

Modeling the Vanishing of L -functions at the Central Point

Zoë X. Batterman (zxba2020@mymail.pomona.edu)
Akash L. Narayanan (narayanan.akash@berkeley.edu)
Chris Yao (chris.yao@math.berkeley.edu)

(joint with Owen Barrett, Aditya Jambhale, and Kishan Sharma)

Advisor: Steven J. Miller
SMALL REU at Williams College

2024 CIRM 6th EU/US Conference on Automorphic Forms and Related Topics

September 09, 2024

- Motivation
- Background
- Main question
- The model
- One-level density
- Pair-correlation

Conjecture (Montgomery-Dyson, 1970s)

High on the critical line, spacings between

zeros of the Riemann zeta function \longleftrightarrow eigenvalues of the Gaussian Unitary Ensemble.

Conjecture (Montgomery-Dyson, 1970s)

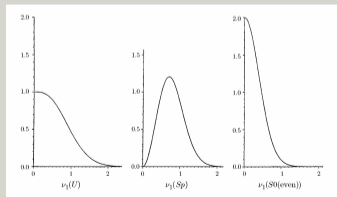
High on the critical line, spacings between

zeros of the Riemann zeta function \longleftrightarrow eigenvalues of the Gaussian Unitary Ensemble.

Conjecture (Katz-Sarnak, 1990s)

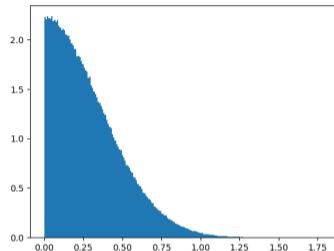
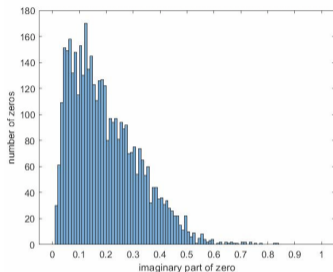
Katz-Sarnak conjectured that the following distributions match in the correct asymptotic limit:

- lowest-lying zeros at the critical point of families of L -functions,
- eigenvalues of random matrices from classical compact groups.



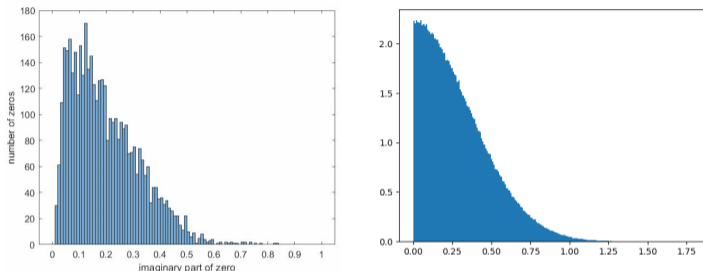
Source: N. M. Katz and P. Sarnak, *Zeros of zeta functions and symmetry*, Bulletin of the American Mathematical Society (1) **36** (1999), pages 1-26.

- In 2005, S.J. Miller noticed a repulsion of the lowest-lying zeros near the central point of a family of even twists of a fixed elliptic curve L -function with finite conductor.



Comparison of distribution of lowest zeros for twists of an elliptic curve L -function and the corresponding eigenvalues from $SO(\text{even})$

- In 2005, S.J. Miller noticed a repulsion of the lowest-lying zeros near the central point of a family of even twists of a fixed elliptic curve L -function with finite conductor.

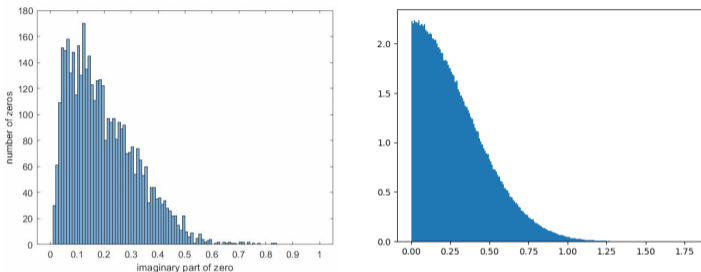


Comparison of distribution of lowest zeros for twists of an elliptic curve L -function and the corresponding eigenvalues from $SO(\text{even})$

- In 2011, E. Duenez, D.K. Huynh, J.P. Keating, S.J. Miller, and N.C. Snaith proposed an excised orthogonal model to capture the behavior of this repulsion.

