

# MIDWAY:

• Pearl Harbor: Dec 7, 1941

• Japanese Naval Superiority: Around May 1942 it was:

Japan	10 carriers	11 battleships
US (Pacific)	3 carriers	0

• Concerns about attacking Midway; Doolittle's raid convinced some

• Midway plan: Feint to Alaska, hit Midway with second fleet hiding west of Midway which attacks when US responds to Midway attack.  
Japanese: > 200 ships (8 carriers, 11 battleships)

• US intercepts records voluminous traffic, sense of plans

• Thought in Japanese dispatches that AF referred to Midway, but all not 100% correct. No direct evidence for following story, but response was received:

↳ Midway ordered by secret cable to radio water shortage. Later decrypted Japanese message says AF short on water...

• MacArthur: had planes flying back and forth in Coral Sea exchanging messages, gave impression US carriers there (Battlestar Galactica - original - episode like this).

# MIDWAY

- Japanese change code, causing problems
- Japanese notice 22 of 180 messages from Pearl Harbor - marked urgent, fear carriers moving
- Nimitz gambles that decrypts right, date right...
- US only 73 ships: 3 carriers
  - ↳ did have numerical advantage at scene of battle.
- Japan lost four carriers, US none
- Shortly after battle (week/month) newspaper article on "how did we know": how should you respond? Did this alert Japanese to code breaking?

# RSA LECTURE

## RSA algorithm

Bob: private: two large primes  $p, q$

private:  $e, d$  (large) such that  $(p-1)(q-1)$  divides  $ed-1$

public:  $N = pq, e$  (encrypt)

Alice: Message  $X \in \{0, 1, \dots, N-1\}$

sends  $X^e \pmod N$  to Bob (computes @ fast exponentiation)

Bob: Decrypts by computing  $(X^e \pmod N)^d \pmod N$  with fast exponentiation

Goal is to explain why this works; saw last time  
can do steps reasonably efficiently, find primes fast, ...

Key inputs: Euclidean Algorithm, Group Theory

Group: set of elements with binary operation  $*$  such that

(1) Closure:  $g_1, g_2 \in G \rightarrow g_1 * g_2 \in G$

(2) Assoc:  $g_1, g_2, g_3 \in G \rightarrow g_1 * (g_2 * g_3) = (g_1 * g_2) * g_3$

(3) Identity: Is an  $e \in G$  st given any  $g \in G$ :  $e * g = g * e = e$

(4) Inverse: Given any  $g \in G$  is an  $h$  so that  $g * h = h * g = e$

Examples: Integers under addition, Rationals under multiplication,

- Moves of a Rubik's cube ...

- RSA 1-



# RSA LECTURE

Euclidean Algorithm:  $\gcd(x, y)$  is largest integer dividing  $x$  and  $y$ . If  $k|x$  and  $k|y$  then  $k|\gcd(x, y)$  (not trivial? must prove!). Given any  $x, y$ , there exist integers  $a, b$  s.t.  
 $ax + by = \gcd(x, y)$

## Important Groups

$$(\mathbb{Z}/N\mathbb{Z})^* = \{n : 0 < n < N \text{ and } \gcd(n, N) = 1\}$$

Ex:  $(\mathbb{Z}/12\mathbb{Z})^* = \{\cancel{1, 3, 5, 7}\} \{1, 5, 7, 11\}$  DO THE MULTIPLICATION TABLE HERE

$$(\mathbb{Z}/p\mathbb{Z})^* = \{1, 2, 3, \dots, p-1\} \text{ if } p \text{ prime AND } p \neq 2$$

↳ Must prove: hard parts closure, inverses.

Inverse: say  $x \in (\mathbb{Z}/N\mathbb{Z})^*$ : need inverse

by Euclidean Alg: have  $a, b$  such that

$$ax + bN = \gcd(x, N) = 1$$

Thus  $ax \equiv 1 \pmod{N}$ , and  $a$  is the inverse!

Key fact:  $(\mathbb{Z}/pq\mathbb{Z})^*$  has  $(p-1)(q-1)$  elements

Consider  $1, 2, 3, \dots, pq$ . Have  $p$  multiples of  $q$ , have  $q$  multiples of  $p$ , and double count one multiple of  $pq$ . Thus number of relatively prime elements is  $pq - pq - qp + 1$

Which is  $(p-1)(q-1)$ . LOTS of structure:  $\phi(N) = \#(\mathbb{Z}/N\mathbb{Z})^*$  is the Euler totient function.  
- RSA 2 -

# RSA LECTURE: CONT

Key fact: Group  $G$ ,  $g \in G$ , say  $n$  is the order of  $a$  if it is the smallest integer such that  $g^n = e$ .  
Have  $n = \text{ord}_G(a)$  divides  $\#G$ , the number of elements in  $G$ .

