Within-perfect & near-perfect numbers

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Background

- A natural number n is **perfect** if $\sigma(n) = 2n$, where $\sigma(n) := \sum_{d|n} d$.
- Are there infinitely many perfect numbers? $(6, 28, 496, 8128, \ldots)$
- Does odd perfect number exists?

Analytic Progress

Let V(x) be the number of perfect numbers up to x. As $x \to \infty$,

(Volkmann 1955)
$$V(x) = O(x^{5/6})$$

(Hornfeck 1955) $V(x) = O(x^{1/2})$
(Kanold 1956) $V(x) = o(x^{1/2})$
(Erdős 1956) $V(x) = O(x^{1/2-\delta})$
(Kanold 1957) $V(x) = O(x^{1/4} \frac{\log x}{\log \log x})$
(Hornfeck & Wirsing 1957) $V(x) = O(x^{\epsilon})$
(Wirsing 1959) $V(x) \leq x^{W/\log \log x}$

Conjecture 1.1

As
$$x \to \infty$$
,

$$V(x) \sim \frac{e^{\gamma}}{\log 2} \log \log x.$$

Definitions

Let $k:[1,\infty)\to[0,\infty)$ be an increasing function.

Definition 1.2 (k-near-perfect)

We say n is k-near-perfect if

$$\sigma(n) = 2n + \sum_{d \in D_n} d,$$

where D_n is a set of proper divisors of n and $\#D_n \leq k(n)$.

Definition 1.3 ((ℓ ; k)-within-perfect)

Let $\ell > 1$. Then we say n is $(\ell; k)$ -within-perfect if

$$|\sigma(n) - \ell n| < k(n).$$

Notations

Introduction 00000000

- N(k): set of k-near-perfect numbers
- $N(k; x) = N(k) \cap [1, x]$
- $W(\ell; k)$: set of $(\ell; k)$ -within-perfect numbers
- $W(\ell; k; x) := W(\ell; k) \cap [1, x]$

Our Results — Near-Perfect

Theorem 1.4 (Cohen-Cordwell-Epstein-K.-Lott-M. 2016)

Let N(k; x) be the set of k-near-perfect numbers in [1, x]. For $k \ge 4$ and $k \ne 2^{s+2} - 6, 2^{s+2} - 5$ $(s \ge 2)$,

$$\#N(k;x) \asymp_k \frac{x}{\log x} (\log \log x)^{\lfloor \frac{\log(k+4)}{\log 2} \rfloor - 3}.$$

For small k

Theorem 1.5 (Cohen-Cordwell-Epstein-K.-Lott-M. 2016)

Let N(k; x) be the set of k-near-perfect numbers in [1, x]. For k = 2, 3.

$$\#N(k;x) \ll x \exp\left(-\frac{1}{2}\sqrt{\log x \log \log x}\left(1+O\left(\frac{\log \log \log x}{\log \log x}\right)\right)\right).$$

For k = 4, 5, 6, 7, 8, 9,

$$\#N(k,x) \sim c_k \frac{x}{\log x},$$

where

$$c_4 = c_5 = \frac{1}{6}, \ c_6 = \frac{17}{84}, \ c_7 = c_8 = \frac{493}{1260}, \ c_9 = \frac{179017}{360360}.$$

For Increasing k

Theorem 1.6 (Cohen-Cordwell-Epstein-K.-Lott-M. 2016)

Let k be positive increasing, N(k) be the set of k-near-perfect numbers and $N(k;x) = N(k) \cap [1,x]$.

• If $k(y) < (\log y)^{\log 2 - \epsilon}$, then N(k) has density 0:

$$\#N(k;x) \ll_{\epsilon} \frac{x}{(\log x)^{r(\epsilon)}},$$

where

$$r(\epsilon) \ := \ 1 - \frac{(\log 2 - \epsilon)(1 + \log_2 2 - \log(\log 2 - \epsilon))}{\log 2} \in (0, 1).$$

• If $k(y) > (\log y)^{\log 2 + \epsilon}$, then N(k) has positive density.