An Excised Orthogonal Model

- In 2005, S.J. Miller noticed a repulsion of the lowest-lying zeros near the central point of a family of even twists of a fixed elliptic curve L -function with finite conductor.



Comparison of distribution of lowest zeros for twists of an elliptic curve L -function and the corresponding eigenvalues from $SO(\text{even})$

- In 2011, E. Duenez, D.K. Huynh, J.P. Keating, S.J. Miller, and N.C. Snaith proposed an excised orthogonal model to capture the behavior of this repulsion.

Motivating Question

How accurately do eigenvalues of random matrices from classical compact groups model the lowest-lying zeros of families of L -functions associated to a cuspidal newform?

Let $f \in S_k^{\text{new}}(M, \chi_f)$ be a cuspidal newform of level odd prime M , weight k , and nebentypus χ_f . f has Fourier expansion

$$f(z) = \sum_{n=1}^{\infty} a_f(n) e^{2\pi i n z}$$

at the cusp ∞ .

Let $f \in S_k^{\text{new}}(M, \chi_f)$ be a cuspidal newform of level odd prime M , weight k , and nebentypus χ_f . f has Fourier expansion

$$f(z) = \sum_{n=1}^{\infty} a_f(n) e^{2\pi i n z}$$

at the cusp ∞ .

Put $\lambda_f(n) = a_f(n)/n^{(k-1)/2}$. Then, for $\text{Re}(s) > 1$, the L -function attached to f is given by the Dirichlet series

$$L(s, f) := \sum_{n \geq 1} \lambda_f(n) n^{-s}.$$

Let $f \in S_k^{\text{new}}(M, \chi_f)$ be a cuspidal newform of level odd prime M , weight k , and nebentypus χ_f . f has Fourier expansion

$$f(z) = \sum_{n=1}^{\infty} a_f(n) e^{2\pi i n z}$$

at the cusp ∞ .

Put $\lambda_f(n) = a_f(n)/n^{(k-1)/2}$. Then, for $\text{Re}(s) > 1$, the L -function attached to f is given by the Dirichlet series

$$L(s, f) := \sum_{n \geq 1} \lambda_f(n) n^{-s}.$$

The Euler product is

$$L(f, s) = \prod_p (1 - \lambda_f(p) p^{-s} + \chi_f(p) p^{-2s})^{-1}.$$

Let $f \in S_k^{\text{new}}(M, \chi_f)$ be a cuspidal newform of level odd prime M , weight k , and nebentypus χ_f . f has Fourier expansion

$$f(z) = \sum_{n=1}^{\infty} a_f(n) e^{2\pi i n z}$$

at the cusp ∞ .

Put $\lambda_f(n) = a_f(n)/n^{(k-1)/2}$. Then, for $\text{Re}(s) > 1$, the L -function attached to f is given by the Dirichlet series

$$L(s, f) := \sum_{n \geq 1} \lambda_f(n) n^{-s}.$$

The Euler product is

$$L(f, s) = \prod_p (1 - \lambda_f(p) p^{-s} + \chi_f(p) p^{-2s})^{-1}.$$

The functional equation of the completed L -function is given by

$$\Lambda(f, s) = \epsilon_f \Lambda(\bar{f}, 1 - s),$$

where ϵ_f is the root number.

Fix a cuspidal newform f , and consider its L -function $L(f, s)$. Given a quadratic character ψ_d , we create a twist as such

$$L(f \otimes \psi_d, s) = \sum_{n=1}^{\infty} \frac{\psi_d(n)\lambda_f(n)}{n^s} = \prod_p \left(1 - \psi_d(p)\lambda_f(p)p^{-s} + \psi_d(p)\chi_f(p)p^{-2s} \right)^{-1}.$$

Fix a cuspidal newform f , and consider its L -function $L(f, s)$. Given a quadratic character ψ_d , we create a twist as such