Usually prove as a consequence of Lagrange's Thm, namely the size of a subgroup divides the size of a group.  
Let's try a proof of just our key fact.

Let  $\#G$  be the size of  $G$ . For  $a \in G$ , look at  $a, a^2, \dots, a^{\#G+1}$ .  
By Dirichlet's Pigeonhole Principle at least two of these  $\#G+1$  elements are equal: all in  $G$  and only  $\#G$  things in  $G$ .  
Wlog, say  $a^{n_1} = a^{n_2}$ , with  $n_1 > n_2$ . Using inverses find  $a^n = e$  with  $n = n_1 - n_2$ . Know such an  $n$  exists now with  $a^n = e$ , assume have the smallest.

Assume now some element has  $a^n = e$  and  $n \nmid \#G$ . Write  $n = m_1 m_2$  with  $m_1 \mid \#G$  and  $(m_2, \#G) = 1$ . Then  $a^{m_1} \neq e$  by minimality of  $n$  and element  $a^{m_1}$  has  $(a^{m_1})^{m_2} = e$ . Thus may assume have some element  $a \in G$  whose exponent is relatively prime to  $\#G$ .

If knew  $a^{\#G} = e$  done: by above have  $(n, \#G) = 1$  so there are integers  $\alpha, \beta$  st  $\alpha n + \beta \#G = 1$ , so  $a = a^{\alpha n + \beta \#G} = (a^n)^\alpha (a^{\#G})^\beta = e$

Know  $a^{\#G} = e$  by Lagrange as  $a \in \langle a \rangle$  of size  $n \mid \#G$



# DIFFIE-HELMAN-MERKLE KEY EXCHANGE

Idea: need to agree upon a secret in public & private meeting. Disclosed (but classified) earlier by GCHQ (Britain)

Take some group  $G$  and a generator  $g$ . Standard:  $(\mathbb{Z}/p\mathbb{Z})^*$  is cyclic and always has a generator.

PUBLIC: Group  $G$ , generator  $g$

PRIVATE: Alice chooses  $a$   
Bob chooses  $b$

PUBLIC: Alice posts  $g^a$   
Bob posts  $g^b$

Shared Secret: Alice and Bob both know  $g^{ab}$ ; Alice from  $(g^b)^a$  and Bob from  $(g^a)^b$

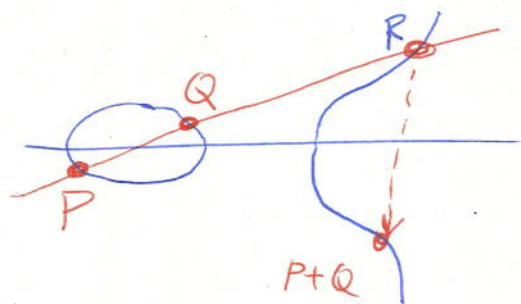
This is the discrete log problem: without knowing  $a$  and  $b$ , supposed to be hard to find  $g^{ab}$  given only  $g, g^a, g^b$ .

# ELLIPTIC CURVE CRYPTOGRAPHY

RSA based on  $(\mathbb{Z}/p\mathbb{Z})^*$

Can use more "complicated" groups.

Consider elliptic curve  $y^2 = x^3 + ax + b$ ,  $a, b$  integers



$$E(\mathbb{Q}) = \{(x, y) \in \mathbb{Q}^2 : y^2 = x^3 + ax + b\}$$

↳ all solns with rational coords

↳ turns out to be a group!

Assume  $P = (x_p, y_p)$  and  $Q = (x_q, y_q)$  solve and have rational coords. Then line from  $P$  to  $Q$ ,  $mx + b$ , has rational  $m, b$ . Find

$$(mx + b)^2 = x^3 + ax + b \Rightarrow x^3 - m^2 x^2 + (a - 2mb)x + b - b^2 = 0$$

is a cubic with rational coeffs and know rationals  $x_p, x_q$  are roots. Thus cubic equals  $(x - x_p)(x - x_q)(x - x_r)$

and see  $x_r$  must be rational. Define  $P+Q$  as reflection about the line  $y=0$  at  $R$ . Turns out This is a group!

Group is more complicated than  $(\mathbb{Z}/p\mathbb{Z})^*$ , hope that helps cryptography!

# CHECKSUM

Lots of good articles on check-sum schemes, UPC codes...