Our Results — Within-Perfect

Denote by $W(\ell; k; x)$ the set of $(\ell; k)$ -within-perfect numbers in [1, x] .

Theorem 1.7 (Cohen-Cordwell-Epstein-K.-Lott-M. 2016)

Let $\epsilon \in (0,1/3)$, $k(y) \leq y^{\epsilon}$ be positive, increasing, unbounded, and Σ be the set $\{\frac{\sigma(m)}{m}: m \geq 1\}$.

• If $\ell \in \Sigma \subset \mathbb{Q}$, then

$$\lim_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} = \sum_{\sigma(m) = \ell m} \frac{1}{m}.$$

• If $\ell \in (\mathbb{Q} \cap [1, \infty)) \setminus \Sigma$, then

$$\#W(\ell; k; x) = O_{\ell}(x^{\min\{3/4, \epsilon+2/3\}+o(1)}).$$

Near-Perfect Numbers



Sierpiński introduced the notion of **pseudoperfectness** in 1965.

A natural number is said to be pseudoperfect if it is a sum of some subset of its proper divisors.

A Bit of History



Erdös and Benkoski studied the asymptotic density for pseudoper-fect numbers, as well as that of abundant numbers that are not pseudoperfect (i.e., weird numbers).



In 2012, Pollack and Shevelev introduced the notion of k-nearperfectness defined before.

Known result

Theorem 2.1 (Pollack-Shevelev 2012)

Let $k \in \mathbb{N}$ and N(k; x) denotes the set of all k-near-perfect numbers up to x. Then as $x \to \infty$,

$$\#N(k;x) \ll_k \frac{x}{\log x} (\log \log x)^{k-1}.$$

$$\#N(1;x) \ll x^{3/4+o(1)}$$

 $\#N(k;x) \ll x \exp(-(c_k + o(1))\sqrt{\log x \log \log x}) \ (k=2,3),$

where $c_2 = 1/\sqrt{6} \approx 0.4082$, $c_3 = \sqrt{2}/4 \approx 0.3536$.

A Bit of Statistics — Normal Order

Definition 2.2 (normal order; Hardy-Ramanujan 1917)

For f and g positive arithmetic functions, f has normal order g if for any $\epsilon>0$

$$\lim_{x\to\infty} \frac{1}{x} \#\{n \le x : (1-\epsilon)g(n) < f(n) < (1+\epsilon)g(n)\} = 1.$$

Normal Order





Hardy and Ramanujan showed that the primedivisor-counting function $\omega(n)$ and $\Omega(n)$ both have normal order log log n.

By observing that $2^{\omega(n)} \leq \tau(n) \leq 2^{\Omega(n)}$, $\log \tau(n)$ has normal order $(\log 2) \log \log n$.

- Explains why there is phase change in density at $(\log y)^{\log 2}$.
- Push the bound of Pollack-Shevelev from $\frac{x}{\log x} (\log \log x)^{k-1}$ to $\frac{x}{\log x} (\log \log x)^{\left\lceil \frac{\log k}{\log 2} \right\rceil}$.
- How to do beyond this?

- Let y > 0. We say a natural number n is y-smooth if n has all prime factors of n is $\leq y$.
- Denote by $P^+(n)$ the largest prime factor of n.
- The counting of N(k; x) is done by a partition:

$$N_1(k;x) := \{n \in N(k;x) : n \text{ is } y\text{-smooth}\}$$

 $N_2(k;x) := \{n \in N(k;x) : P^+(n) > y \text{ and } P^+(n)^2 | n\}$

• Negligible contributions from $N_1(k; x)$ and $N_2(k; x)$ (= $O(x/(\log x)^2)$).

• $N_3(k;x) := \{n \in N(k;x) : P^+(n) > y \text{ and } P^+(n) \mid |n| \}.$

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- By Landau's Theorem, $\#N'_3(k;x)$ is clearly $O(\frac{x}{\log x}(\log \log x)^{k-1})$.

