

$$C_0(p) = \sum_{x(p)} \sum_{y(p): \beta(x,y) \equiv 0} \left(\frac{A(x)A(y)}{p} \right),$$

$$C_1(p) = \sum_{x(p): \beta(x,x) \equiv 0} \left(\frac{A(x)^2}{p} \right),$$

$$\#_1 = p \sum_{x(p)} \sum_{y(p): A(x) \equiv 0 \text{ and } A(y) \equiv 0} \left(\frac{B(x)B(y)}{p} \right),$$

and β , A , and B are polynomials.

Numerical Methods

- $C_o(p)$ ordinarily $O(p^2)$ to compute.
- Sum over zeros of $\beta(x, y) \bmod p$
- Fixing an x , β is a quadratic in y . So, with the quadratic formula mod p , we know where to look for y to see if there is a zero.
- Now $O(p)$; runs from 6000th to 7000th prime in an hour.

Potential Counterexamples

Families of Rank as Large as 3

$\mathcal{E} : y^2 = x^3 + ax^2 + bT^2x + cT^2$ with $b, c \neq 0$:

$$\begin{aligned}
 A_{2,\mathcal{E}}(p) &= p^2 + p \sum_{P(x,y) \equiv 0} \left(\frac{(x^3 + bx)(y^3 + by)}{p} \right) \\
 &+ p \left[\sum_{x^3 + bx \equiv 0} \left(\frac{ax^2 + c}{p} \right) \right]^2 - p \sum_{P(x,x) \equiv 0} \left(\frac{x^3 + bx}{p} \right)^2 \\
 &- p \left(2 + \left(\frac{-b}{p} \right) \right) - \left[\sum_{x \bmod p} \left(\frac{x^3 + bx}{p} \right) \right]^2 - 1
 \end{aligned}$$

where $P(x, y) = bx^2y^2 + c(x^2 + xy + y^2) + bc(x + y)$.

A Positive Size p Term?

$p \left[\sum_{x^3+bx \equiv 0} \left(\frac{ax^2+c}{p} \right) \right]^2$ can be $+9p$ on average!

- Terms such as $-p \sum_{P(x,x) \equiv 0} \left(\frac{x^3+bx}{p} \right)^2$,
 $-p \left(2 + \left(\frac{-b}{p} \right) \right)$, and $-\left[\sum_{x \bmod p} \left(\frac{x^3+bx}{p} \right) \right]^2$ contribute negatively to the size p bias.
- The term $p \sum_{P(x,y) \equiv 0} \left(\frac{(x^3+bx)(y^3+by)}{p} \right)$ is of size $p^{3/2}$.

$$A_{2,\varepsilon}(p) = p^2 + p \sum_{P(x,y) \equiv 0} \left(\frac{(x^3+bx)(y^3+by)}{p} \right) + p \left[\sum_{x^3+bx \equiv 0} \left(\frac{ax^2+c}{p} \right) \right]^2$$

$$- p \sum_{P(x,x) \equiv 0} \left(\frac{x^3+bx}{p} \right)^2 - p \left(2 + \left(\frac{-b}{p} \right) \right) - \left[\sum_{x \bmod p} \left(\frac{x^3+bx}{p} \right) \right]^2 - 1$$

where $P(x,y) = bx^2y^2 + c(x^2 + xy + y^2) + bc(x+y)$.

Analyzing the Size $p^{3/2}$ Term

We averaged $\sum_{P(x,y) \equiv 0} \left(\frac{(x^3 + bx)(y^3 + by)}{p} \right)$ over the first 10,000 primes for several rank 3 families of the form $\mathcal{E} : y^2 = x^3 + ax^2 + bT^2x + cT^2$.

Family	Average
$y^2 = x^3 + 2x^2 - 4T^2x + T^2$	-0.0238
$y^2 = x^3 - 3x^2 - T^2x + 4T^2$	-0.0357
$y^2 = x^3 + 4x^2 - 4T^2x + 9T^2$	-0.0332
$y^2 = x^3 + 5x^2 - 9T^2x + 4T^2$	-0.0413
$y^2 = x^3 - 5x^2 - T^2x + 9T^2$	-0.0330
$y^2 = x^3 + 7x^2 - 9T^2x + T^2$	-0.0311

The Right Object to Study

$c_{3/2}(p) := \sum_{P(x,y) \equiv 0} \left(\frac{(x^3+bx)(y^3+by)}{p} \right)$ is not a natural object to study (for us multiply by p).

An example distribution for $y^2 = x^3 + 2x^3 - 4T^2x + T^2$.

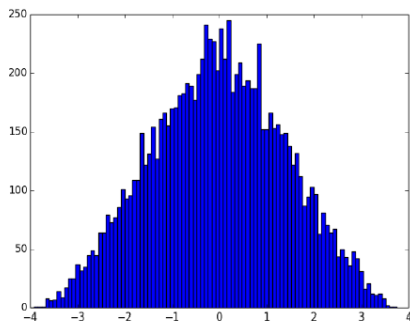


Figure: $c_{3/2}(p)$ over the first 10,000 primes.