Last time mentioned simple one:

$$a_n \ a_{n-1} \ \dots \ a_2 \ a_1$$

Choose  $a_i$  (check digit) so that  $a_n + \dots + a_1 \equiv 0 \pmod{10}$

↳ unique choice of  $a_i$ : catches single digit errors

↳ Can we do better?

Consider say  $a_6 \ a_5 \ a_4 \ a_3 \ a_2 \ a_1$ ,  $a_i$  check digit.

Choose  $a_i$  st  $3(a_6 + a_4 + a_2) + 1 \cdot (a_5 + a_3 + a_1) \equiv 0 \pmod{10}$

↳ can solve, unique  $a_i$

Claim 1: Catches any single error

↳ because 3 and 1 relatively prime to 10

Claim 2: Catches any transposition? Not quite.

↳ say flip  $a_4 \ a_3$ . Instead of  $3a_4 + a_3$  now

have  $3a_3 + a_4$ . Difference is  $2(-a_3 + a_4)$

↳ no error if  $a_3 = a_4$

↳ catches unless  $a_4 - a_3 \equiv 0 \pmod{5}$

↳ catches "most" transpositions

BASE 11 Methods... - (Checksum 1-



# SIGNATURES

Very important to be able to verify sender.

Main way hash functions, here's a rough idea.

ALICE

BOB

private:

$P_a, q_a$

$e_a, d_a$  with

$$e_a d_a \equiv 1 \pmod{(P_a-1)(q_a-1)}$$

$P_b, q_b$

$e_b, d_b$  with

$$e_b d_b \equiv 1 \pmod{(P_b-1)(q_b-1)}$$

Public:

$N_a = P_a q_a$

$e_a$  (encrypt)

$N_b = P_b q_b$

$e_b$  (encrypt).

Idea: Alice encrypts her name / message using her key and tacks that on to message to Bob, encrypting all using his key.

$S = \text{signature} = M_i - \text{"I'm Alice: Trust me!"}$

$S^{d_a} \pmod{N_a}$ . Bob can decrypt as  $e_a$  is public!

Rest of message is  $M$ , take on  $S^{d_a} \pmod{N_a}$  at end, raise all to  $e_b$ . When Bob raises to  $d_b$  not all becomes text; takes rest to  $e_a \pmod{N_a}$ .

# COMBINATORICS AND ENIGMA

Riddle 5: Have locks and keys. Distribute keys to 7 generals so that any 4 can open all the locks but no 3 can. How many locks needed, how are keys distributed?

Answer:  $\binom{7}{4} = 35$  locks, give one key to four generals for each lock. Then any set of four always can. Must make sure no set of three can. Easiest: There are  $\binom{7}{4} = 35$  subgroups of four generals. For each subgroup add a new lock, give a key to each of four. Clearly missing three generals can't open this lock!

## Basic Combinatorics

$n!$  # ways order  $n$  people (estimating size)

$n(n-1)\dots(n-(k-1))$  # ways to pick  $k$  from  $n$ , order matters

$$= \frac{n!}{(n-k)!} = n P_k$$

$\frac{n(n-1)\dots(n-(k-1))}{k!}$  # ways pick  $k$  from  $n$ , order doesn't matter

$$= \frac{n!}{k!(n-k)!} = n C_k = \binom{n}{k}$$

# ENIGMA + COMBINATORICS

Lots of combinatorics in studying Enigma.

Key Problem: how many ways to pair 26 letters?

↳ answer:  $\binom{26}{2} \binom{24}{2} \dots \binom{2}{2} / 13!$

↳ algebra  $\Rightarrow 25 \cdot 23 \cdot 21 \dots 3 \cdot 1 = 25!!$

↳ Better: Induction: Assume true for  $2n$

If have  $2n+2$  then 1 paired with

something:  $2n+1$  choices. Now pair

remaining  $2n$ ; know  $(2n)!!$  ways,

get  $(2n+1) \cdot (2n)!! = (2n+1)!!$

## Other fun problems

(1) How many ways divide  $C$  cookies among  $P$  people?  $\binom{C+P-1}{P-1}$

↳ related to Stat Mech, Num Theory

(2) Lottery: Choose 6 of 40, order doesn't matter:  $\binom{40}{6} \approx 3,838,380$

↳ if order matters:  $\binom{40}{6} = \binom{45}{6} \approx 8,145,060$  versus  $\frac{40^6}{6!} \approx 5,688,889$

0 0 0 - - - 0 0 - - - 0  
1 2 3 - - - 40 41 - - - 46  
↑  
can't choose

Choose 6 of remaining