

Problems in the Theory of Low-Lying Zeros

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Introduction

I study n -level density of zeros of families of L -functions

n -level density: $\mathcal{F} = \cup \mathcal{F}_N$ a family of L -functions ordered by conductors, g_k an even Schwartz function: $D_{n,\mathcal{F}}(g) =$

$$\lim_{N \rightarrow \infty} \frac{1}{|\mathcal{F}_N|} \sum_{f \in \mathcal{F}_N} \sum_{\substack{j_1, \dots, j_n \\ j_i \neq \pm j_k}} g_1 \left(\frac{\log Q_f}{2\pi} \gamma_{j_1;f} \right) \cdots g_n \left(\frac{\log Q_f}{2\pi} \gamma_{j_n;f} \right)$$

As $N \rightarrow \infty$, n -level density converges to

$$\int g(\vec{x}) \rho_{n,\mathcal{G}(\mathcal{F})}(\vec{x}) d\vec{x} = \int \hat{g}(\vec{u}) \hat{\rho}_{n,\mathcal{G}(\mathcal{F})}(\vec{u}) d\vec{u}.$$

Conjecture (Katz-Sarnak)

(In the limit) Scaled distribution of zeros near central point agrees with scaled distribution of eigenvalues near 1 of a classical compact group.

Results / Applications

- **Results:**

- ◇ **Agreement:** Many families, small support.
- ◇ **Extending support:** Related to arithmetic.

- **Applications:**

- ◇ **Class number:** Bounds on growth rate.
- ◇ **Average rank:** Vanishing at central point.

Techniques

- **Explicit Formula:** Convert sums over zeros to sums over Satake parameter moments.
- **Averaging:** Dirichlet, Petersson, Kuznetsov,
- **Combinatorics:** Showing agreement b/w NT and RMT.

Problem 1: Lower Order Terms

Explicit Formula

- π : cuspidal automorphic representation on GL_n .
- $Q_\pi > 0$: analytic conductor of $L(s, \pi) = \sum \lambda_\pi(n)/n^s$.
- By GRH the non-trivial zeros are $\frac{1}{2} + i\gamma_{\pi,j}$.
- Satake params $\{\alpha_{\pi,i}(p)\}_{i=1}^n$; $\lambda_\pi(p^\nu) = \sum_{i=1}^n \alpha_{\pi,i}(p)^\nu$.
- $L(s, \pi) = \sum_n \frac{\lambda_\pi(n)}{n^s} = \prod_p \prod_{i=1}^n (1 - \alpha_{\pi,i}(p)p^{-s})^{-1}$.

$$\sum_j g\left(\gamma_{\pi,j} \frac{\log Q_\pi}{2\pi}\right) = \widehat{g}(0) - 2 \sum_{p,\nu} \widehat{g}\left(\frac{\nu \log p}{\log Q_\pi}\right) \frac{\lambda_\pi(p^\nu) \log p}{p^{\nu/2} \log Q_\pi}$$

1-Level Density

Assuming conductors constant in family \mathcal{F} , have to study

$$\lambda_f(p^\nu) = \alpha_{f,1}(p)^\nu + \cdots + \alpha_{f,n}(p)^\nu$$

$$S_1(\mathcal{F}) = -2 \sum_p \hat{g}\left(\frac{\log p}{\log R}\right) \frac{\log p}{\sqrt{p} \log R} \left[\frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}} \lambda_f(p) \right]$$

$$S_2(\mathcal{F}) = -2 \sum_p \hat{g}\left(2 \frac{\log p}{\log R}\right) \frac{\log p}{p \log R} \left[\frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}} \lambda_f(p^2) \right]$$

Corresponding classical compact group is determined by

$$\frac{1}{|\mathcal{F}|} \sum_{f \in \mathcal{F}} \lambda_f(p^2) = c_{\mathcal{F}} = \begin{cases} 0 & \text{Unitary} \\ 1 & \text{Symplectic} \\ -1 & \text{Orthogonal.} \end{cases}$$

Open Problem: Lower order terms

Very similar to Central Limit Theorem.

- Universal behavior: main term controlled by first two moments of Satake parameters, agrees with RMT.
- First moment zero save for families of elliptic curves.
- Higher moments control convergence and can depend on arithmetic of family.

Open Problem:

Develop a theory of lower order terms to split the universality and see the arithmetic.

Problem 2: Repulsion at the Central Point

Behavior of zeros near central point

For *one* L -function: good theory high up critical line.

For a *family* of L -functions: good theory as conductors tend to infinity.