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 - where $N_3'(k;x)$ consists of $n \in N_3(k;x)$ such that $\tau(n) \le k$ and $N_3''(k;x) := N_3(k;x) \setminus N_3'(k;x)$.
- By Landau's Theorem, $\#N'_3(k;x)$ is clearly $O(\frac{x}{\log x}(\log \log x)^{k-1})$.
- By elementary argument, $N_3''(k;x)$ is also negligible $(=O(\frac{x}{v}(\log x)^{3k+1}))$.

- Problem: The estimation of N_3' is too rough and does not use the arithmetic information of near-perfectness.
- Instead, consider $N_3^{(1)}(k;x)$ consists of $n \in N_3(k;x)$ such that n = pm, $\tau(m) < k$, $m \in N(k - \tau(m))$,
- $N_3^{(2)}(k;x) = N_3(k;x) \setminus N_2^{(1)}(k;x)$.
- Inductive argument to $N_3^{(1)}$: $n = p_1 m_1$

• Repeat the partitioning process to m_1 , i.e., estimate the sizes of the sets:

$$\left\{n \leq x : n = p_1 m_1, p_1 > \max\{y, P^+(m_1)\}, m_1 \in M\left(\frac{k - \tau(m_1)}{y}, \frac{x}{y}\right)\right\},$$
where $M = N_1, N_2, N_2^{(1)}, N_2^{(2)}$.

$$\left\{n \leq x : n = p_1 m_1, p_1 > \max\{y, P^+(m_1)\}, m_1 \in M\left(\frac{k}{r} - \tau(m_1), \frac{x}{y}\right)\right\},$$
where $M = N_1, N_2, N_2^{(1)}, N_2^{(2)}$.

• When $M = N_1, N_2, N_3^{(2)}$, the size is $\ll \frac{x}{\log x} \log \log x$.

• Repeat the partitioning process to m_1 , i.e., estimate the sizes of the sets:

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- When $M = N_1, N_2, N_3^{(2)}$, the size is $\ll \frac{x}{\log x} \log \log x$.
- When $M = N_3^{(1)}$, the set is equal to

$$\left\{ n \le x : n = p_1 p_2 m_2, p_1 > p_2 > \max\{y, P^+(m_2)\}, \\ m_2 \in N\left(\frac{k - 3\tau(m_2)}{y^2}, \frac{x}{y^2}\right) \right\}$$

where $M = N_1, N_2, N_3^{(2)}$.

$$\# \left\{ n \le x : n = p_1 \cdots p_{j-1} m_{j-1}, p_1 > \cdots > p_{j-1} > y_1, P^+(m_{j-1}), \\ m_{j-1} \in M(k - (2^{j-1} - 1)\tau(m_{j-1})) \right\}$$

$$\ll_k \frac{x}{\log x} (\log \log x)^{j-1},$$

$$\# \left\{ n \le x : n = p_1 \cdots p_{j-1} m_{j-1}, p_1 > \dots > p_{j-1} > P^+(m_{j-1}) > y_1, \\
m_{j-1} \in \mathcal{N}_3^{(1)}(k - (2^{j-1} - 1)\tau(m_{j-1})) \right\} \\
= \# \left\{ n \le x : n = p_1 \cdots p_{j-1} p_j m_j, p_1 > \dots > p_{j-1} > p_j > y_1, P^+(m_j), \\
m_j \in \mathcal{N}(k - (2^j - 1)\tau(m_j)) \right\}.$$

When shall we stop?

• We stop when there are only finitely many m_j such that $(2^j-1)\tau(m_j) \leq k$ and $m_j \in N(k-(2^j-1)\tau(m_j))$. Then we will have

$$\#N(k;x) \ll_k \frac{x}{\log x} (\log \log x)^{j-1}.$$

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$$\#N(k;x) \ll_k \frac{x}{\log x} (\log \log x)^{j-1}.$$

• These are satisfied when $j = \lfloor \frac{\log(k+4)}{\log 2} \rfloor - 2$. Also, $\tau(m_j)$ is small and can be handled directly. The result follows.