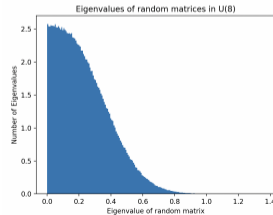
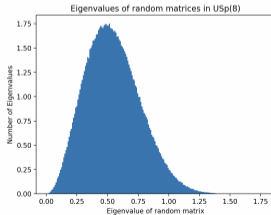
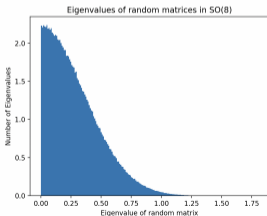
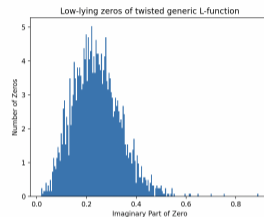
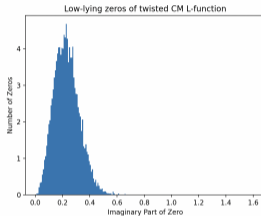
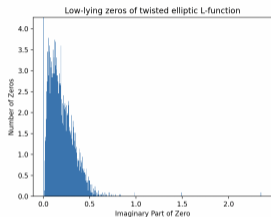
$$L(f \otimes \psi_d, s) = \sum_{n=1}^{\infty} \frac{\psi_d(n)\lambda_f(n)}{n^s} = \prod_p \left(1 - \psi_d(p)\lambda_f(p)p^{-s} + \psi_d(p)\chi_f(p)p^{-2s} \right)^{-1}.$$

We create a family of L -functions by taking twists of $L(f, s)$ with fundamental discriminant $d \in \mathcal{D}$ ranging over

$$\mathcal{D}_f(X) := \begin{cases} \{d \in \mathcal{D} \mid 0 < d \leq X, \psi_d(M)\epsilon_f = +1\} & \chi_f \text{ principal, even twists,} \\ \{d \in \mathcal{D} \mid 0 < d \leq X, \psi_d(N)\epsilon_f = -1\} & \chi_f \text{ principal, odd twists,} \\ \{d \in \mathcal{D} \mid 0 < d \leq X, \psi_d(M) = \{\pm 1\}\} & \chi_f \text{ non-principal, } f = \bar{f}, \\ \{d \in \mathcal{D} \mid 0 < d \leq X\} & \chi_f \text{ non-principal, } f \neq \bar{f}. \end{cases}$$

Motivating question, revisited

Is there any unexpected behavior that appears when we try to model the lowest-lying zeros of our family?



Before analyzing any behavior, we must ask:

Which classical compact groups model the lowest lying zeros of our family?

Before analyzing any behavior, we must ask:

Which classical compact groups model the lowest lying zeros of our family?

We computed the one-level density of our family and compared it to that of the groups U , Sp , and SO to determine the model:

Principal nebentype, even twists $\longleftrightarrow SO(\text{even})$

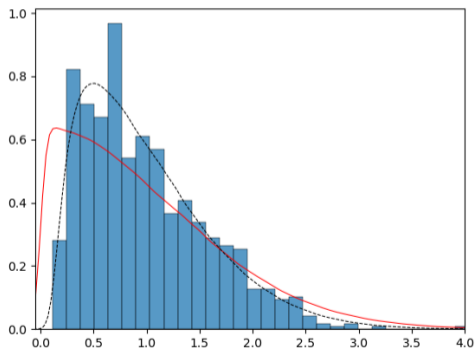
Principal nebentype, odd twists $\longleftrightarrow SO(\text{odd})$

Non-principal nebentype and self-dual $\longleftrightarrow Sp$

Generic $\longleftrightarrow U$

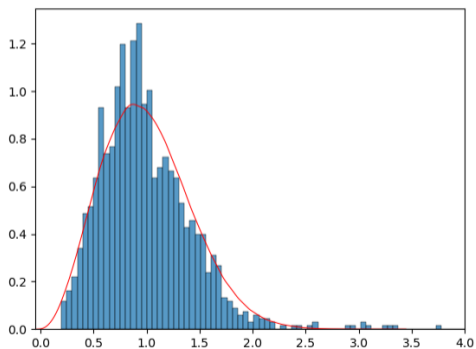
Lowest zeros (even twists) of 11.2.a.a

Eigenvalues from random matrices of $SO(18)$



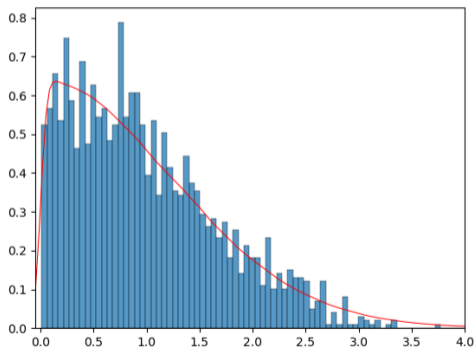
Second lowest zeros (odd twists) of 11.2.a.a