In Terms of Elliptic Curve Coefficients

Compare it to the distribution of a sum of 2 elliptic curve coefficients.

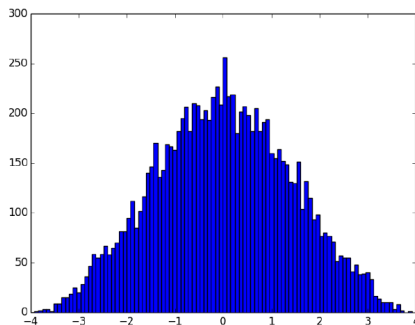


Figure: $-\sum_{x \bmod p} \left(\frac{x^3+x+1}{p} \right) - \sum_{x \bmod p} \left(\frac{x^3+x+2}{p} \right)$ over the first 10,000 primes.

More Error Distributions

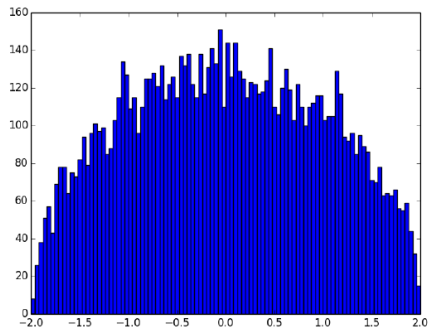


Figure: $c_{3/2}(p)$ for $y^2 = 4x^3 + 5x^2 + (4T - 2)x + 1$, first 10,000 primes.

More Error Distributions

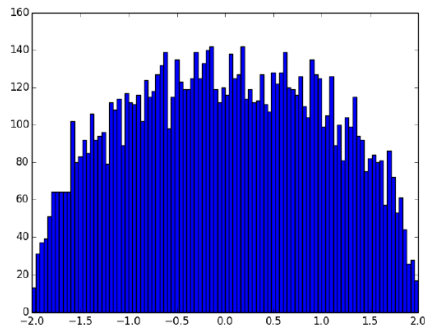


Figure: $-\sum_{x \bmod p} \left(\frac{x^3+x+1}{p} \right)$ distribution, first 10,000 primes.

More Error Distributions

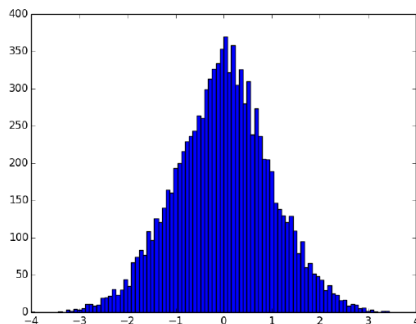


Figure: $c_{3/2}(p)$ over $y^2 = 4x^3 + (4T + 1)x^2 + (-4T - 18)x + 49$, first 10,000 primes.

More Error Distributions

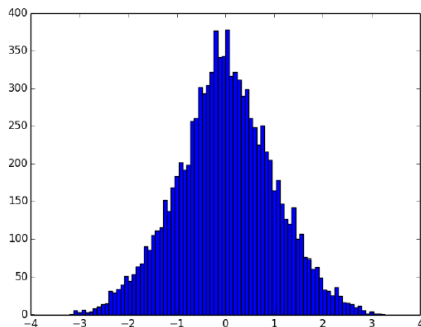


Figure: $-\sum_{x \bmod p} \left(\frac{x^5 + x^3 + x^2 + x + 1}{p} \right)$ distribution, first 10,000 primes.

Summary of $p^{3/2}$ Term Investigations

In the cases we've studied, the size $p^{3/2}$ terms

- appear to be governed by (hyper)elliptic curve coefficients;
- may be hiding negative contributions of size p ;
- prevent us from numerically measuring average biases that arise in the size p terms.

Future Directions

Questions for Further Study

- Are the size $p^{3/2}$ terms governed by (hyper)elliptic curve coefficients? Or at least other L -function coefficients?
- Does the average bias always occur in the terms of size p ?
- Does the Bias Conjecture hold similarly for all higher even moments?
- What other (families of) objects obey the Bias Conjecture? Kloosterman sums? Cusp forms of a given weight and level? Higher genus curves?

References

References

Gaps:

- *Gaps between zeros of $GL(2)$ L-functions* (with Owen Barrett, Brian McDonald, Ryan Patrick, Caroline Turnage-Butterbaugh and Karl Winsor), preprint. <http://arxiv.org/pdf/1410.7765.pdf>.

Biases:

- *1- and 2-level densities for families of elliptic curves: evidence for the underlying group symmetries*, Compositio Mathematica **140** (2004), 952–992. <http://arxiv.org/pdf/math/0310159>.
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Thank you!