Within-Perfect Numbers

Definition 3.1 ((ℓ ; k)-within-perfect)

Let $\ell > 1$, k be an increasing function. Then we say n is $(\ell; k)$ -within-perfect if

$$|\sigma(n) - \ell n| < k(n).$$

Davenport showed that $\sigma(n)/n$ has a distribution function in 1933. It follows that:

Theorem 3.2

Introduction

Let $D(\cdot)$ denote the distribution function of $\sigma(n)/n$.

- If k(n) = o(n), then the set of $(\ell; k)$ -within-perfect numbers has density 0.
- If $k(n) \sim cn$ for some c > 0, then the set of $(\ell; k)$ -within-perfect numbers has density $D(\ell + c) D(\ell c)$.
- If n = o(k(n)), then the set of $(\ell; k)$ -within-perfect numbers has density 1.

• For the sublinear regime, from the above theorem we only know the density of $(\ell; k)$ -within-perfect numbers is 0.

 The next step is to find an explicit upper bound for the sublinear regime.

Motivation — Fixing k

In 1975, Pomerance studied the distribution of $S_{\ell,k} = \{n \in \mathbb{N} : \sigma(n) = \ell n + k\}$, where $\ell, k \in \mathbb{Z}, \ \ell \geq 2$.

- $S_{2,0}$ (Perfect numbers),
- $S_{\ell,0}$ (ℓ -multiply perfect numbers),
- $S_{2,1}$ (Quasiperfect numbers),
- S_{2,-1} (Almost perfect numbers)



Theorem 3.3 (Pomerance 1975)

Denote $S_{\ell,k} \cap [1,x]$ by $S_{\ell,k}(x)$. As $x \to \infty$,

$$\#S_{\ell.k}(x) \ll_k \frac{x}{\log x}.$$

If
$$\sigma(n) = \ell n + k$$
, then $\sigma(n) \equiv k \pmod{n}$.

Definition 3.4

Introduction

Say n is a regular solution of $\sigma(n) \equiv k \pmod{n}$ if n = pm, with p prime, $p \nmid m$, $m \mid \sigma(m)$, and $\sigma(m) = k$; otherwise n is a sporadic solution.

The number of sporadic solutions of $\sigma(n) \equiv k \pmod{n}$ is $O_k(x \exp(-\beta(\log x \log \log x)^{1/2}))$ as $x \to \infty$ for any $\beta < 1/\sqrt{2}$.

Theorem 3.5 (Pomerance (1975))

The number of sporadic solutions of $\sigma(n) \equiv k \pmod{n}$ is $O_k(x \exp(-\beta(\log x \log \log x)^{1/2}))$ as $x \to \infty$ for any $\beta < 1/\sqrt{2}$.

Theorem 3.6 (Anavi-Pollack-Pomerance (2012))

Uniformly for $|k| \le x^{1/4}$, the number of sporadic solutions of $\sigma(n) \equiv k \pmod{n}$ is at most $x^{1/2+o(1)}$, as $x \to \infty$.

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Theorem (Pollack-Pomerance-Thompson 2017)

Let ℓ, k be integers with $\ell > 0$. Then the number of sporadic solutions $n \le x$ of $\sigma(n) = \ell n + k$ is at most $x^{3/5 + o_{\ell}(1)}$ as $x \to \infty$, uniformly in k.

Our Results — Within-Perfect

Denote by $W(\ell; k; x)$ the set of $(\ell; k)$ -within-perfect numbers in [1, x].

Theorem 3.7 (Cohen-Cordwell-Epstein-K.-Lott-M. 2016)

Let $\epsilon \in (0,1/3)$, $k(y) \leq y^{\epsilon}$ be positive, increasing, unbounded, and Σ be the set $\{\frac{\sigma(m)}{m}: m \geq 1\}$.

• If $\ell \in \Sigma \subset \mathbb{Q}$, then

$$\lim_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} = \sum_{\sigma(m) = \ell m} \frac{1}{m}.$$

• If $\ell \in (\mathbb{Q} \cap [1, \infty)) \setminus \Sigma$, then

$$\#W(\ell; k; x) = O_{\ell}(x^{\min\{3/4, \epsilon+2/3\}+o(1)}).$$

- Assume that an ℓ -perfect numbers exist. Take $k(y) = y^{\epsilon}$ $(\epsilon \in (0, 1/3)).$
- Consider the collection of Diophantine equations

$$\sigma(n) - \ell n = k$$
, where $k \in \mathbb{Z}$, $|k| < x^{\epsilon}$.

