

Lower Order Biases in Fourier Coefficients of Elliptic Curve and Cuspidal Newform families

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Elliptic Curve Groups Over Fields

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Definition

Given a field K with characteristic neither 2 nor 3, an **elliptic curve** $E(K)$ is the set

$$E(K) = \{(x, y) : y^2 = x^3 + ax + b \text{ where } a, b \in K\} \cup \{\infty\}$$

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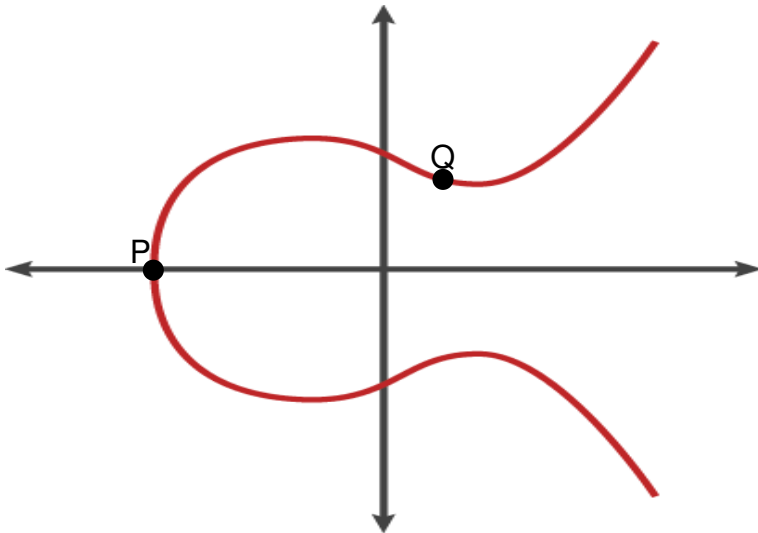
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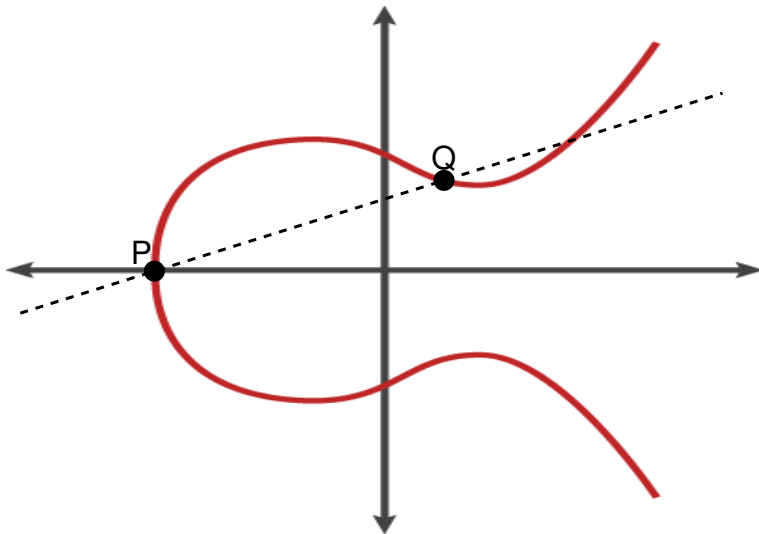
- The points of $E(K)$ form an abelian group, where the point at infinity serves as the group identity.

