

# Complex Ramsey Theory

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# Arithmetic and Geometric Progressions

## Definition

A **3-term arithmetic progression** is a sequence of natural numbers of the form  $(x, x + n, x + 2n)$  where  $n$  is a positive integer.

## Definitions

A **3-term geometric progression** is a sequence of natural numbers of the form  $(x, xr, xr^2)$  where  $r > 1$  is an integer. We refer to  $r$  as the **common ratio** of the sequence.

# Definitions

## Asymptotic Density

The **density** of a set  $A \subseteq \mathbb{N}$  is defined to be

$$d(A) = \lim_{n \rightarrow \infty} \frac{|A \cap \{1, \dots, n\}|}{n}$$

if this limit exists.

## Upper Asymptotic Density

The **upper density** of a set  $A \subseteq \mathbb{N}$  is defined to be

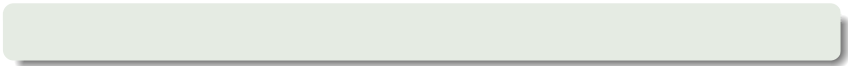
$$\bar{d}(A) = \limsup_{n \rightarrow \infty} \frac{|A \cap \{1, \dots, n\}|}{n}.$$

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## Rankin's Greedy Set

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1 2 3 ~~4~~

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1 2 3 ~~4~~ 5 6 7

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1 2 3 ~~4~~ 5 6 7 8

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$$\prod_p \frac{p-1}{p} \prod_{i=1}^{\infty} \left(1 + \frac{1}{p^{3^i}}\right) = \frac{1}{\zeta(2)} \prod_{i=1}^{\infty} \frac{\zeta(3^i)}{\zeta(2 \cdot 3^i)} \approx 0.72.$$

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# Onto the Gaussian Integers

## Definition

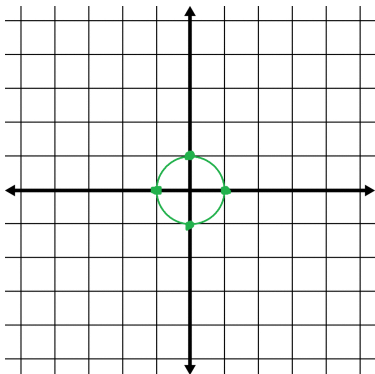
The **Gaussian integers** are defined to be the set of all  $a + bi$ , where  $a$  and  $b$  are integers.

## Definition

The **norm** of a Gaussian integer  $a + bi$  is defined to be

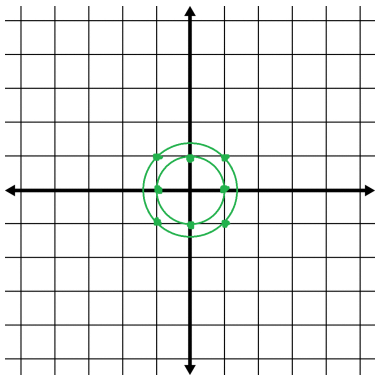
$$N(a + bi) = a^2 + b^2$$

# Defining the Greedy Set



The greedy set is defined by consideration of “norm circles” whose radii increase.

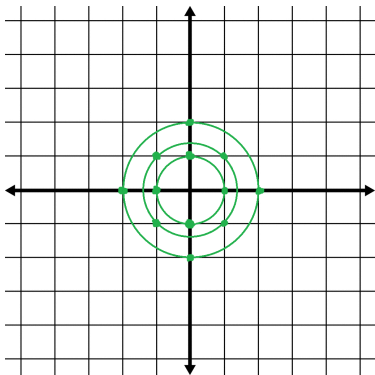
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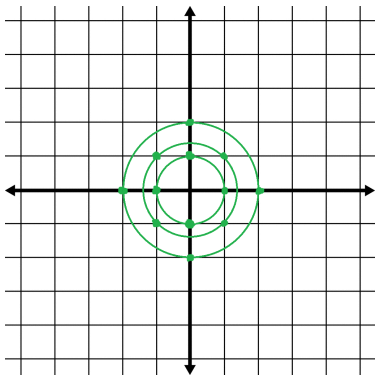


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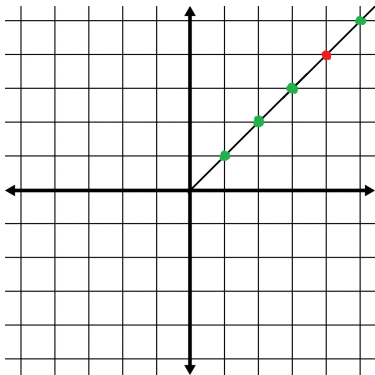
# Defining the Greedy Set



The greedy set is defined by consideration of “norm circles” whose radii increase.

Having defined it, we consider geometric progressions which avoid various kinds of ratios.

# Avoiding Integral Ratios



This case can be thought of as a projection of the integral greedy set onto every line through the origin.

Depicted is the progression  
 $1 + i, 2 + 2i, 4 + 4i$ .