• We can make the following assumptions one by one:

Assumptions

- *n* is regular (else by Pomerance's Theorem the contribution from the complement is $\leq 2x^{2/3+\epsilon+o(1)}$).
- ② n=pm and $p>x^{\epsilon}$ (else by PNT & Hornfeck-Wirsing Theorem, the contribution from the complement is $\leq \frac{x^{\epsilon+o(1)}}{\log x}$).
- $\sigma(m)/m = \ell$ (else by Merten's estimate, the number of *n*'s with $\sigma(m) = rm$ with $2 \le r \le \ell 1$ and $p > x^{\epsilon}$ is $\ll (\ell 2)x^{\epsilon} \log \log x$).

Thus, we only have to work with

$$n = pm$$
 where p is prime, $p \nmid m$, $\sigma(m) = \ell m$

Once again by PNT and Hornfeck-Wirsing, for any c > 1,

$$\#W(\ell; k; x) \leq \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \pi(x/m)$$

$$< c \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{x/m}{\log(x/m)}$$

$$= c \frac{x}{\log x} \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{1}{m} + O_{\epsilon} \left(\frac{cx}{(\log x)^{2}} \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{\log m}{m}\right)$$

$$< c \frac{x}{\log x} \sum_{\substack{\sigma(m) = \ell m}} \frac{1}{m} + O_{\epsilon} \left(\frac{cx}{(\log x)^{2}}\right).$$

• What happens if ℓ is irrational?

- Wolke and Harman studied in terms of a Diophantine approximation and used the Prime Number Theorem in Short Interval.
- They showed that for any real $\ell > 1$ and for any $c \in (0.525, 1)$, there exists infinitely many natural numbers that are $(\ell; y^c)$ -within-perfect. But the constructed set is quite sparse.
- Can we do better than this?

Further Thoughts

Conjecture (Pollack-Pomerance-Thompson 2017)

Let $x \geq 3$, ℓ , k be integers with $\ell > 0$ and $|k| \leq x$. Then the number of sporadic solutions $n \leq x$ of $\sigma(n) = \ell n + k$ is at most $x^{1/2+o(1)}$ as $x \to \infty$, uniformly in k, ℓ .

- If this were proven, then one can push our result to $k(x) < x^{1/2-\epsilon}$.
- How to do beyond this range in the sublinear regime?

2015/16.

Introduction

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Within-Perfect Numbers

Sketch of Proof

• Assume ℓ -perfect numbers exist and $k(y) \leq y^{\epsilon}$ for $\epsilon \in (0, 1/3).$

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- Showing

$$\liminf_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} \ge \sum_{\sigma(m) = \ell m} \frac{1}{m}$$

is a direct consequence of the Prime Number Theorem.

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- Showing

$$\liminf_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} \ge \sum_{\sigma(m) = \ell m} \frac{1}{m}$$

is a direct consequence of the Prime Number Theorem.

Now we want to show

$$\limsup_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} \le \sum_{\sigma(m) = \ell m} \frac{1}{m}$$

• Rewrite this Diophantine inequality as a collection of Diophantine equations over certain range, i.e.,

$$\sigma(n) - \ell n = k$$
, where $k \in \mathbb{Z}$, $|k| < x^{\epsilon}$.

- It suffices to consider $k(y) = y^{\epsilon}$. Fix a large x and let $n \le x$ satisfy $|\sigma(n) \ell n| < x^{\epsilon}$.
- Rewrite this Diophantine inequality as a collection of Diophantine equations over certain range, i.e.,

$$\sigma(n) - \ell n = k$$
, where $k \in \mathbb{Z}$, $|k| < x^{\epsilon}$.