Elliptic Curve Addition

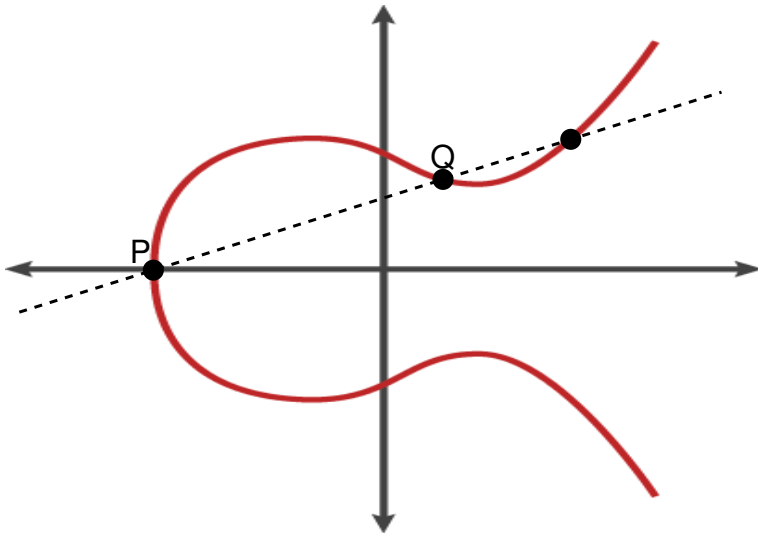
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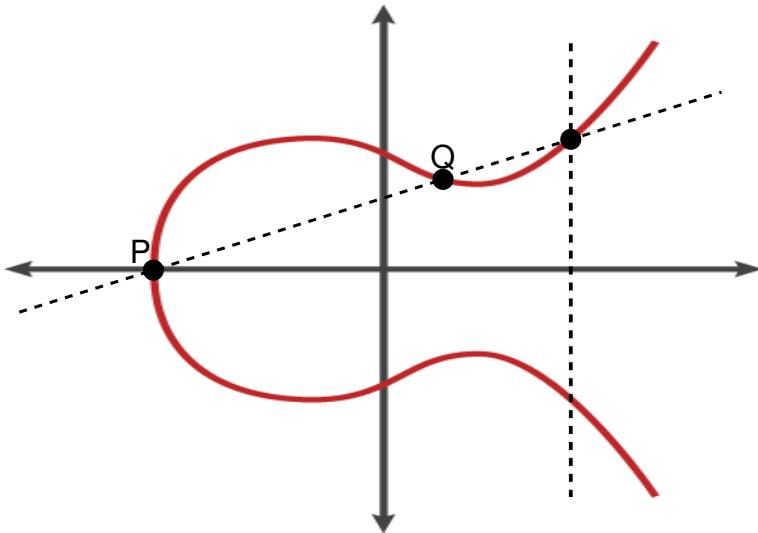
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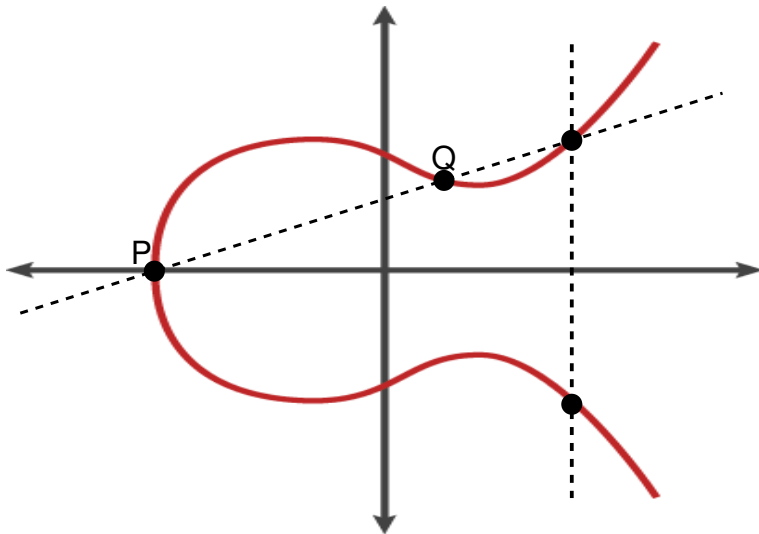
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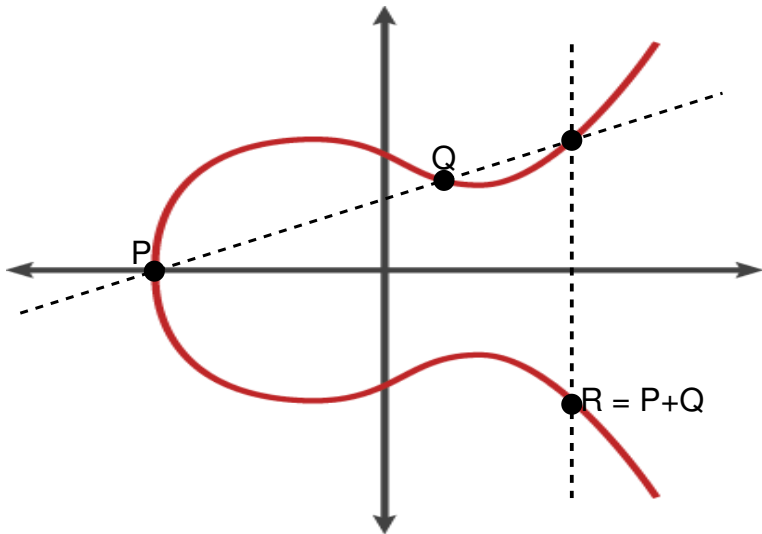
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Definition (Good reduction)

An elliptic curve $E/\mathbb{Q} : y^2 = x^3 + ax + b$ has *good reduction* at a prime p if $4a^3 + 27b^2 \not\equiv 0 \pmod{p}$.