Eigenvalues from random matrices of $SO(19)$



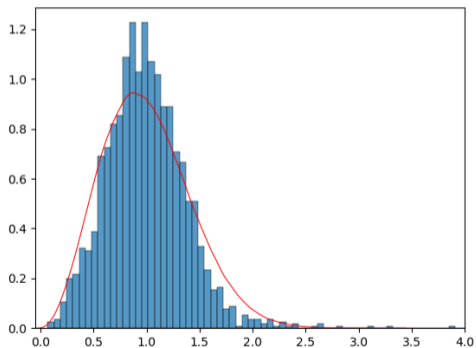
Lowest zeros (even twists) of 5.4.a.a

Eigenvalues from random matrices of $SO(18)$

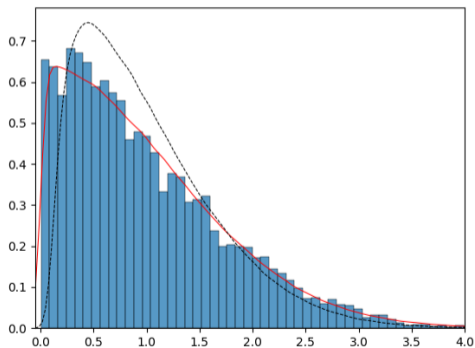


Second lowest zeros (odd twists) of 5.4.a.a

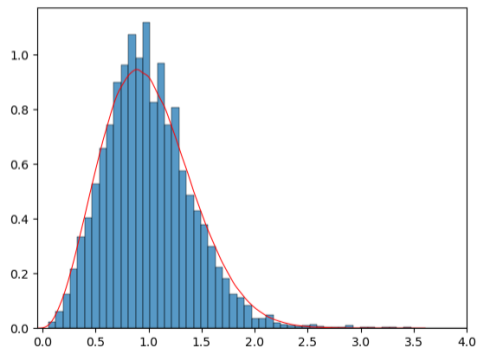
Eigenvalues from random matrices of $SO(19)$



Lowest zeros (even twists) of 7.4.a.a
 Eigenvalues of random matrices of $SO(20)$