# Avoiding Integral Ratios

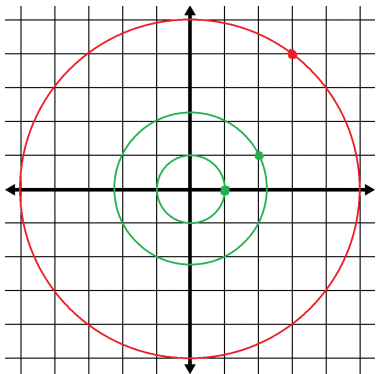
We exclude a Gaussian integer  $a + bi$  exactly when it can be written in the form  $k(c + di)$ , where  $k$  is not in Rankin's set and  $(c, d) = 1$ .

Theorem 1 [B,H,Mc,Mi,P,T,W '14]

The density of the greedy set of Gaussian integers that avoids integral ratios is

$$\prod_p \left( \frac{p^2 - 1}{p^2} \prod_{i=0}^{\infty} \left( 1 + \frac{1}{p^{2 \cdot 3^i}} \right) \right) = \frac{1}{\zeta(4)} \prod_{i=1}^{\infty} \frac{\zeta(2 \cdot 3^i)}{\zeta(4 \cdot 3^i)} \approx 0.9397.$$

# Avoiding Gaussian Ratios



We also consider sets that avoid progressions with Gaussian integer ratios.

Depicted is the progression  
 $1, 2 + i, 3 + 4i$ .

# Density of the Gaussian Greedy Set

We can determine the likelihood of a Gaussian integer being included by evaluating the primes in its prime factorization and whether each prime is raised to an appropriate power.

Theorem 2 [B,H,Mc,Mi,P,T,W '14]

$$\text{Let } f(x) = \left(1 - \frac{1}{x}\right) \prod_{i=0}^{\infty} \left(1 + \frac{1}{x^{3^i}}\right).$$

Then the density of the greedy set of Gaussian integers that avoids Gaussian integral ratios is

$$f(2) \left( \prod_{p \equiv 1 \pmod{4}} f^2(p) \right) \left( \prod_{q \equiv 3 \pmod{4}} f(q^2) \right) \approx 0.771.$$

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## An Upper Bound for Upper Density

We find an upper bound for the upper density by generalizing an argument by Riddell (1969). Looking at the subset of Gaussian integers with norm  $\leq M$ , we see

For  $b, r \in \mathbb{Z}[i]$  with  $N(b) \leq \frac{M}{4}$  and  $N(r) = 2$ , the terms  $b, rb, r^2b$  have norm  $\leq M$  and will always be in geometric progression.

With  $N(b)$  odd we know there will be no overlap amongst chosen progressions.



# An Upper Bound for Upper Density

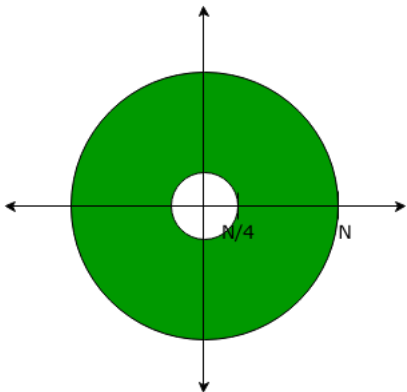
Using Gauss' circle problem, we can exclude about  $\frac{1}{2} \cdot \frac{1}{2^2}$  terms. Looking at our next non-overlapping sequence ( $r^3b, r^4b, r^5b$  with  $N(b) \leq \frac{M}{32}$ ) and continually repeating this process gives us an upper bound.

**Theorem 3 [B,H,Mc,Mi,P,T,W '14]**

An upper bound for the upper density is given by

$$1 - \frac{1}{2^3} \sum_{n=0}^{\infty} \frac{1}{2^{3n}} = 1 - \frac{\frac{1}{2^3}}{1 - \frac{1}{2^3}} = \frac{6}{7} \approx 0.857$$

# A Lower Bound for Upper Density



Generalizing an argument by McNew, we see that if we take the Gaussian integers with norm between  $N/4$  and  $N$ , no three of these elements will comprise a 3-term geometric progression.

## A Lower Bound for Upper Density

Similarly, we can include integers with norm between  $N/16$  and  $N/8$  without introducing a progression, and continue in this fashion.

Theorem 4 [B,H,Mc,Mi,P,T,W '14]

A set of acceptable norms is

$$\left(\frac{N}{25}, \frac{N}{20}\right] \cup \left(\frac{N}{16}, \frac{N}{8}\right] \cup \left(\frac{N}{4}, N\right]$$

The density of the Gaussian integers that fall inside this set gives us a lower bound of 0.8225.

# Overview of Bounds

- A lower bound for maximal density of sets of Gaussian integers avoiding integral ratios is 0.9397.
- A lower bound for maximal density of sets of Gaussian integers avoiding Gaussian ratios is 0.771.
- Bounds for upper density for sets  $S$  of Gaussian integers avoiding Gaussian ratios are  $0.8225 < \bar{d}(S) < 0.857$ .

## Future Work

- Improve the bounds on upper density for sets  $S$  of Gaussian integers avoiding Gaussian ratios.
- Define and analyze the greedy set in other number fields.
- Determine how density of maximal geometric progression-avoiding sets depend on norm and class number of other number fields.

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- N. McNew, On sets of integers which contain no three terms in geometric progression, arXiv preprint arXiv:1310.2277 (2013). <http://arxiv.org/pdf/1310.2277.pdf>.

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# Thank you!

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