The reduction $E(\mathbb{F}_p)$ is defined as $y^2 = x^3 + [a]x + [b]$, where $[a], [b]$ are the reductions of a and $b \pmod{p}$.

Hasse's Theorem

Recall

$$E(\mathbb{F}_p) := \{(x, y) : y^2 = x^3 + ax + b\}$$

Then

$$\begin{aligned}\#E(\mathbb{F}_p) &= \sum_{x \in \mathbb{F}_p} \left(1 + \left(\frac{x^3 + ax + b}{p} \right) \right) + 1 \\ &= p + 1 - \sum_{x \in \mathbb{F}_p} \left(\frac{x^3 + ax + b}{p} \right)\end{aligned}$$

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Define the *Frobenius trace* as $a_E(p) := p + 1 - \#E(\mathbb{F}_p)$.

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Theorem (Hasse, 1936)

$$|a_E(p)| \leq 2\sqrt{p}$$

Families and Moments

A *one-parameter family* of elliptic curves is given by

$$\mathcal{E} : y^2 = x^3 + A(T)x + B(T)$$

where $A(T), B(T)$ are polynomials in $\mathbb{Z}[T]$.

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- Each specialization of T to an integer t gives an elliptic curve $\mathcal{E}(t)$ over \mathbb{Q} .
- The r^{th} *moment* of the Fourier coefficients is

$$A_{r,\mathcal{E}}(p) = \sum_{t \pmod{p}} a_{\mathcal{E}(t)}(p)^r,$$

where $a_{\mathcal{E}(t)}(p) = p + 1 - \#\mathcal{E}_t(\mathbb{F}_p)$ is the Frobenius trace of $\mathcal{E}(t)$.

Negative Bias in the First Moment

The first moment is related to the rank of the elliptic curve family. Note here that we normalize by $\frac{1}{p}$ when taking the average over the primes.

$A_{1,\mathcal{E}}(p)$ and Family Rank (Rosen-Silverman)

Given technical assumptions related to L -functions associated with \mathcal{E} ,

$$\lim_{X \rightarrow \infty} \frac{1}{X} \sum_{p \leq X} \frac{A_{1,\mathcal{E}}(p) \log p}{p} = -\text{rank}(\mathcal{E}/\mathbb{Q}).$$

The $j(T)$ -invariant and moment calculations

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Definition ($j(T)$ -invariant)

For an elliptic curve family $\mathcal{E}(T) : y^2 = x^3 + A(T)x + B(T)$, we define the $j(T)$ -invariant as

$$j(T) = 1728 \frac{4A(T)^3}{4A(T)^3 + 27B(T)^2}$$

Bias Conjecture

We write $f(x) = O(g(x))$ to mean there exists $c > 0$ such that $|f(x)| \leq cg(x)$ for all x .

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For families \mathcal{E} with $j(T)$ non-constant, the second moment is

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In every family that has been studied, it has been observed:

Bias Conjecture

The largest lower term in the second moment expansion which does not average to 0 is on average **negative**.

Relation with Excess Rank

- Lower order negative bias increases the bound for average rank in families through statistics of zero densities near the central point

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- This contributes to an explanation of observed excess rank.

Preliminary Evidence and Patterns

Let $n_{3,2,p}$ equal the number of cube roots of 2 modulo p ,

and set $c_0(p) = \left[\left(\frac{-3}{p} \right) + \left(\frac{3}{p} \right) \right] p$, $c_1(p) = \left[\sum_{x \bmod p} \left(\frac{x^3 - x}{p} \right) \right]^2$,

$c_{3/2}(p) = p \sum_{x(p)} \left(\frac{4x^3 + 1}{p} \right)$.

Family	$A_{1,\varepsilon}(p)$	$A_{2,\varepsilon}(p)$
$y^2 = x^3 + Sx + T$	0	$p^3 - p^2$
$y^2 = x^3 + 2^4(-3)^3(9T + 1)^2$	0	$\begin{cases} 2p^2 - 2p & p \equiv 2 \pmod{3} \\ 0 & p \equiv 1 \pmod{3} \end{cases}$
$y^2 = x^3 \pm 4(4T + 2)x$	0	$\begin{cases} 2p^2 - 2p & p \equiv 1 \pmod{4} \\ 0 & p \equiv 3 \pmod{4} \end{cases}$
$y^2 = x^3 + (T + 1)x^2 + Tx$	0	$p^2 - 2p - 1$
$y^2 = x^3 + x^2 + 2T + 1$	0	$p^2 - 2p - \left(\frac{-3}{p} \right)$
$y^2 = x^3 + Tx^2 + 1$	$-p$	$p^2 - n_{3,2,p}p - 1 + c_{3/2}(p)$
$y^2 = x^3 - T^2x + T^2$	$-2p$	$p^2 - p - c_1(p) - c_0(p)$
$y^2 = x^3 - T^2x + T^4$	$-2p$	$p^2 - p - c_1(p) - c_0(p)$

$$y^2 = x^3 + Tx^2 - (T + 3)x + 1 \quad -2c_{p,1;4}p \quad p^2 - 4c_{p,1;6}p - 1$$

where $c_{p,a;m} = 1$ if $p \equiv a \pmod{m}$ and otherwise is 0.