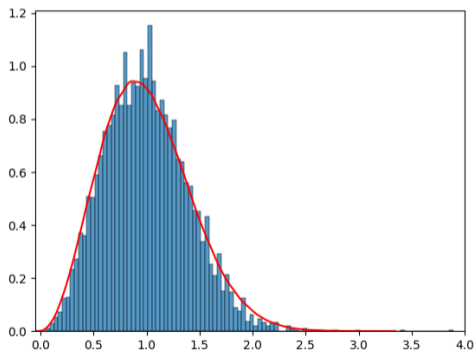


Lowest zeros (odd twists) of 7.4.a.a
 Eigenvalues of random matrices of $SO(21)$



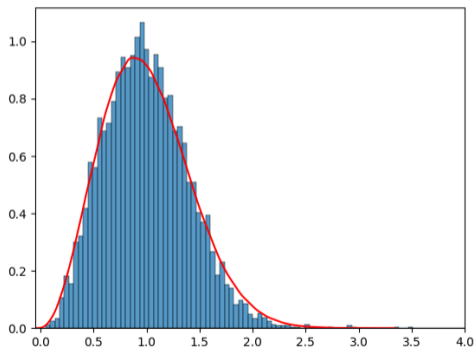
Lowest zeros ($\Delta = +1$) of 3.7.b.a

Eigenvalues of random matrices of $Sp(20)$



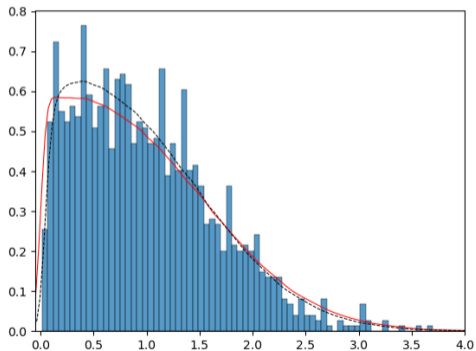
Lowest zeros ($\Delta = -1$) of 7.3.b.a

Eigenvalues of random matrices of $Sp(20)$



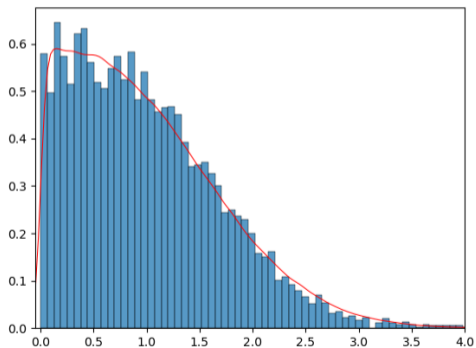
Lowest zeros (twists) of 13.2.e.a

Eigenvalues of random matrices of $Sp(20)$



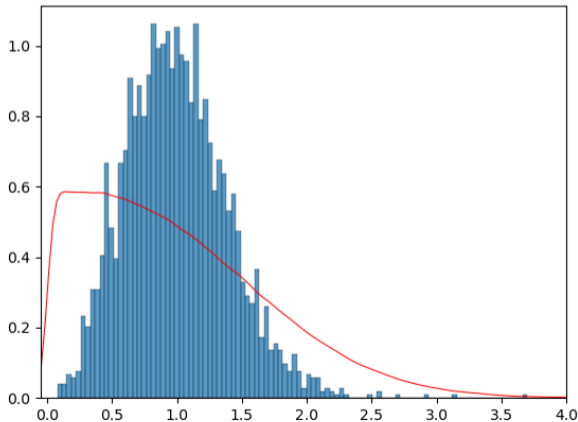
Lowest zeros (twists) of 17.2.d.a

Eigenvalues of random matrices of $Sp(20)$



Lowest zeros (twists) of 11.7.b.b

Eigenvalues of random matrices of $U(9)$



For large T , we denote the pair-correlation of a family of twists of a given form f by

$$P(f \otimes \psi_d; \varphi) = \sum_{0 < \gamma, \gamma' < T} \varphi(\gamma - \gamma'),$$

where the γ 's are the imaginary part of the zeros and φ a (holomorphic) test function.

For large T , we denote the pair-correlation of a family of twists of a given form f by

$$P(f \otimes \psi_d; \varphi) = \sum_{0 < \gamma, \gamma' < T} \varphi(\gamma - \gamma'),$$

where the γ 's are the imaginary part of the zeros and φ a (holomorphic) test function. Using the ratios conjecture and analyticity, we expand the above using series expansions

$$P(f \otimes \psi_d; \varphi) := \frac{T}{2\pi} R \left[h(0) + \int_{\mathbb{R}} h(y) \left(1 - \left(\frac{\sin \pi y}{\pi y} \right)^2 + \frac{e_1 - e_2 \sin^2 \pi y}{R^2} - \frac{e_3 \pi y \sin 2\pi y}{R^3} + O(R^{-4}) \right) dy \right] + O(T^{\varepsilon+1/2}),$$

where

$$R = \log \left(\frac{\sqrt{M}|d|T}{2\pi e} \right).$$

Compare the $U(N)$ pair-correlation

$$1 - \left(\frac{\sin \pi y}{\pi y} \right)^2 - \frac{\sin^2 \pi y}{3N^2},$$

to the pair-correlation for our form f , we compare the term

$$1 - \left(\frac{\sin \pi y}{\pi y} \right)^2 + \frac{e_1 - e_2 \sin^2 \pi y}{R^2} - e_3 \frac{\pi y \sin 2\pi y}{R^3}.$$

Compare the $U(N)$ pair-correlation

$$1 - \left(\frac{\sin \pi y}{\pi y} \right)^2 - \frac{\sin^2 \pi y}{3N^2},$$

to the pair-correlation for our form f , we compare the term

$$1 - \left(\frac{\sin \pi y}{\pi y} \right)^2 + \frac{e_1 - e_2 \sin^2 \pi y}{R^2} - e_3 \frac{\pi y \sin 2\pi y}{R^3}.$$

Conjecture (Montgomery, 1973)

High on the critical line, the spacing between pairs of the Riemann zeta function is asymptotically

$$1 - \left(\frac{\sin \pi u}{\pi u} \right)^2.$$

We would like to thank our mentor, Professor Steven J. Miller, and previous years of SMALL for their contributions.

Thanks to our SMALL 2023 faculty, research assistants, and peers for their support.

This presentation was supported by NSF Grant DMS-2241623. This material is also based upon work supported by the National Science Foundation Graduate Research Fellowship Program under Grant No. DGE 2146752. Any opinions, findings, and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Science Foundation.

We thank the National Science Foundation, Williams College, the Churchill Foundation, and the University of Michigan for making SMALL 2023 possible.