 In particular, we have a collection of congruences in the form of regular solutions:

$$\sigma(n) \equiv k \pmod{n}$$
, where $k \in \mathbb{Z}$, $|k| < x^{\epsilon}$.

Recall from Pomerance's Theorems

n is a regular solution if n is of the form

$$n = pm$$
 where p is prime, $p \nmid m$, $m \mid \sigma(m)$, and $\sigma(m) = k$ (*)

• n is in the form of (*).

By Pomerance's theorem, the number of elements of $W(\ell; k; x)$ NOT of the form (*) is at most

$$2x^{\epsilon}x^{2/3+o(1)}=2x^{2/3+\epsilon+o(1)},$$

which is negligible (compared with $x/\log x$).

Theorem 5.1 (Hornfeck-Wirsing)

The number of multiply perfect numbers less than or equal to x is at most $x^{o(1)}$ as $x \to \infty$.

•
$$p > x^{\epsilon}$$
 in (*).

By the Prime Number Theorem and Hornfeck-Wirsing theorem, the number of $n \le x$ of the form (*) with $p \le x^\epsilon$ is at most

$$\frac{x^{\epsilon}}{\log x^{\epsilon}} x^{o(1)} \ll_{\epsilon} \frac{x^{\epsilon+o(1)}}{\log x},$$

which is again negligible.

• $\sigma(m)/m < \ell$ in (*).

If
$$\sigma(m)=rm$$
 for some $r\geq \ell+1$, then

$$\sigma(n) - \ell n = \sigma(p)\sigma(m) - \ell pm$$

$$= (1+p)(rm) - \ell pm$$

$$= m(r+p(r-\ell))$$

$$\geq p > x^{\epsilon}.$$

Contradiction!

•
$$\sigma(m)/m = \ell$$
 in (*).

• Consider the case where $\sigma(m) = rm$ with $2 \le r \le \ell - 1$ and $p > x^{\epsilon}$. By Merten's estimate, the number of such n is

$$\leq \sum_{2 \leq r \leq \ell-1} \sum_{x^{\epsilon}
$$\leq (\ell-2)x^{\epsilon} \sum_{x^{\epsilon}
$$\leq 2(\ell-2)x^{\epsilon} \sum_{x^{\epsilon}
$$\ll (\ell-2)x^{\epsilon} \log \log x.$$$$$$$$

$$n = pm$$
 where p is prime, $p \nmid m$, $\sigma(m) = \ell m$ (**)

Next we estimate the contribution from (**).

By partial summation and Hornfeck-Wirsing Theorem, we have

$$\sum_{\sigma(m) = \ell m} \frac{\log m}{m}, \quad \sum_{\sigma(m) = \ell m} \frac{1}{m}$$

converge.

Introduction

Let c be any constant greater than 1. By the Prime Number Theorem, there exists $x_0 = x_0(c) > 0$ such that for $x \ge x_0$, we have

$$\pi(x) < c \, \frac{x}{\log x}.$$

Then for $x \ge \max\{x_0^{1/(1-\epsilon)}, \ell^2\}$, we have

$$\begin{split} \#W(\ell;k;x) &\leq \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \pi(x/m) \\ &< c \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{x/m}{\log(x/m)} \\ &= c \frac{x}{\log x} \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{1}{m} + O_{\epsilon} \left(\frac{cx}{(\log x)^{2}} \sum_{\substack{m \leq x^{\epsilon} \\ \sigma(m) = \ell m}} \frac{\log m}{m} \right) \\ &< c \frac{x}{\log x} \sum_{\substack{\sigma(m) = \ell m}} \frac{1}{m} + O_{\epsilon} \left(\frac{cx}{(\log x)^{2}} \right). \end{split}$$

Therefore,

Introduction

$$\limsup_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} \le c \sum_{\sigma(m) = \ell m} \frac{1}{m}.$$

Since the choice of constant c > 1 is arbitrary, we have

$$\limsup_{x \to \infty} \frac{\#W(\ell; k; x)}{x/\log x} \le \sum_{\sigma(m) = \ell m} \frac{1}{m}.$$

This completes the proof.