Lemmas on Legendre Symbols

Linear and Quadratic Legendre Sums

$$\sum_{x \pmod{p}} \left(\frac{ax + b}{p} \right) = 0 \quad \text{if } p \nmid a$$

$$\sum_{x \pmod{p}} \left(\frac{ax^2 + bx + c}{p} \right) = \begin{cases} - \left(\frac{a}{p} \right) & \text{if } p \nmid b^2 - 4ac \\ (p-1) \left(\frac{a}{p} \right) & \text{if } p \mid b^2 - 4ac \end{cases}$$

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Average Values of Legendre Symbols

The value of $\left(\frac{x}{p}\right)$ for $x \in \mathbb{Z}$, when averaged over all primes p , is 1 if x is a non-zero square, and 0 otherwise.

Lemma (SMALL '14)

Consider a one-parameter family of elliptic curves of the form

$$\mathcal{E} : y^2 = P(x)T + Q(x),$$

where $P(x), Q(x) \in \mathbb{Z}[x]$ have degrees at most 3. Then the second moment can be expanded as

$$A_{2,\mathcal{E}}(p) = p \left[\sum_{P(x) \equiv 0} \left(\frac{Q(x)}{p} \right) \right]^2 - \left[\sum_{x(p)} \left(\frac{P(x)}{p} \right) \right]^2 \\ + p \sum_{\Delta(x,y) \equiv 0} \left(\frac{P(x)P(y)}{p} \right)$$

where $\Delta(x, y) = (P(x)Q(y) - P(y)Q(x))^2$.

Second Moments of Linear-coefficient Families

We computed explicit formulas for the second moments of some one-parameter families with linear coefficients in T :

Family	$A_{2,\varepsilon}(p)$
$y^2 = (ax + b)(cx^2 + dx + e + T)$	$\begin{cases} p^2 - p \left(2 + \left(\frac{-1}{p} \right) \right) & \text{if } p \nmid ad - 2bc \\ (p^2 - p) \left(1 + \left(\frac{-1}{p} \right) \right) & \text{if } p \mid ad - 2bc \end{cases}$
$y^2 = (ax^2 + bx + c)(dx + e + T)$	$\begin{cases} p^2 - p \left(1 + \left(\frac{b^2 - 4ac}{p} \right) \right) - 1 & \text{if } p \nmid b^2 - 4ac \\ p - 1 & \text{if } p \mid b^2 - 4ac \end{cases}$
$y^2 = x(ax^2 + bx + c + dTx)$	$-1 - p \left(\frac{ac}{p} \right)$
$y^2 = x(ax + b)(cx + d + Tx)$	$p - 1$

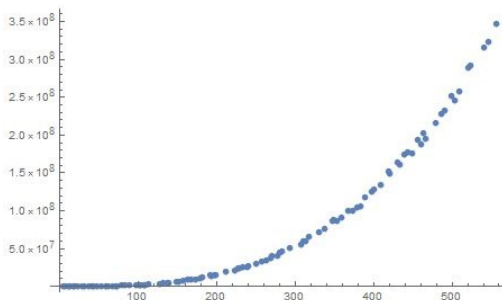
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For example, the following is the 4-th moment of elliptic curve family $y^2 \equiv x^3 + (t+1)x^2 + tx$



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- Example: $\mathcal{E}(T) : y^2 = x^3 + A(T)x$ has $j(T) = 1728$, $\forall T \in \mathbb{Z}$.
- Similarly, $\mathcal{E}(T) : y^2 = x^3 + B(T)$ has $j(T) = 0$.
- For these families of elliptic curves of fixed $j(T)$ –invariant, we can compute arbitrarily high moments.
- In practice, computation is *fast* when $j(T)$ is constant.