Goal is to understand behavior **at central point** for finite conductors.

Questions (Elliptic Curve Families)

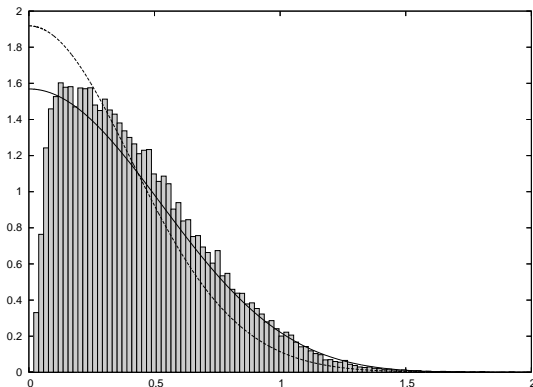
Excess rank: Expected vanishing at central point.

Repulsion: First zero above central point.

Open Problem

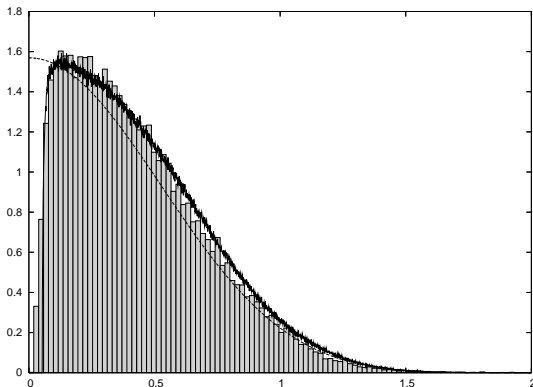
Model the observed behavior here (done) and extend to other families (in progress).

Modeling lowest zero of $L_{E_{11}}(s, \chi_d)$ with $0 < d < 400,000$



Lowest zero for $L_{E_{11}}(s, \chi_d)$ (bar chart), lowest eigenvalue of $\text{SO}(2N)$ with N_{eff} (solid), standard N_0 (dashed).

Modeling lowest zero of $L_{E_{11}}(s, \chi_d)$ with $0 < d < 400,000$



Lowest zero for $L_{E_{11}}(s, \chi_d)$ (bar chart); lowest eigenvalue of $SO(2N)$: $N_{\text{eff}} = 2$ (solid) with discretisation, and $N_{\text{eff}} = 2.32$ (dashed) without discretisation.

Problem 3: Combinatorics

Background

Different techniques to compute Number Theory and Random Matrix Theory.

Challenge is showing the two quantities are the same.

n -Level Density: Determinant Expansions from RMT

- $U(N)$, $U_k(N)$: $\det \left(K_0(x_j, x_k) \right)_{1 \leq j, k \leq n}$
- $USp(N)$: $\det \left(K_{-1}(x_j, x_k) \right)_{1 \leq j, k \leq n}$
- $SO(\text{even})$: $\det \left(K_1(x_j, x_k) \right)_{1 \leq j, k \leq n}$
- $SO(\text{odd})$: $\det \left(K_{-1}(x_j, x_k) \right)_{1 \leq j, k \leq n} + \sum_{\nu=1}^n \delta(x_\nu) \det \left(K_{-1}(x_j, x_k) \right)_{1 \leq j, k \neq \nu \leq n}$

where

$$K_\epsilon(x, y) = \frac{\sin \left(\pi(x - y) \right)}{\pi(x - y)} + \epsilon \frac{\sin \left(\pi(x + y) \right)}{\pi(x + y)}.$$

Alternative to Determinant Expansion

Expand Bessel-Kloosterman piece, use GRH to drop non-principal characters, change variables, main term is

$$\frac{b\sqrt{N}}{2\pi m} \int_0^\infty J_{k-1}(x) \widehat{\Phi}_n \left(\frac{2 \log(bx\sqrt{N}/4\pi m)}{\log R} \right) \frac{dx}{\log R}$$

with $\Phi_n(x) = \phi(x)^n$.

Main Idea

Difficulty in comparison with classical RMT is that instead of having an n -dimensional integral of $\phi_1(x_1) \cdots \phi_n(x_n)$ we have a 1-dimensional integral of a new test function. This leads to harder combinatorics but allows us to appeal to the result from Iwaniec-Luo-Sarnak.

Problems

Open Problem:

Further develop alternatives to the Katz-Sarnak determinant expansions.

Open Problem:

Directly prove agreement for quadratic Dirichlet families (compare with Entin, Roddity-Gershon and Rudnick).

References

References to my work on these problems

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