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Gauss' Six-Order Theorem

If $p \equiv 1 \pmod{3}$, then write $p = a^2 + 3b^2$, $a \equiv 2 \pmod{3}$, $b > 0$. We have:

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$$a_E(p) = \begin{cases} -2a & B \text{ is a sextic residue in } \mathbb{F}_p \\ 2a & B \text{ cubic, non-sextic residue} \\ a \pm 3b & B \text{ quadratic, non-sextic} \\ -a \pm 3b & B \text{ non-quadratic, non-cubic} \end{cases}$$

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$$r \equiv 1, 5(6) : A_k(p) = \begin{cases} 0 & k \text{ is odd} \\ \frac{p-1}{3} ((2a)^k + (a-3b)^k + (a+3b)^k) & k \text{ is even} \end{cases}$$

$$r \equiv 2, 4(6) : A_k(p) = \begin{cases} \frac{p-1}{3} ((-2a)^k + (a-3b)^k + (a+3b)^k) & A \text{ quadratic residue} \\ \frac{p-1}{3} ((2a)^k + (-a-3b)^k + (-a+3b)^k) & A \text{ quadratic nonresidue} \end{cases}$$

$$r \equiv 3 : A_k(p) = \begin{cases} \frac{p-1}{2} ((-2a)^k + (2a)^k) & A \text{ cubic residue} \\ \frac{p-1}{2} ((a \pm 3b)^k + (-a \mp 3b)^k) & A \text{ cubic nonresidue} \end{cases}$$

Moments determined only by $r \pmod{6}$.

$j = 1728$ Curves

Consider an elliptic curve of the form $\mathcal{E} : y^2 = x^3 - Ax$ over \mathbb{F}_p .

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If $p \equiv 1 \pmod{4}$, then $a_E(p) = 0$.

Gauss' Four-Order Theorem

If $p \equiv 3 \pmod{4}$, then write $p = a^2 + 3b^2$, where b is even and $a + b \equiv 1 \pmod{4}$. We have:

$$a_E(p) = \begin{cases} 2a & A \text{ is a quartic residue} \\ -2a & A \text{ a quadratic, non-quartic residue} \\ \pm 2b & A \text{ not a quadratic residue} \end{cases}$$

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For $r \equiv 0(4)$, we get similar but more elaborate results.

Bias in L-functions of Cuspidal Newforms

Cuspidal Newforms

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Definition (Holomorphic Form of Weight k , level N)

A holomorphic function $f(z) : \mathbb{H} \rightarrow \mathbb{C}$, of moderate growth, for which

$$f\left(\frac{az + b}{cz + d}\right) = (cz + d)^k f(z), \quad \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$$

where

$$\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : c \equiv 0 \pmod{N} \right\}$$

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A cuspidal **newform** of level N is a cuspidal form that cannot be reduced to a cuspidal form of level M , where $M \mid N$.

Averaging over Weights

Let $\mathcal{F}_{X,\delta,N}$ be the family of cuspidal newforms of weights smaller than some positive X^δ .

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Averaging over primes less than X^σ , define the r -th moment of the family $\mathcal{F}_{X,\delta,N}$ as:

$$M_{r,\sigma}(\mathcal{F}_{X,\delta,N}) = \frac{1}{\pi(X^\sigma)} \sum_{p < X^\sigma} \frac{1}{\sum_{k < X^\delta} |H_k^*(N)|} \sum_{k < X^\delta} \sum_{f \in H_k^*(N)} \lambda_f^r(p)$$

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$$M_{r,\sigma}(\mathcal{F}_{X,\delta,N}) = \frac{1}{\pi(X^\sigma)} \sum_{p < X^\sigma} \frac{1}{\sum_{k < X^\delta} |H_k^*(N)|} \sum_{k < X^\delta} \sum_{f \in H_k^*(N)} \lambda_f^r(p)$$

Then we study the asymptotic behavior of the moment as $N \rightarrow \infty$.

$$M_{r,\sigma}(\mathcal{F}_{X,\delta}) = \lim_{N \rightarrow \infty} M_{r,\sigma}(\mathcal{F}_{X,\delta,N}).$$

Averaging over Weights

Theorem (SMALL '17)

$$M_{r,\sigma}(\mathcal{F}_{X,\delta}) = \begin{cases} C_{r/2} + C_{r/2-1} \frac{\log \log X^\sigma}{\pi(X^\sigma)} & \text{even } r \\ + O\left(\frac{1}{X^{2\delta}} + \frac{1}{\pi(X^\sigma)}\right) & \\ 0 & \text{odd } r \end{cases}$$

Notice that the bias in moments of cuspidal newforms is $C_{r/2} + C_{r/2-1}$, a positive integer, instead of the negative bias in moments of elliptic curve subfamilies.

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- What other families obey the Bias Conjecture? Kloosterman sums? Higher genus curves?

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Thank you!

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